

Moduli Spaces in Algebraic Geometry

Math 245 A (winter 2022)

Feb. 16, 2022.

Moduli spaces of morphisms

To define them, we need to prove:

Theorem Given

$$\begin{array}{ccc} X & \xrightarrow{\pi} & Y \\ \text{flat projective} \alpha \searrow & & \swarrow \beta \text{ projective flat} \\ & S & \\ & \text{locally Noetherian} & \end{array}$$

Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:

$\delta: T \rightarrow S$ satisfies

$$\begin{array}{ccc} X_{\times_S T} & \xrightarrow[\text{iso}]{\delta^* \pi} & Y_{\times_S T} \\ & \searrow & \swarrow \\ & T & \end{array}$$

if and only if δ factors through $U \xrightarrow[\text{open}]{} S$.

Theorem Given

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iso flat

if and only if δ factors through $U \xrightarrow{\text{open}} S$.

worth remembering: **HARD!**

Fancy Flatness Facts

(not proved in "The Rising Sea", for example)

1) "flat maps are open" in reasonable circumstances

$$X \xrightarrow{\pi} Y$$

flat, finite type
locally Noetherian

$$\pi(\text{open}) = \text{open}$$

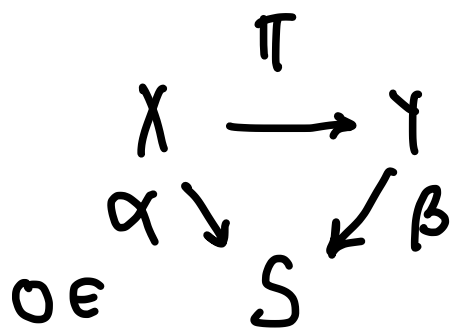
2) "the flat locus is open" in reasonable circumstances

$$X \xrightarrow{\pi} Y$$

finite type
locally noetherian

$$\text{flat locus in } X = \text{open}$$

3) "fibral flatness criterion"



finite type, flat
locally Noetherian

$$\pi_0 \text{ flat} \iff \pi \text{ flat}$$

Theorem Given

$$\begin{array}{c}
 X \xrightarrow{\pi} Y \\
 \text{flat projective} \swarrow \searrow \beta \\
 S \quad \text{projective flat} \\
 \text{locally Noetherian}
 \end{array}
 \quad \begin{array}{l}
 \pi \text{ } \therefore \text{ projective} \\
 \alpha
 \end{array}$$

Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:

$$\begin{array}{ccc}
 \delta: T \rightarrow S & \text{satisfies} & \begin{array}{ccc} X_{\times_S} T & \xrightarrow{\delta^* \pi} & Y_{\times_S} T \\ & \searrow \text{iso flat} & \swarrow \\ & T & \\ & \text{open} & \end{array}
 \end{array}$$

if and only if δ factors through $U \hookrightarrow S$.

Fancy Flatness Facts
(not proved in "The Rising Sea", for example)

1) "flat maps are open" in reasonable circumstances

$$\begin{array}{ccc}
 X \xrightarrow{\pi} Y & \begin{array}{l} \text{flat, finite type} \\ \text{locally Noetherian} \end{array} & \pi(\text{open}) = \text{open}
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 X \xrightarrow{\pi} Y & \begin{array}{l} \text{finite type} \\ \text{locally noetherian} \end{array} & \text{flat locus in } X = \text{open}
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3) "fibral flatness criterion"

$$\begin{array}{ccc}
 \begin{array}{ccc} X & \xrightarrow{\pi} & Y \\ \alpha \swarrow & & \searrow \beta \\ \text{pt} & & S \end{array} & \begin{array}{l} \text{finite type, flat} \\ \text{locally Noetherian} \end{array} & \pi_0 \text{ flat} \iff \pi \text{ flat}
 \end{array}$$

Proof As usual, we first find our candidate U , then show that it has the desired universal property.

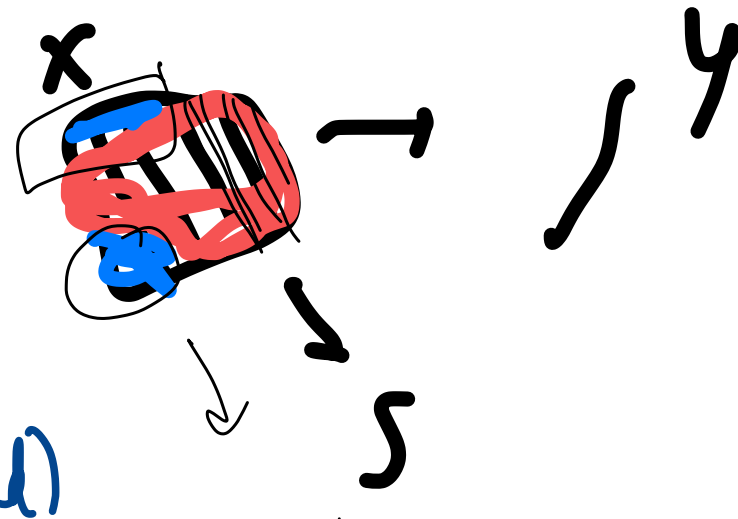
What is U ?

locus in X where π is flat (open)

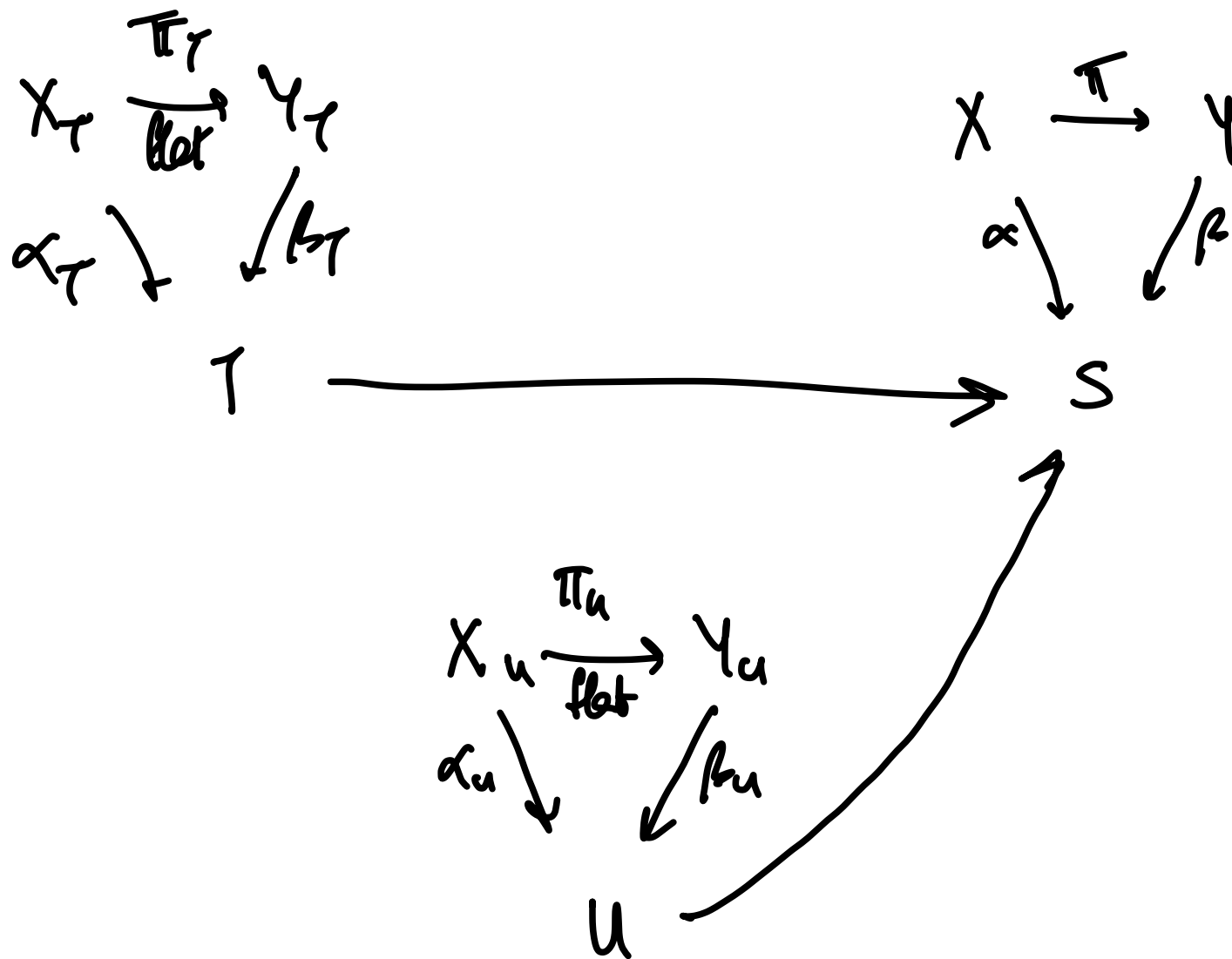
complement in X (closed)

Apply α . (closed)

Take complement (open) (in S). U .



Why does it satisfy the universal property?



Proposition

- Suppose:
- $X \rightarrow Y$ morphism of k -schemes
 - l/k is a field extension
 - $X_l \rightarrow Y_l$ flat

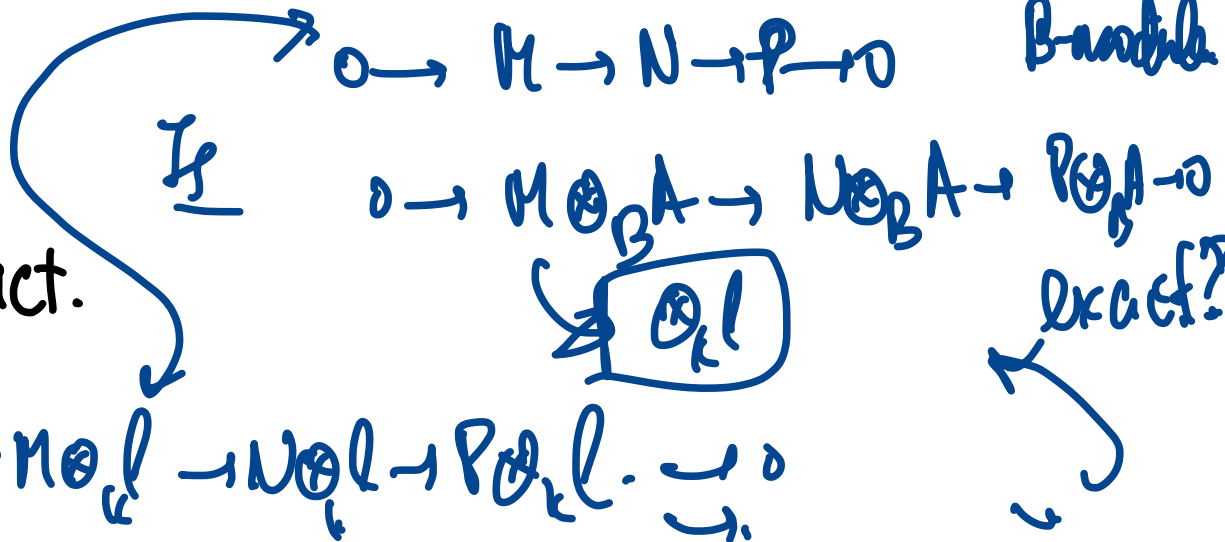
Then $X \rightarrow Y$ is flat

Proof Reduce to the case where X and Y are affine

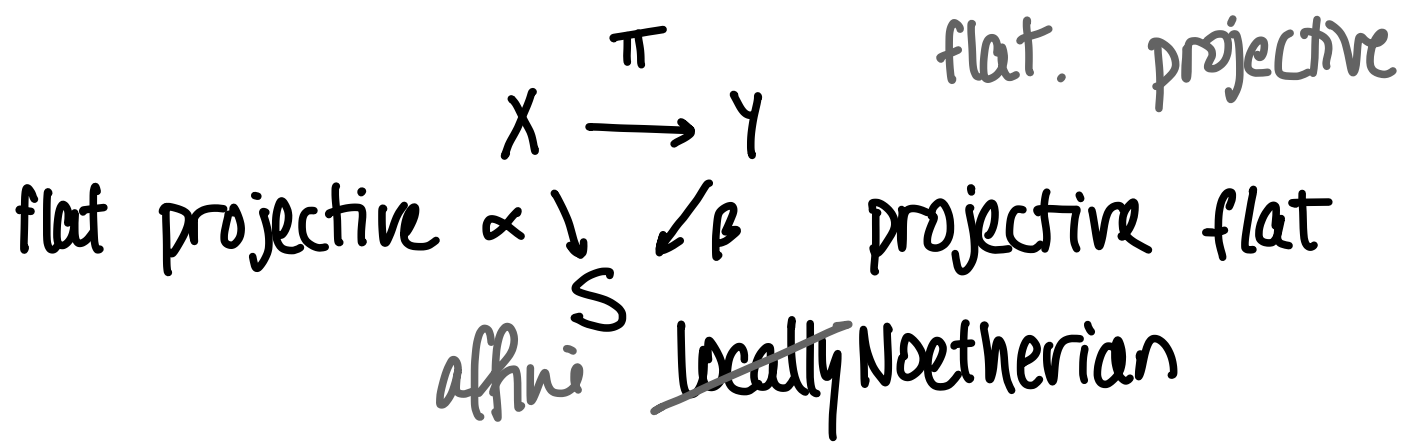
$\text{Spec } A \rightarrow \text{Spec } B$ i.e. $B \rightarrow A$

IS $(\otimes_B A)$ exact?

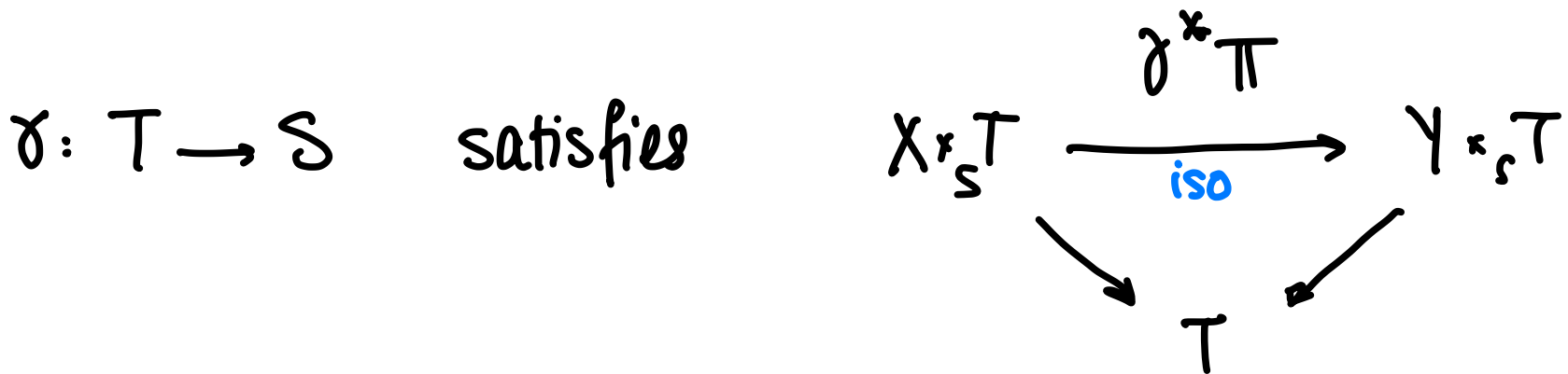
We know $(\otimes_{B_l} A_l)$ is exact.



Theorem // Given

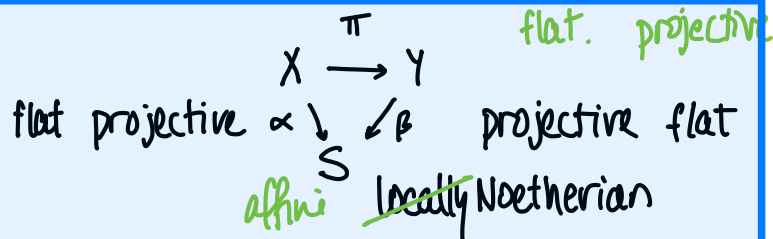


Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:

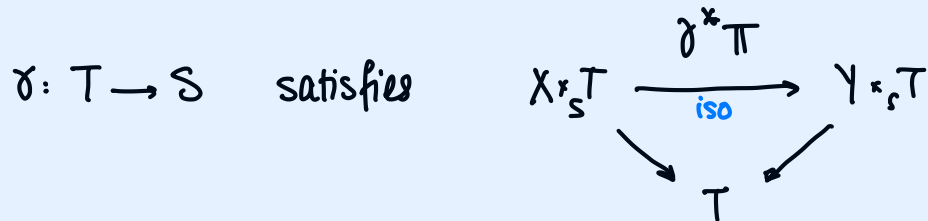


if and only if δ factors through $U \xrightarrow[\text{open}]{} S$.

Theorem Given



Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:



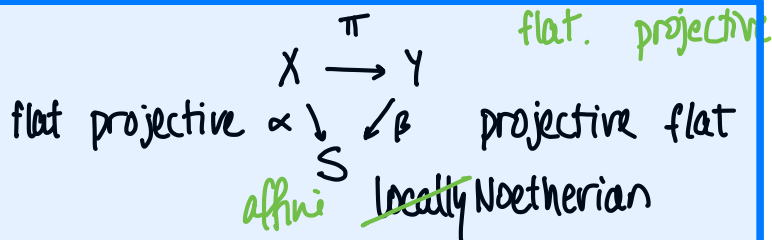
if and only if δ factors through $U \xrightarrow{\text{open}} S$.

$X \xrightarrow{\pi} Y$ projective.

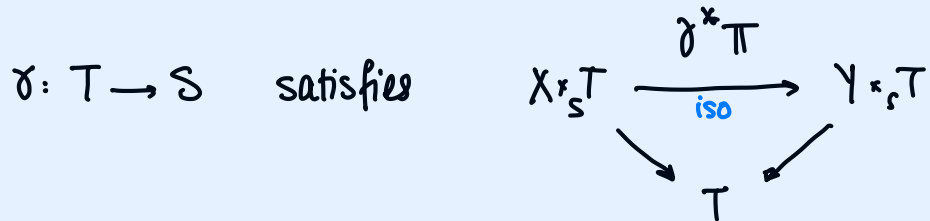
By upper semicontinuity of fiber dimension, there is a closed subset Y' of Y where the fiber dimension of $\pi|_{Y'}$ is > 0 .

Toss out $\beta(Y')$ in S leaving open subset of S .

Theorem Given



Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:



if and only if δ factors through $U \xrightarrow{\text{open}} S$.

fiber dim 0. \therefore finite.

Next, $\pi(X)$ is open;

let $Y'' \subset Y$ be the

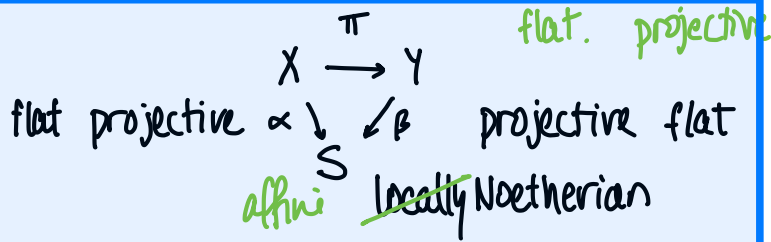
(closed) complement.

$\beta(Y'') \subset S$ is closed.

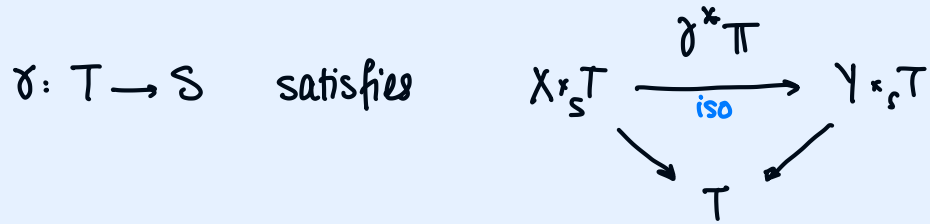
Throw it out.

Can now assume π surjective.

Theorem Given



Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:



$\delta: T \rightarrow S$ satisfies

if and only if δ factors through $U \xrightarrow{\text{open}} S$.

fiber dim 0. \therefore finite.
surjective.

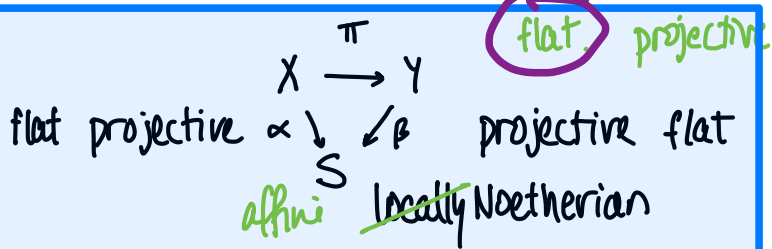
Next, $\pi_* \mathcal{O}_X$ is a coherent sheaf on Y .

By uppersemicontinuity of rank of $\pi_* \mathcal{O}_X$, there is

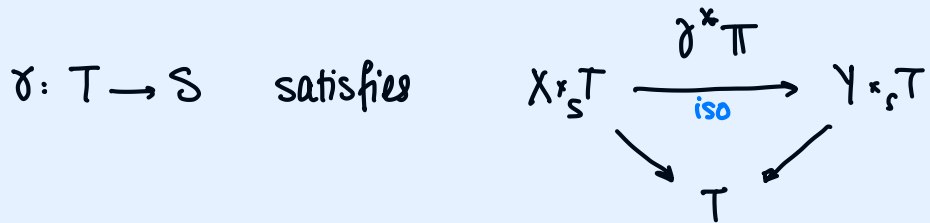
a closed subset $Z \subset Y$ where the rank is ≥ 2 .

Take map in S . Test it out.

Theorem Given



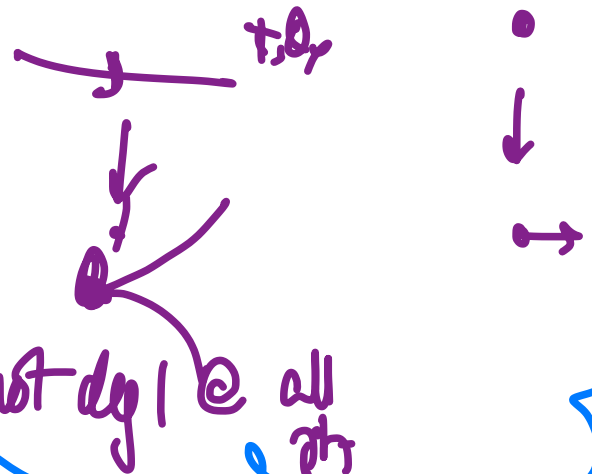
Then there is an open subset (subscheme) $U \subset S$ satisfying the following universal property:



if and only if γ factors through $U \xrightarrow{\text{open}} S$.

fiber dim 0. \therefore finite.
 surjective.
 degree 1 on points.

~~flat~~ know:
 $\pi_* \mathcal{O}_X = \mathcal{O}_Y$
 That's what's left

But what about:

 not deg 1 @ all pts

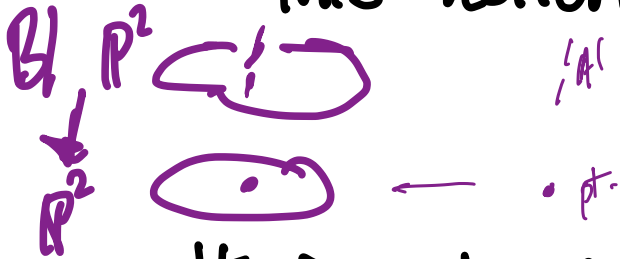
QED!

Definition

Useful: ^{if} further. $R^{i>0} \pi_* \mathcal{O}_X = 0$

A morphism $\pi: X \rightarrow Y$ such that $\mathcal{O}_Y \rightarrow \pi_* \mathcal{O}_X$ is an isomorphism is **\mathcal{O} -connected**.

This notion is clearly preserved by composition and local on the target.



It is not necessarily preserved by base change (example?), ^{thanks!} but it is preserved by flat base change. (cohomology/pushforward of quasicoherent

sheaves commutes with flat base change: use the RHF theorem on the Čech complex.)

Basic facts about θ -connected morphisms

Exercise: proper θ -connected morphisms are surjective.

Theorem (Zariski's Connectedness Lemma): Proper θ -connected morphisms have connected (nonempty) fibers.

Proposition: Suppose $\pi: X \rightarrow Y$ is proper, flat, and Y is locally reduced Noetherian. Suppose the fibers satisfy $h^0(X_q, \mathcal{O}_{X_q}) = \mathbb{I}$. Then π is θ -connected.

Proof:



Proposition: If X is proper / \bar{k} , and ~~geometrically~~ connected and ~~geometrically~~ fibers reduced, then $h^0(X, \mathcal{O}_X) = 1$.

Proof:

base change to \bar{k}



proper over \bar{k}

connected reduced



reduced γ

$\therefore \mathcal{O}$ -covered

($t = x$)



~~$S \in H^0(X, \mathcal{O}_X)$~~
 $S \in H^0(\bar{X}, \mathcal{O}_{\bar{X}})$



Here then is a nice class of morphisms:

$\pi: X \rightarrow S$ ~~proper~~ proper, flat, with geometrically reduced and geometrically connected fibers. $\therefore \theta$ -connected.

$\begin{array}{c} \text{SSX} \\ \downarrow \\ \sim \end{array}$

This notion is: local on the base, and preserved by base change.

(\therefore "universally θ -connected")

Question (that I've not thought about, but I want to know the answer to): Is it closed under composition?