UNIVERSAL COVERING SPACES AND FUNDAMENTAL GROUPS IN ALGEBRAIC GEOMETRY AS SCHEMES

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ABSTRACT. In topology, the notions of the fundamental group and the universal cover are closely intertwined. By importing usual notions from topology into the algebraic and arithmetic setting, we construct a fundamental group family from a universal cover, both of which are schemes. A geometric fiber of the fundamental group family (as a topological group) is canonically the étale fundamental group. The constructions apply to all connected quasicompact schemes; we needn't work over a field. Noetherian hypotheses don't need to be removed after the fact; they are not there from the start.

CONTENTS

1. INTRODUCTION

This paper takes certain natural topological constructions into the algebraic and arithmetic setting. Primarily, we refer to the following: for a sufficiently nice topological space X, the fundamental group $\pi_1^{\mathrm{top}}(X, x)$ varies continuously as x varies. Thus, there is a family of pointed fundamental groups, which we denote $\underline{\pi_1}^{\mathrm{top}}(X) \longrightarrow X$, whose fibers are canonically $\pi_1^{\mathrm{top}}(X, x)$. $\underline{\pi_1}^{\mathrm{top}}(X)$ is a group object among covering spaces. We call it the *fundamental group family*. (It is also the isotropy group of the fundamental groupoid, as well as the adjoint bundle of the universal cover $\tilde{X} \to X$ viewed as a principal $\mathrm{Aut}(\tilde{X}/X)$-bundle, but both of these are awkwardly long to be used as names.) This paper repeats this process in the setting of algebraic geometry: for any connected quasicompact scheme X, we construct a group scheme $\underline{\pi_1}(X) \to X$ whose fibers are Grothendieck's étale fundamental group $\pi_1(X, x)$.

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The motivation for gluing together the $\pi_1(X, x)$ (which are individually topological groups) into a group scheme requires some explanation. We wish to study the question: what is a "loop up to homotopy" on a scheme? Grothendieck's construction of the étale fundamental group gives the beautiful perspective that loops up to homotopy are what classify covering spaces. Although a map from the circle to a scheme and the equivalence class of such a map up to homotopy are problematic to define, [SGA1] defines the fundamental group by first defining a covering space to be a finite étale map, and then defining π_1 as the group classifying such covering spaces. As finite étale maps of complex varieties are equivalent to *finite* topological covering spaces, this definition begs the question: why have we restricted to finite covering spaces? There are at least two answers to this question, neither of which is new: the first is that the covering spaces of infinite degree may not be algebraic; it is the finite topological covering spaces of a complex analytic space corresponding to a variety that themselves correspond to varieties. The second is that Grothendieck's étale π_1 classifies more than finite covers. It classifies inverse limits of finite étale covering spaces [SGA1, Exp. V.5, e.g., Prop. 5.2]. These inverse limits are the profinite-étale covering spaces we discuss in this paper (see Definition 2.3). Grothendieck's enlarged fundamental group [SGA 3, Exp. X.6] even classifies some infinite covering spaces that are not profinite-étale.

In topology, a covering space is defined to be a map which is locally trivial in the sense that it is locally of the form $\coprod U \to U$. We have the heuristic picture that to form a locally trivial space, you take a trivial space $\coprod U \to U$ and every time you go around a loop, you decide how to glue the trivial space to itself. (This heuristic picture is formalized by the theory of descent.) This leads to the notion that what the group of loops up to homotopy *should* classify are the locally trivial spaces. It becomes natural to ask: to what extent are finite étale or profinite-étale covering spaces locally trivial?[1] This is a substitute for the question: to what extent is étale π_1 the group of "loops up to homotopy" of a scheme?

The answer for finite étale maps is straightforward and well-known. (Finite étale maps are finite étale locally $\coprod_S U \to U$ for S a finite set.) For profinite-étale maps, we introduce the notion of Yoneda triviality and compare it to the notion that a trivial map is a map of the form $\coprod U \to U$ (see Definition 2.1 and Proposition 2.2). Although a profinite-étale morphism is locally Yoneda trivial (Corollary 3.7), locally Yoneda trivial morphisms need not be profinite-étale. Indeed, the property of being profinite-étale is not Zariski-local on the base (see Warning 2.5(b)). Since the étale fundamental group, which classifies profinite-étale spaces, is obviously useful, but there are other locally trivial spaces, this suggests that there are different sorts of fundamental groups, each approximating "loops up to homotopy," by classifying some notion of a covering space, where a covering space is some restricted class of locally trivial spaces.[2] (Also see §4.16.)

Returning to the motivation for constructing the fundamental group family, it is not guaranteed that the object which classifies some particular notion of covering space is a group; the étale fundamental group is a topological group; and work of Nori [N2] shows

[1]The same question should be asked for the covering spaces implicit in Grothendieck's enlarged fundamental group; we do not do this in this paper.

[2]Note that the notion of a "locally trivial space" is composed of the notion of "locally" and the notion of a "trivial space." The idea of changing the notion of "locally" is thoroughly developed in the theory of Grothendieck topologies. Here, we are also interested in different notions of "trivial."

that scheme structure can be necessary. (Nori's fundamental group scheme is discussed in more detail in §1.1.) However, a fiber of the fundamental group family of §4 should classify covering spaces, and indeed does in the case we deal with in this paper, where "covering space" means profinite-étale morphism (see Theorem 4.5).

More concretely, consider the following procedure: (1) define *trivial covering space*. (2) Define *covering space*. (3) Find a large class of schemes which admit a simply connected covering space, where a *simply connected* scheme is a scheme whose covering spaces are all trivial. (4) Use (3) and the adjoint bundle construction described in §4 to produce a fundamental group family. This fundamental group family should be a group scheme over the base classifying the covering spaces of (2).

We carry out this procedure with "trivial covering space" defined to mean a Yoneda trivial profinite-étale morphism, and "covering space" defined to mean a profinite-étale morphism. Then, for any connected, quasicompact scheme, there is a universal covering space (see Proposition 3.5), and the topological group underlying the fibers of the corresponding fundamental group family are the pointed étale fundamental groups (see Theorem 4.5). In particular, the topology on the étale fundamental group is the Zariski topology on the fundamental group family. Motivation for this is the exercise that $\operatorname{Spec} \overline{\mathbb{Q}} \otimes_{\mathbb{Q}} \overline{\mathbb{Q}}$ (with the Zariski topology) is homeomorphic to $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ (with the profinite topology). We work through these ideas in a number of explicit examples.

1.1. **Relation to earlier work.** Over a field k and subject to additional hypotheses, fundamental group schemes have already been constructed using Tannaka duality. Work of Nori [N1, N2] develops a fundamental group scheme which classifies principal G-bundles for G a finite group scheme over k, under the hypothesis that the base scheme is connected, reduced, and equipped with a rational point. The scheme structure is necessary for this classification. Furthermore, Nori's fundamental group scheme has an associated universal cover [N2, p. 84]. We expect that Nori's universal cover admits a fundamental group family as in §4 whose fiber over the given k-rational point is Nori's fundamental group scheme. In particular, Nori's universal cover should not be the universal cover of Proposition 3.5. We suspect that it is the inverse limit of pointed principal G-bundles, where G is a finite group scheme over k, and that the universal cover of Proposition 3.5 is the maximal pro-étale quotient. We have not verified these claims.

Esnault and Hai [EH] define a variant of Nori's fundamental group scheme for a smooth scheme X over a characteristic 0 field k, where k is the field of constants of X. While our constructions are more general,[3] the goals of Nori and Esnault-Hai are quite different. For example, Esnault and Hai reconcile Nori's viewpoint with Deligne's Tannaka formulism as developed in [D].

The idea of changing the notion of "covering space" to recover the classification of covering spaces by a fundamental group has appeared earlier in topology. For example, Biss uses a fundamental group equipped with a topology to classify "rigid covering bundles" over some non semi-locally simply connected spaces (such as the Hawaiian earring)

[3]We assume only that the base scheme is connected and quasi-compact. We don't need to work over a field as we don't use the theory of Tannaka categories.

[Bi1, Bi2], where the usual topological theory of covering spaces is not valid. Moreover, "rigid covering bundles," which are defined as Serre fibrations whose fibers have no non-constant paths, are analagous to fiber bundles with totally disconnected fiber. In the context of this paper, such a fiber bundle should be viewed as a locally trivial space, where "trivial" is defined to mean $U \times F \to U$, where F is a totally disconnected topological space.

It is well-known that Noetherian hypotheses can be removed from the theory of the étale fundamental group, but it seems simplest to just not introduce them in the first place, as we do here. Similarly, the existence of the universal cover of Proposition 3.5 is well-known to experts, but we include a proof in the required generality for completeness.

The universal cover of a variety (in the sense of §3) is not in general a variety. It is the algebraic analogue of a *solenoid* (see for example Dennis Sullivan's [Su]), and perhaps profinite-étale covering spaces of varieties deserve this name as well. Solenoids are examples of finite-dimensional proalgebraic varieties in the sense of Piatetski-Shapiro and Shafarevich, see [PSS, §4]. (Caution: Prop. 2 of [PSS, §4] appears to be contradicted by Warning 2.5(b).)

Conventions. As usual, fpqc means faithfully flat and quasicompact, and K^s is the separable closure of K. The phrase "profinite-étale" appears in the literature, but it is not clear to us that there is a consistent definition, so to prevent confusion, we define it in Definition 2.3. Warning: other definitions (such as the one implicit in [PSS]) are different from ours, and would lead to a *different* universal cover and fundamental group scheme.

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2. From topology to algebraic geometry, via a "right" notion of covering space

2.1. **Definition.** A map of schemes $f : Y \to X$ is *Yoneda trivial* if f admits a set of sections S such that for each connected scheme Z, the natural map

$$\mathrm{Maps}(Z, X) \times S \to \mathrm{Maps}(Z, Y)$$

is a bijection. S is called the *distinguished set of sections of* f.

The name "Yoneda trivial" comes from Yoneda's lemma, which controls Y by the morphisms to Y; Y is trivial over X in the sense that maps to Y from connected schemes are controlled by maps to X.

Note that if X is connected, the distinguished sections must be the entire set of sections.

A trivial topological covering space is a map of topological spaces of the form $\coprod U \to U$. We compare Yoneda trivial morphisms to morphisms of the form $\coprod X \to X$.

2.2. **Proposition. —** *Let X be a scheme. Then $\coprod X \to X$ is Yoneda trivial. If $f : Y \to X$ is Yoneda trivial and Y is locally Noetherian (or if the underlying topological space of Y is a disjoint union of connected components), then f is of the form $\coprod_S X \to X$ for some set S.*

Proof. The first statement is obvious. If Y is locally Noetherian, then Y is a disjoint union of connected schemes: $Y = \coprod_{c \in C} Y_c$ with Y_c connected. Since f is Yoneda trivial, the inclusion $Y_c \hookrightarrow Y$ factors through a distinguished section. It follows that $f : Y_c \to X$ is an isomorphism. □

The distinguished sections S of a Yoneda trivial morphism $f : Y \to X$ can be given the structure of a topological space: let $\mathfrak{T}$ denote the forgetful functor from schemes to topological spaces. It follows easily from the definition that Yoneda trivial morphisms induce isomorphisms on the residue fields of points, and therefore that the distinguished set of sections is in bijection with any fiber of $\mathfrak{T}(f) : \mathfrak{T}(Y) \to \mathfrak{T}(X)$. In particular, S is a subset of $\mathrm{Maps}_{\mathrm{cts}}(\mathfrak{T}(X), \mathfrak{T}(Y))$, the continuous maps from $\mathfrak{T}(X)$ to $\mathfrak{T}(Y)$. Give $\mathrm{Maps}_{\mathrm{cts}}(\mathfrak{T}(X), \mathfrak{T}(Y))$ the topology of pointwise convergence and give S the subspace topology.

2.3. **Definition.** A morphism of schemes $f : Y \to X$ is *profinite-étale* if $Y = \underline{\mathrm{Spec}}\mathcal{A}$, where $\mathcal{A}$ is a colimit of finite étale sheaves of algebras. Thus f is an inverse limit of finite étale morphisms.

2.4. **Definition.** A *covering space* is a profinite-étale morphism.

We sometimes say (redundantly) *profinite-étale covering space*. (This redundancy comes from the point of view that there are other interesting notions of covering space.)

Profinite-étale covering spaces are clearly stable under pull-back and composition.

2.5. *Warnings.*

(a) Although a profinite-étale morphism is integral, flat, and formally unramified, the converse need not hold. For example, let p be a prime, $X = \mathrm{Spec}\,\mathbb{F}_p(t)$, and

$$Y = \mathrm{Spec}\,\mathbb{F}_p(t^{1/p^\infty}) = \mathrm{Spec}\,\mathbb{F}_p(t)[x_1, x_2, \ldots]/\langle x_1^p - t, x_i^p - x_{i-1} : i = 2, 3, \ldots \rangle.$$

Since $\Omega_{Y/X}$ is generated as a $\mathbb{F}_p(t^{1/p^\infty})$-vector space by $\{dx_i : i = 1, 2, \ldots\}$ and since the relation $x_{i+1}^p - x_i$ implies that dx_i is zero, it follows that $\Omega_{Y/X} = 0$. Also, $Y \to X$ is clearly profinite and flat. Since the field extension $\mathbb{F}_p(t^{1/p^\infty})/\mathbb{F}_p(t)$ is purely inseparable, and since any finite étale X-scheme is a finite disjoint union of spectra of finite separable extensions of $\mathbb{F}_p(t)$, Y is not an inverse limit of finite étale X-schemes.

(b) Unlike covering spaces in topology, the property of being profinite-étale is not Zariski-local on the target. Here is an example. Consider the arithmetic genus 1 complex curve C obtained by gluing two $\mathbb{P}^1$'s together along two points, and name the nodes

p and q (Figure 1). Consider the profinite-étale covering space $Y \to C - p$ given by $\operatorname{Spec} \mathcal{O}_{C-p}[\ldots, x_{-1}, x_0, x_1, \ldots]/(x_i^2 - 1)$ and the profinite-étale covering space $Z \to C - q$ given by $\operatorname{Spec} \mathcal{O}_{C-q}[\ldots, y_{-1}, y_0, y_1, \ldots]/(y_i^2 - 1)$. Glue Y to Z (over C) by identifying x_i with y_i on the "upper component", and x_i with y_{i+1} on the "lower component". Then $Y \cup Z \to C$ is not profinite-étale, as it does not factor through any nontrivial finite étale morphisms.

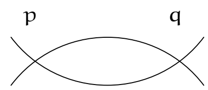

FIGURE 1. An example showing that the notion of profinite-étale is not Zariski-local

A map from a connected X-scheme to a profinite-étale covering space of X is determined by the image of a geometric point:

2.6. **Proposition.** — *Let (X, x) be a connected, geometrically-pointed scheme, and let $\wp : (Y, y) \to (X, x)$ be a profinite-étale covering space of X. If $f : (Z, z) \to (X, x)$ is a morphism from a connected scheme Z and $\tilde{f}_1$ and $\tilde{f}_2$ are two lifts of f taking z to y, then $\tilde{f}_1 = \tilde{f}_2$*

Proof. By the universal property of the inverse limit, we reduce to the case where $\wp$ is finite étale. Since the diagonal of a finite étale morphism is an open and closed immersion, the proposition follows. □

2.7. *Example: profinite sets give Yoneda trivial profinite-étale covering spaces.* If S is a profinite set, define the *trivial S-bundle* over X by

$$\underline{S}_X := \underline{\operatorname{Spec}}\ (\operatorname{Maps}_{cts}(S, \mathcal{O}_X))$$

where $\mathcal{O}_X(U)$ is given the discrete topology for all open $U \subset X$. It is straightforward to verify that $\underline{S}_X \to X$ is a Yoneda trivial covering space with distinguished sections canonically homeomorphic to S, and that if $S = \varprojlim_I S_i$, then $\underline{S} = \varprojlim_I \underline{S_i}$. We will see that this example describes all Yoneda trivial profinite-étale covering spaces (Proposition 2.9).

The topology on the distinguished sections of a Yoneda trivial profinite-étale covering space is profinite:

2.8. **Proposition.** — *Let $f : Y \to X$ be a Yoneda trivial profinite-étale covering space with distinguished set of sections S. Let p be any point of $\mathfrak{T}(X)$. Let $\mathcal{F}_p(\mathfrak{T}(f))$ be the fiber of $\mathfrak{T}(f) : \mathfrak{T}(Y) \to \mathfrak{T}(X)$ above p. The continuous map $S \to \mathcal{F}_p(\mathfrak{T}(f))$ given by evaluation at p is a homeomorphism. In particular, S is profinite.*

Proof. Since f is profinite-étale, we may write f as $\varprojlim f_i$ where $f_i : Y_i \to X$ is a finite étale covering space indexed by a set I. By [EGA IV$_3$, §8 Prop. 8.2.9], the natural map

$\mathfrak{T}(Y) \to \varprojlim \mathfrak{T}(Y_i)$ is a homeomorphism. Since f_i is finite, $\mathcal{F}_x(\mathfrak{T}(f_i))$ is finite. Thus, $\mathcal{F}_x(\mathfrak{T}(f))$ is profinite.

For any $p' \in \mathcal{F}_p(\mathfrak{T}(f))$, the extension of residue fields $k(p) \subset k(p')$ is trivial since the map $\operatorname{Spec} k(p') \to Y$ must factor through X by Yoneda triviality. It follows that we have a unique lift of $\operatorname{Spec} k(p) \to X$ through f with image p'. By definition of Yoneda triviality, we have that p' is in the image of a unique element of S. Thus $S \to \mathcal{F}_p(\mathfrak{T}(f))$ is bijective.

Since S is given the topology of pointwise convergence, to show that the bijection $S \to \mathcal{F}_p(\mathfrak{T}(f))$ is a homeomorphism, it suffices to show that for any q in $\mathfrak{T}(X)$, the map $\mathcal{F}_p(\mathfrak{T}(f)) \to S \to \mathcal{F}_q(\mathfrak{T}(f))$ is continuous.

The sections in S produce a set sections S_i of f_i. Since $Y \to Y_i$ is profinite-étale, $Y \to Y_i$ is integral. Thus, $\mathcal{F}_p(\mathfrak{T}(f)) \to \mathcal{F}_p(\mathfrak{T}(f_i))$ is surjective. It follows that for any $p'_i \in \mathcal{F}_p(\mathfrak{T}(f_i))$, p'_i is in the image of one of the sections in S_i and that $k(p'_i) = k(p)$. Since $Y_i \to X$ is finite-étale and X is connected, it follows that $Y_i \cong \coprod_{S_i} X$. The isomorphisms $Y_i \cong \coprod_{S_i} X$ identify $\mathcal{F}_p(\mathfrak{T}(f))$, S, and $\mathcal{F}_q(\mathfrak{T}(f))$ with $\varprojlim S_i$ compatibly with the evaluation maps. □

Yoneda trivial profinite-étale covering spaces are trivial S-bundles, where S is the distinguished set of sections as a topological space. In fact, taking such a covering space to its distinguished sections is an equivalence of categories:

2.9. **Proposition. —** *Let X be a connected scheme and let $f : Y \to X$ be a Yoneda trivial profinite-étale covering space. Let S denote the distinguished set of sections of f. Then there is a canonical isomorphism of X-schemes $Y \cong \underline{S}_X$. Furthermore, if $f_1 : Y_1 \to X$ and $f_2 : Y_2 \to X$ are two Yoneda trivial profinite-étale covering spaces with distinguished sets of sections S_1 and S_2 respectively, then the obvious map*

$$\operatorname{Maps}_{cts}(S_1, S_2) \to \operatorname{Maps}(Y_1, Y_2)$$

is a bijection.

Proof. Since every element of S is a map $X \to Y$, we have a canonical map $S \times \mathcal{O}_Y \to \mathcal{O}_X$. By adjointess, we have $\mathcal{O}_Y \to \operatorname{Maps}(S, \mathcal{O}_X)$.

Since f is profinite-étale, there is an inverse system of finite étale X-schemes $\{Y_i \to X\}_{i \in I}$ such that $Y \cong \varprojlim_I Y_i$. As in the proof of Proposition 2.8, for each $i \in I$, S induces a (finite) set of sections S_i of $Y_i \to X$ and, futhermore, $Y_i \cong \coprod_{S_i} X$ and $S \cong \varprojlim_I S_i$.

Since $Y \cong \varprojlim_I Y_i$, the map $\mathcal{O}_Y \to \varinjlim_I \operatorname{Maps}(S_i, \mathcal{O}_X)$ is an isomorphism. Note that $\varinjlim_I \operatorname{Maps}(S_i, \mathcal{O}_X) = \operatorname{Maps}_{cts}(\varprojlim_I S_i, \mathcal{O}_X)$. Thus we have a canonical isomorphism of X-schemes $Y = \underline{S}_X$.

Now consider f_1 and f_2. Given $g \in \operatorname{Maps}(Y_1, Y_2)$ and $s_1 \in S_1$, we have a section $g \circ s_1$ of f_2, and therefore an element $s_2 \in S_2$. Thus g determines a map $S_1 \to S_2$. Since the evaluation maps $S_j \to \mathcal{F}_p(\mathfrak{T}(f_j))$ $j = 1, 2$ and the map $\mathfrak{T}(g) : \mathcal{F}_p(\mathfrak{T}(f_1)) \to \mathcal{F}_p(\mathfrak{T}(f_2))$ fit into the commutative diagram

$$\begin{tikzcd} S_1 \arrow[r] \arrow[d] & S_2 \arrow[d] \\ \mathcal{F}_p(\mathfrak{T}(f_1)) \arrow[r] & \mathcal{F}_p(\mathfrak{T}(f_2)), \end{tikzcd}$$

the map $S_1 \to S_2$ is continuous by Proposition 2.8. We therefore have $\mathrm{Maps}(Y_1, Y_2) \to \mathrm{Maps}_{cts}(S_1, S_2)$.

It is obvious that $\mathrm{Maps}_{cts}(S_1, S_2) \to \mathrm{Maps}(Y_1, Y_2) \to \mathrm{Maps}_{cts}(S_1, S_2)$ is the identity. Because $\coprod_{s_1 \in S_1} s_1 : \coprod_{S_1} X \to Y_1$ is an fpqc cover, it follows that

$$\mathrm{Maps}(Y_1, Y_2) \to \mathrm{Maps}_{cts}(S_1, S_2) \to \mathrm{Maps}(Y_1, Y_2)$$

is the identity. □

Heuristically, an object is Galois if it has maximal symmetry. Since automorphisms $\mathrm{Aut}(Y/X)$ of a covering space $Y \to X$ are sections of the pullback $Y \times_X Y \to Y$, it is reasonable to define a covering space to be Galois if the pullback is Yoneda trivial:

2.10. **Definition.** A profinite-étale covering space $Y \to X$ is defined to be *Galois* if $Y \times_X Y \to Y$ is Yoneda trivial.

For a Galois covering space $Y \to X$ with Y connected, $\mathrm{Aut}(Y/X)$ is a profinite group; the topology on $\mathrm{Aut}(Y/X)$ comes from identifying $\mathrm{Aut}(Y/X)$ with the space of distinguished sections of $Y \times_X Y \to Y$ and applying Proposition 2.8.

2.11. *Example: Trivial profinite group schemes over* X. If G is a profinite group with inverse i and multiplication m, define the *trivial* G*-bundle* as the X-scheme $\underline{G}_X$ of Example 2.7 with the following group scheme structure. We describe a Hopf algebra structure over an open set U; this construction will clearly glue to yield a sheaf of Hopf algebras. The coinverse map sends

$$G \xrightarrow[cts]{f} \mathcal{O}_X(U) \tag{1}$$

to the composition

$$G \xrightarrow{i} G \xrightarrow[cts]{f} \mathcal{O}_X(U).$$

The coinverse $f \circ i$ is indeed continuous, as it is the composition of two continuous maps. The comultiplication map sends (1) to the composition

$$\begin{tikzcd} G \times G \arrow[r, "m"'] \arrow[rr, bend left] & G \arrow[r, "f\ cts"'] & \mathcal{O}_X(U) \end{tikzcd} \tag{2}$$

using the isomorphism

$$\mathrm{Maps}_{cts}(G \times G, \mathcal{O}_X(U)) \cong \mathrm{Maps}_{cts}(G, \mathcal{O}_X(U)) \otimes_{\mathcal{O}_X(U)} \mathrm{Maps}_{cts}(G, \mathcal{O}_X(U))$$

where $G \times G$ has the product topology. The map (2) is continuous, as it is the composition of two continuous maps. The coidentity map is the canonical map $\mathrm{Maps}_{cts}(G, \mathcal{O}_X) \to \mathcal{O}_X$

given by evaluation at e. The fact that these maps satisfy the axioms of a Hopf algebra is the fact that (G, e, i, m) satisfies the axiom of a group.

The trivial G-bundle on any X is clearly pulled back from the trivial G-bundle on $\operatorname{Spec}\mathbb{Z}$.

2.12. *Example: $\hat{\mathbb{Z}}$, roots of unity, and Cartier duality.* The following example is well-known. It is included because it is an explicit example of the construction of §2.11.

The roots of unity form a Hopf algebra: let A be a ring and define

$$A[\mu_\infty] := A[t_{1!}, t_{2!}, t_{3!}, \ldots]/(t_{1!} - 1, t_{2!}^2 - t_1, \ldots, t_{n!}^n - t_{(n-1)!}, \ldots).$$

Give $A[\mu_\infty]$ a Hopf algebra structure by $i : t_n \to t_n^{-1}$, $\mu : t_n \to t'_n t''_n$.

Let A be a ring containing a primitive n^{th} root of unity for any positive integer n. (In particular $\operatorname{char} A = 0$.) The $t_{j!}$ correspond to continuous characters $\hat{\mathbb{Z}} \to A^*$. For example, t_2 corresponds to the continuous map sending even elements to 1 and odd elements to -1 (i.e. $n \mapsto (-1)^n$). (Choosing such a correspondence is equivalent to choosing an isomorphism between $\hat{\mathbb{Z}}$ and $\mu_\infty(A)$.) The hypothesis $\operatorname{char} A = 0$ implies that $A[\mu_\infty]$ is isomorphic to the subalgebra of continuous functions $\hat{\mathbb{Z}} \to A$ generated by the continuous characters. Because the characters span the functions $\mathbb{Z}/n \to A$, it follows that

$$\underline{\hat{\mathbb{Z}}}_{\operatorname{Spec} A} \cong \operatorname{Spec} A[\mu_\infty].$$

Such an isomorphism should be interpreted as an isomorphism between $\underline{\hat{\mathbb{Z}}}$ and its Cartier dual.

Combining Proposition 2.9 and Example 2.11 shows that a connected Galois covering space pulled back by itself is the trivial group scheme on the automorphisms:

2.13. **Proposition. —** *Let $f : Y \to X$ be a Galois profinite-étale covering space with Y connected. Then*

$$\begin{tikzcd} \underline{\operatorname{Aut}(Y/X)}_X \times_X Y \arrow[r, "\mu"] \arrow[d] & Y \arrow[d] \\ Y \arrow[r] & X \end{tikzcd} \tag{3}$$

is a fiber square such that the map μ is an action.

3. Algebraic universal covers

3.1. **Definition.** A connected scheme X is *simply connected* if all covering spaces are Yoneda trivial. With covering space defined as in Definition 2.4, this is equivalent to the usual definition that X is simply connected if a connected finite étale X-scheme is isomorphic to X (via the structure map).

3.2. **Definition.** A covering space $p : \tilde{X} \to X$ of a connected scheme X is a *universal cover* if $\tilde{X}$ is connected and simply connected.

3.3. **Proposition. —** *Let* X *be a connected scheme. Then a universal cover of* X *is unique up to (not necessarily unique) isomorphism.*

Proof. Let $\tilde{X}_1, \tilde{X}_2$ be two universal covers of X. Since covering spaces are stable under pull-back, $\tilde{X}_1 \times_X \tilde{X}_2 \to \tilde{X}_1$ is a profinite-étale covering space. Since $\tilde{X}_1$ is simply connected, $\tilde{X}_1 \times_X \tilde{X}_2 \to \tilde{X}_1$ is Yoneda trivial. In particular, $\tilde{X}_1 \times_X \tilde{X}_2 \to \tilde{X}_1$ admits a section, whence we have a map of X-schemes $f : \tilde{X}_1 \to \tilde{X}_2$. We see that f is an isomorphism as follows: since $\tilde{X}_1 \times_X \tilde{X}_2 \to \tilde{X}_2$ is Yoneda trivial, the map $\mathrm{id} \times f : \tilde{X}_1 \to \tilde{X}_1 \times_X \tilde{X}_2$ factors through $f : \tilde{X}_1 \to \tilde{X}_2$ by a distinguished section $g \times \mathrm{id}$ of $\tilde{X}_1 \times_X \tilde{X}_2 \to \tilde{X}_2$. In particular $gf : \tilde{X}_1 \to \tilde{X}_1$ is the identity. Switching the roles of $\tilde{X}_1$ and $\tilde{X}_2$ we can find $f' : \tilde{X}_1 \to \tilde{X}_2$ such that $f'g : \tilde{X}_2 \to \tilde{X}_2$ is the identity. Thus $f = f'gf = f'$, and we have that f is an isomorphism with inverse g. □

3.4. **Proposition. —** *Let* X *be a connected scheme equipped with a geometric point* x*. Suppose* $p : (\tilde{X}, \tilde{x}) \to (X, x)$ *is an initial object among pointed covering spaces of* X *such that* $\tilde{X}$ *is connected. Then* $\tilde{X}$ *is a simply connected Galois covering space.*

Proof. We first show that $\tilde{X}$ is simply connected. Let $q : \tilde{Y} \to \tilde{X}$ be a covering space of $\tilde{X}$ and let S be the set of sections of q. We will show that q is Yoneda trivial with distinguished set of sections S. Let Z be a connected $\tilde{X}$-scheme. We need to show that $S \to \mathrm{Maps}_{\tilde{X}}(Z, \tilde{Y})$ is bijective. Injectivity follows from Proposition 2.6. From Proposition 2.6 it also follows that we may assume that $Z \to \tilde{X}$ is a geometric point of $\tilde{X}$. Let z be a geometric point of $\tilde{X}$, and let $\tilde{z}$ be a lift of z to $\tilde{Y}$. Applying Proposition 2.6 again, we see that it suffices to construct a map of X-schemes $(\tilde{X}, z) \to (\tilde{Y}, \tilde{z})$. Since profinite-étale maps are closed under composition, $\tilde{Y} \to X$ is profinite-étale. Thus $\tilde{Y}$ is an inverse limit of finite étale X-schemes. Thus by Proposition 2.6, it suffices to show that for any pointed finite étale $(Y, y) \to (X, pz)$, we have an X map $(\tilde{X}, z) \to (Y, y)$. Take $Y \to X$ finite étale, and let d be the degree of Y. Since p is initial, we have d maps $\tilde{X} \to Y$ over X. By Proposition 2.6, we therefore have an X map $(\tilde{X}, z) \to (Y, y)$. Thus $\tilde{X}$ is simply connected.

Since $\tilde{X}$ is simply connected, $\tilde{X} \times_X \tilde{X} \to \tilde{X}$ is Yoneda trivial, and therefore $\tilde{X}$ is a Galois covering space. □

3.5. **Proposition. —** *If* X *is connected and quasicompact, then a universal cover* $p : \tilde{X} \to X$ *exists.*

3.6. *Remark on Noetherian conditions.* If X is Noetherian, in general $\tilde{X}$ will not be Noetherian. We will see (Theorem 4.5) that the geometric fibers of p are in natural bijection with the étale fundamental group. Thus if X has infinite étale fundamental group, and a point q with algebraically closed residue field, then $p^{-1}(q)$ is dimension 0 (as p is integral) with an infinite number of points, so $\tilde{X}$ has a closed subscheme which is not Noetherian and is thus not Noetherian itself. However, such a solenoid is not so pathological. For example, by [EGA III$_1$, Pt. 0, Lem 10.3.1.3], its local rings are Noetherian, as pointed out to us by Stefan Schröer.

Proof of Proposition 3.5. Choose a geometric point $x : \operatorname{Spec}\Omega \to X$. By Proposition 3.4, it suffices to show that the category of pointed covering spaces of (X, x) has a connected initial object.

If (Y_ν, y_ν) are two geometrically-pointed connected finite étale (X, x)-schemes, we will say that $(Y_2, y_2) \geq (Y_1, y_1)$ if there is a morphism of pointed (X, x)-schemes $(Y_2, y_2) \to (Y_1, y_1)$. The diagonal of a finite étale map is an open and closed immersion, so an X-map from a connected scheme to a finite étale X-scheme is determined by the image of a single geometric point. Thus the symbol $\geq$ is a partial order on isomorphism classes of connected pointed finite étale X-schemes.

The set I of isomorphism classes of connected finite étale X-schemes equipped with $\geq$ is directed: suppose (Y_1, y_1) and (Y_2, y_2) are two geometrically-pointed connected (X, x)-schemes. Then $(Y_1 \times_X Y_2, w := y_1 \times y_2)$ is a geometrically-pointed finite étale (X, x)-scheme. Even though we have made no Noetherian assumptions, we can make sense of "the connected component Y' of $Y_1 \times Y_2$ containing w". If $Z \to X$ is a finite étale cover, then it has a well-defined degree, as X is connected. If Z is not connected, say $Z = Z_1 \coprod Z_2$, then as $Z_i \to X$ is also finite étale (Z_i is open in Z hence étale over X, and closed in Z, hence finite), and has strictly smaller degree. Thus there is a smallest degree d such that there exists an open and closed $W \hookrightarrow Y_1 \times_X Y_2$ containing $y_1 \times y_2$ of degree d over X, and W is connected. Then $(W, w) \geq (Y_i, y_i)$.

By [EGA IV$_3$, §8 Prop. 8.2.3], inverse limits with affine transition maps exist in the category of schemes, and the inverse limit is the affine map associated to the direct limit of the sheaves of algebras. Define $\tilde{X} := \varprojlim_I Y_i$, where we have chosen a representative pointed connected finite étale X-scheme (Y_i, y_i) for each $i \in I$. The geometric points $\{y_i\}_{i \in I}$ give a canonical geometric point $\tilde{x}$ of $\tilde{X}$.

By [EGA IV$_3$, §8 Prop. 8.4.1(ii)], since X is quasicompact, $\tilde{X}$ is connected. This is the only place where the quasicompactness hypotheses is used.

$(\tilde{X}, \tilde{x})$ admits a map to any pointed finite étale (X, x)-scheme by construction. This map is unique because $\tilde{X}$ is connected. Passing to the inverse limit, we see that $(\tilde{X}, \tilde{x})$ is an initial object in pointed profinite-étale X-schemes. □

3.7. **Corollary.** — *Profinite-étale covering spaces of connected and quasicompact schemes are profinite-étale locally Yoneda trivial.*

The remainder of this section is devoted to examples and properties of universal covers. It is not necessary for the construction of the fundamental group family of §4.

3.8. **Universal covers of group schemes.** The following result and proof are the same as for Lie groups.

3.9. **Theorem. —** *Let X be a connected group variety over an algebraically closed field k. Suppose $\operatorname{char} k = 0$ or X is proper. Choose any preimage $\tilde{e} \in \tilde{X}$ above $e \in X$. Then there exists a unique group scheme structure on $\tilde{X}$ such that $\tilde{e}$ is the identity and p is a morphism of group schemes over k.*

The choice of $\tilde{e}$ is not important: if $\tilde{e}'$ is another choice, then $(\tilde{X}, \tilde{e}) \cong (\tilde{X}, \tilde{e}')$. If k is not algebraically closed and $\operatorname{char} k = 0$, then a similar statement holds, with a more awkward wording. For example, the residue field of $\tilde{e}$ is the algebraic closure of that of e. To prove Theorem 3.9, we use a lemma.

3.10. **Lemma. —** *Suppose X and Y are connected finite type schemes over an algebraically closed field k. Suppose $\operatorname{char} k = 0$ or X is proper. Then $\tilde{X} \times \tilde{Y}$ is simply connected. Equivalently, a product of universal covers is naturally a universal cover of the product.*

Proof. This is equivalent to the following statement about the étale fundamental group. Suppose X and Y are finite type over an algebraically closed field k, with k-valued points x and y respectively. Suppose X is proper or $\operatorname{char} k = 0$. Then the natural group homomorphism

$$\pi_1^{et}(X \times Y, x \times y) \to \pi_1^{et}(X, x) \times \pi_1^{et}(Y, y)$$

is an isomorphism. The characteristic 0 case follows by reducing to $k = \mathbb{C}$ using the Lefschetz principle, and comparing π_1^{et} to the topological fundamental group. The X proper case is [SGA1, Exp. X Cor. 1.7]. (B. Conrad explained this well-known argument to us.) □

Proof of Theorem 3.9. We first note the following: suppose $(W, w) \to (Y, y)$ is a geometrically pointed covering space. If we have a map of geometrically pointed schemes $f : (Z, z) \to (Y, y)$ from a simply connected scheme Z, then there is a unique lift of f to a pointed morphism $\tilde{f} : (Z, z) \to (W, w)$, because $W \times_Y Z \to Z$ is a Yoneda trivial covering space.

Thus, there is a unique lift $\tilde{i} : \tilde{X} \to \tilde{X}$ lifting the inverse map $i : X \to X$ with $\tilde{i}(\tilde{e}) = \tilde{e}$. By Lemma 3.10, $\tilde{X} \times \tilde{X}$ is simply connected. Thus, there is a unique lift $\tilde{m} : \tilde{X} \times \tilde{X} \to \tilde{X}$ of the multiplication map $m : X \times X \to X$ with $\tilde{m}(\tilde{e}, \tilde{e}) = \tilde{e}$. It is straight forward to check that $(\tilde{X}, \tilde{e}, \tilde{i}, \tilde{m})$ satisfy the axioms of a group scheme. For instance, associativity can be verified as follows: we must show that $\tilde{X} \times \tilde{X} \times \tilde{X} \to \tilde{X}$ given by $((ab)c)(a(bc))^{-1}$ is the same as the identity $\tilde{e}$. Since associativity holds for (X, e, i, m), both of these maps lie above $e : X \times X \times X \to X$. Since both send $\tilde{e} \times \tilde{e} \times \tilde{e}$ to $\tilde{e}$, they are equal. □

3.11. **Examples.** The universal cover can be described explicitly in a number of cases. We start with two well-known examples: if k is a field, then $\operatorname{Spec} k^s \to \operatorname{Spec} k$ is a universal cover. If A is a local Noetherian ring and A^{sh} is a strict henselization, then $\operatorname{Spec} A^{sh} \to \operatorname{Spec} A$ is a universal cover.

3.12. $\mathbb{G}_m$ **over a characteristic** 0 **field** k. This construction is also well known. The Riemann-Hurwitz formula implies that the finite étale covers of $\operatorname{Spec} k[t, t^{-1}]$ are obtained by adjoining roots of t and by extending the base field k. Thus a universal cover is

$$p : \operatorname{Spec} \overline{k}[t^{\mathbb{Q}}] \to \operatorname{Spec} k[t^{\mathbb{Z}}].$$

The group scheme structure on the universal cover (Theorem 3.9) is described in terms of the Hopf algebra structure on $\overline{k}[t^{\mathbb{Q}}]$ given by by $i : t \mapsto t^{-1}$ and $m : t \mapsto t't''$, which clearly lifts the group scheme structure on $\mathbb{G}_m$. Note that the universal cover is not Noetherian.

3.13. **Abelian varieties.** We now explicitly describe the universal cover of an abelian variety over a field k. We begin with separably closed k for simplicity.

If X is a proper over separably closed k, by the main theorem of [Pa], the connected (finite) Galois covers with *abelian* Galois group G correspond to inclusions $\chi : G^{\vee} \hookrightarrow \operatorname{Pic} X$, where $G^{\vee}$ is the dual group (noncanonically isomorphic to G). The cover corresponding to χ is $\underline{\operatorname{Spec}} \oplus_{g \in G^{\vee}} \mathcal{L}_{\chi(g)}^{-1}$ where $\mathcal{L}_{\chi}$ is the invertible sheaf corresponding to $\chi \in \operatorname{Pic} X$.

If A is an abelian variety over k, then all Galois covers are abelian. (Recall: any finite étale cover $A' \to A$ is a morphism of group schemes once an origin $0' \in A'$ above $0 \in A$ is chosen, so the kernel is a subgroup of A', and hence abelian. See [Mum, Thm. II.4, p. 72-73].) Thus

$$\tilde{A} = \underline{\operatorname{Spec}} \oplus_{\chi \text{ torsion}} \mathcal{L}_{\chi}^{-1}$$

where the sum is over over the torsion elements of $\operatorname{Pic} X$.

By Theorem 3.9, $\tilde{A}$ has a unique group scheme structure lifting that on A once a lift of the identity is chosen. We now describe this explicitly. Let $i : A \to A$ and $m : A \times A \to A$ be the inverse and multiplication maps for A. Then the inverse map $\tilde{i} : \tilde{A} \to \tilde{A}$ is given by

$$\tilde{i} : \underline{\operatorname{Spec}} \oplus_{\chi \text{ torsion}} \mathcal{L}_{\chi}^{-1} \longrightarrow \underline{\operatorname{Spec}} \oplus_{\chi \text{ torsion}} i^* \mathcal{L}_{\chi}^{-1}$$

using the isomorphism $i^* \mathcal{L} \cong \mathcal{L}^{-1}$ (for torsion sheaves, by the Theorem of the Square). The multiplication map $\tilde{m} : \tilde{A} \times \tilde{A} \to \tilde{A}$ is via $m^* \mathcal{L} \cong \mathcal{L} \boxtimes \mathcal{L}$ (from the Seesaw theorem).

If k is not separably closed, then we may apply the above construction to $A \times_k k^s$, so $\tilde{A} \to A \times_k k^s \to A$ gives a convenient factorization of the universal cover. In the spirit of Pardini, we have the following "complementary" factorization: informally, although $\mathcal{L}_{\chi}^{-1}$ may not be defined over k, $\oplus_{\chi \text{ n-torsion}} \mathcal{L}_{\chi}^{-1}$ is defined over k for each n. We make this precise by noting that any isogeny is dominated by $[n]$ (multiplication by n) for some n, and that $[n]$ is defined over k. Let $N_n := \operatorname{pr}_2^*(\ker[n])^{\mathrm{red}} \subset A \times \hat{A}$, where pr_2 is the projection to $\hat{A}$ (see Figure 2). Note that if $n_1 | n_2$ then we have a canonical open and closed immersion $N_{n_1} \hookrightarrow N_{n_2}$. Let $\mathcal{P} \to A \times \hat{A}$ be the Poincaré bundle. Then $\tilde{A} = (\underline{\operatorname{Spec}} \varinjlim \mathcal{P}|_{N_n}) \otimes_k k^s$. In particular,

(4)
$$\begin{tikzcd}
 & \tilde{A} \arrow[dl] \arrow[dr] & \\
\underline{\mathrm{Spec}}\ \varinjlim \mathcal{P}|_{N_n} \arrow[dr] & & A \times_k k^s \arrow[dl] \\
 & A &
\end{tikzcd}$$

is Cartesian.

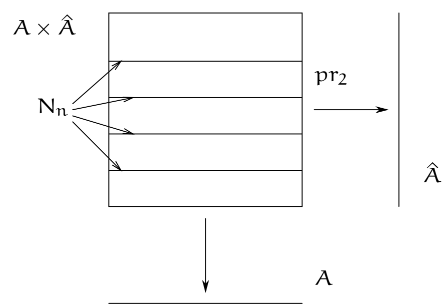

FIGURE 2. Factoring the universal cover of an abelian variety over k

This construction applies without change to proper k-schemes with abelian fundamental group. More generally, for any proper geometrically connected X/k, this construction yields the maximal abelian cover.

3.14. **Curves.** Now consider universal covers of curves of genus > 0 over a field. (Curves are assumed to be finite type.)

3.15. *Failure of uniformization.* Motivated by uniformization of Riemann surfaces, one might hope that all complex (projective irreducible nonsingular) curves of genus greater than 1 have isomorphic (algebraic) universal covers. However, a short argument shows that two curves have the same universal cover if and only if they have an isomorphic finite étale cover, and another short argument shows that a curve can share such a cover with only a countable number of other curves. Less naively, one might ask the same question over a countable field such as $\overline{\mathbb{Q}}$. One motivation is the conjecture of Bogomolov and Tschinkel [BT], which states (in our language) that given two curves C, C' of genus greater than 1 defined over $\overline{\mathbb{Q}}$, there is a nonconstant map $\tilde{C} \to \tilde{C}'$. However, Mochizuki [Mo] (based on work of Margulis and Takeuchi) has shown that a curve of genus $g > 1$ over $\overline{\mathbb{Q}}$ shares a universal cover with only finitely many other such curves (of genus g).

3.16. *Cohomological dimension.* One expects the universal cover to be simpler than the curve itself. Here is an example: the cohomological dimension of the universal cover is less than 2, at least away from the characteristic of the base field (whereas for a proper curve, the cohomological dimension is at least 2):

3.17. **Proposition. —** *Let X be a smooth curve of genus > 0 over a field k of characteristic p, and let $\tilde{X} \to X$ be the universal cover. For any integer l not divisible by p, the l-cohomological dimension of $\tilde{X}$ is less than or equal to 1, i.e. for any l-torsion sheaf $\mathcal{F}$ on the étale site of $\tilde{X}$, $H^i(\tilde{X}, \mathcal{F}) = 0$ for $i > 1$.*

(One should not expect $\tilde{X}$ to have cohomological dimension 0 as the cohomology of sheaves supported on subschemes can register punctures in the subscheme. For instance, it is a straight forward exercise to show that for genus 1 X over $\mathbb{C}$, the cohomological dimension of $\tilde{X}$ is 1.)

We thank Brian Conrad for this proposition. We only sketch the proof: one shows that l-torsion sheaves on $\tilde{X}$ are a direct limit of sheaves pulled back from constructible l-torsion sheaves on a finite étale cover of X. One then reduces to showing that for $j : U \hookrightarrow X$ an open immersion and $\mathcal{G}$ a locally constant constructible l-torsion sheaf of U, $H^i(\tilde{X}, \wp^* j_! \mathcal{G}) = 0$, where $\wp$ denotes the map $\tilde{X} \to X$. Since $\tilde{X}$ is dimension 1, only the case $i = 2$ and X proper needs to be considered. Recall that $H^2(\tilde{X}, \wp^* j_! \mathcal{G}) = \varinjlim H^2(Y, j_! \mathcal{G})$ where Y ranges over the finite étale covers of X, and $j_! \mathcal{G}$ also denotes the restriction of $j_! \mathcal{G}$ to Y. Applying Poincaré duality allows us to view the maps in the direct limit as the duals of tranfer maps in group cohomology $H^0(H, \mathcal{G}_{u_0}) \to H^0(\pi_1^{\text{ét}}(U, u_0), \mathcal{G}_{u_0})$, where H ranges over subgroups of $\pi_1^{\text{ét}}(U, u_0)$ containing the kernel of $\pi_1^{\text{ét}}(U, u_0) \to \pi_1^{\text{ét}}(X, u_0)$. One shows these transfer maps are eventually 0.

We have as a corollary that the cohomology of a locally constant l-torsion sheaf $\mathcal{F}$ on X can be computed with profinite group cohomology: $H^i(X, \mathcal{F}) = H^i(\pi_1^{\text{ét}}(X, x_0), \mathcal{F}_{x_0})$ for all i. (To see this, one notes that H^1 of a constant sheaf on $\tilde{X}$ vanishes. By Proposition 3.17, it follows that the pullback of $\mathcal{F}$ to $\tilde{X}$ has vanishing H^i for all $i > 0$. One then applies the Hochschild-Serre spectral sequence.)

3.18. *Picard groups.* The universal covers of elliptic curves and hyperbolic projective curves over $\mathbb{C}$ have very large Picard groups, isomorphic to $(\mathbb{R}/\mathbb{Q})^{\oplus 2}$ and countably many copies of $\mathbb{R}/\mathbb{Q}$ respectively.

3.19. **Algebraic Teichmüller space.** If $g \geq 2$, then $\mathcal{M}_g[n]$, the moduli of curves with level n structure, is a scheme for $n \geq 3$, and $\mathcal{M}_g[n] \to \mathcal{M}_g$ is finite étale (where $\mathcal{M}_g$ is the moduli stack of curves). Hence $\mathcal{T} := \tilde{\mathcal{M}}_g$ is a scheme, which could be called *algebraic Teichmüller space*. The *algebraic mapping class group scheme* $\underline{\pi_1}(\mathcal{M}_g)$ acts on it.

One might hope to apply some of the methods of Teichmüller theory to algebraic Teichmüller space. Many ideas relating to "profinite Teichmüller theory" appear in [Bo]. On a more analytic note, many features of traditional Teichmüller theory carry over, and have been used by dynamicists and analysts, see for example [Mc]. The "analytification" of algebraic Teichüller space is a solenoid, and was studied for example by Markovic and

Šarić in [MS]. McMullen pointed out to us that it also yields an interpretation of Ehrenpreis and Mazur's conjecture, that given any two compact hyperbolic Riemann surfaces, there are finite covers of the two surfaces that are arbitrarily close, where the meaning of "arbitrarily close" is not clear [E, p. 390]. (Kahn and Markovic have recently proved this conjecture using the Weil-Petersson metric, suitably normalized, [KM].) More precisely: a Galois type of covering of a genus h curve, where the cover has genus g, gives a natural correspondence

$$\begin{array}{ccc} \mathcal{X} & \longrightarrow & \mathcal{M}_g \\ \downarrow & & \\ \mathcal{M}_h & & \end{array}$$

where the vertical map is finite étale. One might hope that the metric can be chosen on $\mathcal{M}_g$ for all g so that the pullbacks of the metrics from $\mathcal{M}_g$ and $\mathcal{M}_h$ are the same; this would induce a pseudometric on algebraic Teichmuller space. In practice, one just needs the metric to be chosen on $\mathcal{M}_g$ so that the correspondence induces a system of metrics on $\widetilde{\mathcal{M}_h}$ that converges; hence the normalization chosen in [KM]. The Ehrenpreis-Mazur conjecture asserts that given any two points on $\mathcal{M}_h$, there exist lifts of both to algebraic Teichmuller space whose distance is zero.

4. THE ALGEBRAIC FUNDAMENTAL GROUP FAMILY

We now construct the fundamental group family $\underline{\pi_1}(X)$ and describe its properties. More generally, suppose $f : Y \to X$ is a Galois profinite-étale covering space with Y connected. We will define the adjoint bundle $\mathrm{Ad}\, f : \mathrm{Ad}\, Y \to X$ to f, which is a group scheme over X classifying profinite-étale covering spaces of X whose pullback to Y is Yoneda trivial. We define $\underline{\pi_1}(X)$ as $\mathrm{Ad}(\tilde{X} \to X)$.

$\mathrm{Ad}\, Y$ is the quotient scheme $(Y \times Y)/\operatorname{Aut}(Y/X)$, where $\operatorname{Aut}(Y/X)$ acts diagonally. The quotient is constructed by descending $Y \times_X Y \to Y$ to an X-scheme, using the fact that profinite-étale covering spaces are fpqc. This construction is as follows:

By Proposition 2.13, we have the fiber square (3). A descent datum on a Y-scheme Z is equivalent to an action of $\underline{\operatorname{Aut}(Y/X)}_X$ on Z compatible with μ in the sense that the diagram

$$\begin{array}{ccc} \underline{\operatorname{Aut}(Y/X)}_X \times_X Z & \longrightarrow & Z \\ \downarrow & & \downarrow \\ \underline{\operatorname{Aut}(Y/X)}_X \times_X Y & \xrightarrow{\mu} & Y \end{array} \tag{5}$$

commutes. (This is the analogue of the equivalence between descent data for finite étale G Galois covering spaces and actions of the trivial group scheme associated to G. The proof is identical; one notes that the diagram (5) is a fiber square and then proceeds in a straightforward manner. See [BLR, p. 140].) For Z affine, a descent datum is automatically effective (see for instance [BLR, p. 134, Thm. 4]).

4.1. **Definition.** The *adjoint bundle* to $f : Y \to X$ is the X-scheme $\mathrm{Ad}\, f : \mathrm{Ad}\, Y \to X$ determined by the affine Y scheme $Y \times_X Y \to Y$ and the action $\mu \times \mu$.

$\mathrm{Ad}\, Y$ is a group scheme over X. The multiplication map is defined as follows: let $\Delta : Y \to Y \times_X Y$ be the diagonal map. By the same method used to construct $\mathrm{Ad}\, Y$, we can construct the X-scheme $(Y \times Y \times Y)/\operatorname{Aut}(Y/X)$, where $\operatorname{Aut}(Y/X)$ acts diagonally. The map $\mathrm{id} \times \Delta \times \mathrm{id} : Y \times Y \times Y \to Y \times Y \times Y \times Y$ descends to an isomorphism of X-schemes

$$(Y \times Y \times Y)/\operatorname{Aut}(Y/X) \to \mathrm{Ad}(Y) \times_X \mathrm{Ad}(Y). \tag{6}$$

The projection of $Y \times Y \times Y$ onto its first and third factors descends to a map

$$(Y \times Y \times Y)/\operatorname{Aut}(Y/X) \to \mathrm{Ad}(Y). \tag{7}$$

The multiplication map is then the inverse of isomorphism (6) composed with map (7).

Heuristically, this composition law has the following description: The geometric points of $\mathrm{Ad}\, Y$ are ordered pairs of geometric points of Y in the same fiber. Since $\operatorname{Aut}(Y/X)$ acts simply transitively on the points of any fiber, such an ordered pair is equivalent to an $\operatorname{Aut}(Y/X)$-invariant permutation of the corresponding fiber of Y over X. The group law on $\mathrm{Ad}\, Y$ comes from composition of permutations.

The identity map $X \to \mathrm{Ad}(Y)$ is the X-map descended from the Y-map Δ. The inverse map is induced by the map $Y \times_X Y \to Y \times_X Y$ which switches the two factors of Y. It is straightforward to see that these maps give $\mathrm{Ad}\, Y$ the structure of a group scheme.

The construction of $\mathrm{Ad}(Y)$ implies the following:

4.2. **Proposition.** — *Let Y be a connected profinite-étale Galois covering space of X. We have a canonical isomorphism of Y-schemes $\mathrm{Ad}(Y) \times_X Y \cong Y \times_X Y$. Projection $Y \times_X Y \to Y$ onto the second factor of Y gives an action*

$$\mathrm{Ad}(Y) \times_X Y \to Y. \tag{8}$$

4.3. **Proposition.** — *Suppose Y_1, Y_2 are connected profinite-étale Galois covering spaces of X. An X-map $Y_1 \to Y_2$ gives rise to a morphism of group schemes $\mathrm{Ad}(Y_1) \to \mathrm{Ad}(Y_2)$. Furthermore, the map $\mathrm{Ad}(Y_1) \to \mathrm{Ad}(Y_2)$ is independent of the choice of $Y_1 \to Y_2$.*

Proof. Choose a map $g : Y_1 \to Y_2$ over X. By Proposition 2.13, the Y_1-map $\mathrm{id} \times g : Y_1 \times_X Y_1 \to Y_1 \times_X Y_2$ is a Y_1-map $\underline{\operatorname{Aut}(Y_1/X)}_{Y_1} \to \underline{\operatorname{Aut}(Y_2/X)}_{Y_1}$. This map gives a continuous map of topological spaces $\operatorname{Aut}(g) : \operatorname{Aut}(Y_1/X) \to \operatorname{Aut}(Y_2/X)$ by Proposition 2.9.

It follows from the construction of the isomorphism of Proposition 2.13 (which is really given in Proposition 2.9) that for any $a \in \operatorname{Aut}(Y_1/X)$ the diagram:

$$\begin{tikzcd} Y_1 \arrow[r, "a"] \arrow[d, "g"] & Y_1 \arrow[d, "g"] \\ Y_2 \arrow[r, "\operatorname{Aut}(g)(a)"] & Y_2 \end{tikzcd} \tag{9}$$

commutes.

Since $Y_1 \to Y_2$ is a profinite-étale covering space and in particular an fpqc cover, (9) implies that $\mathrm{Aut}(g)$ is a continuous group homomorphism.

It follows that the map $g \times g : Y_1 \times Y_1 \to Y_2 \times Y_2$ determines a map $(Y_1 \times Y_1)/\mathrm{Aut}(Y_1/X) \to (Y_2 \times Y_2)/\mathrm{Aut}(Y_2/X)$. It is straightforward to see this is a map of group schemes $\mathrm{Ad}(Y_1) \to \mathrm{Ad}(Y_2)$.

Given two maps of X-schemes $g_1, g_2 : Y_1 \to Y_2$, we have a map $g_1 \times g_2 : Y_1 \to Y_2 \times_X Y_2$. Since $Y_2 \times_X Y_2 \to Y_2$ is Yoneda trivial with distinguished sections $\mathrm{Aut}(Y_2/X)$, we have $a \in \mathrm{Aut}(Y_2/X)$ such that $a \circ g_1 = g_2$. It follows that g_1 and g_2 determine the same map $\mathrm{Ad}(Y_1) \to \mathrm{Ad}(Y_2)$. $\square$

4.4. **Corollary.** — $\underline{\pi_1}(X)$ *is unique up to distinguished isomorphism, and in particular is independent of choice of universal cover.*

4.5. **Theorem.** — *There is a canonical homeomorphism between the underlying topological group of the fiber of* $\underline{\pi_1}(X) \to X$ *over a geometric point* $x_0 : \mathrm{Spec}\,\Omega \to X$ *and the étale (pointed) fundamental group* $\pi_1(X, x_0)$.

Proof. Let $Y \to X$ be a finite étale Galois covering space with Y connected. We have a canonical action of X-schemes $\underline{\pi_1}(X) \times_X Y \to Y$ as follows: Choose a universal cover $p : \tilde{X} \to X$ and a map $\tilde{X} \to Y$ over X. By Proposition 4.3, we have a canonical map $\underline{\pi_1}(X) \to \mathrm{Ad}(Y)$. Composing with the canonical action $\mathrm{Ad}(Y) \times_X Y \to Y$ given by (8) gives the action $\underline{\pi_1}(X) \times_X Y \to Y$.

Let $\mathfrak{T}\underline{\pi_1}(X, x_0)$ be the topological group underlying the fiber of $\underline{\pi_1}(X) \to X$ above x_0. Let $\mathcal{F}_{x_0}$ be the fiber functor over x_0. The action $\underline{\pi_1}(X) \times_X Y \to Y$ shows that $\mathcal{F}_{x_0}$ is a functor from finite, étale, connected, Galois covering spaces to continuous, finite, transitive, symmetric $\mathfrak{T}\underline{\pi_1}(X, x_0)$-sets. (A symmetric transitive G-set for a group G is defined to mean a G-set isomorphic to the set of cosets of a normal subgroup. Equivalently, a symmetric transitive G-set is a set with a transitive action of G such that for any two elements of the set, there is a morphism of G-sets taking the first to the second.)

It suffices to show that $\mathcal{F}_{x_0}$ is an equivalence of categories. By fpqc descent, pull-back by p is an equivalence of categories p^* from affine X-schemes to affine $\tilde{X}$-schemes with descent data. Because $\tilde{X}$ trivializes any finite, étale X-scheme, it is straightforward to see that p^* gives an equivalence of categories from finite, étale, covering spaces of X to trivial, finite, étale covering spaces of $\tilde{X}$ equipped with an action of $\underline{\overline{\mathrm{Aut}(\tilde{X}/X)}}$. Taking fibers, we have that $\mathcal{F}_{x_0}$ is an equivalence from finite, étale, connected, Galois covering spaces to continuous, finite, transitive, symmetric $\mathfrak{T}\underline{\pi_1}(X, x_0)$-sets. $\square$

The remainder of this section is devoted to examples and properties of the fundamental group family.

4.6. **Group schemes.** We continue the discussion of §3.8 to obtain the algebraic version of the fact that if X is a topological group with identity e, there is a canonical exact sequence

$$0 \longrightarrow \pi_1(X, e) \longrightarrow \tilde{X} \longrightarrow X \longrightarrow 0.$$

4.7. **Theorem. —** *If X is a connected group variety over algebraically closed k such that $\tilde{X}$ is a group variety (e.g. if $\operatorname{char} k = 0$ or X is proper, Thm. 3.9), then the kernel of the morphism $\tilde{X} \to X$ is naturally isomorphic to $\underline{\pi_1}(X, e)$ (as group schemes).*

Proof. Let G be the (scheme-theoretic) kernel of $p : \tilde{X} \to X$. Restricting the X-action

$$\underline{\pi_1}(X) \times_X \tilde{X} \to \tilde{X}$$

to e yields a k-action

$$\underline{\pi_1}(X, e) \times G \to G. \tag{10}$$

Evaluating (10) on $\tilde{e} \hookrightarrow G$ yields an isomorphism $\gamma : \underline{\pi_1}(X, e) \to G$. We check that γ respects the group scheme structures on both sides. It suffices to check that the multiplication maps are the same. Let $m_{\underline{\pi_1}(X,e)}$ and m_G be the multiplication maps for $\underline{\pi_1}(X, e)$ and G respectively. The diagram

$$\begin{tikzcd}
\underline{\pi_1}(X, e) \times \underline{\pi_1}(X, e) \arrow[r, "m_{\underline{\pi_1}(X,e)}"] \arrow[d, "\mathrm{id}\times\gamma"] & \underline{\pi_1}(X, e) \arrow[d, "\gamma"] \\
\underline{\pi_1}(X, e) \times G \arrow[r, "(10)"] \arrow[d, "\gamma\times\mathrm{id}"] & G \arrow[d] \\
G \times G \arrow[r, "m_G"] & G
\end{tikzcd}$$

commutes. (The upper square commutes because (10) is a group action. The lower square commutes because monodromy commutes with morphisms of profinite-étale covering spaces. In particular, right multiplication in $\tilde{X}$ by any geometric point of G commutes with the monodromy action $\underline{\pi_1}(X) \times_X \tilde{X} \to \tilde{X}$.) This gives the result. □

4.8. **Examples.** We now describe the fundamental group family in a number of cases.

4.9. **The absolute Galois group scheme.** We give four descriptions of the *absolute Galois group scheme* $\underline{\mathrm{Gal}}(\mathbb{Q}) := \underline{\pi_1}(\operatorname{Spec} \mathbb{Q})$, or equivalently, we describe the corresponding Hopf algebra. As $\underline{\mathrm{Gal}}(\mathbb{Q})$ does not depend on the choice of the algebraic closure $\overline{\mathbb{Q}}$ (Prop. 4.4), we do not call it $\underline{\mathrm{Gal}}(\overline{\mathbb{Q}}/\mathbb{Q})$. *Notational Caution:* $\underline{\mathrm{Gal}}(\mathbb{Q})$ is not the trivial group scheme corresponding to $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, which would be denoted $\underline{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}$ (Example 2.11).

1) By definition. The Hopf algebra consists of those elements of $\overline{\mathbb{Q}} \otimes_{\mathbb{Q}} \overline{\mathbb{Q}}$ that are invariant under the diagonal action of the Galois group $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. The coidentity map sends $a \otimes b$ to ab. The coinverse map is given by the involution $a \otimes b \mapsto b \otimes a$. The comultiplication map has the following description: $\mathrm{id} \otimes \Delta \otimes \mathrm{id}$ gives a map $\otimes^4 \overline{\mathbb{Q}} \to \otimes^3 \overline{\mathbb{Q}}$ which descends to an isomorphism $\otimes^2((\overline{\mathbb{Q}}\otimes\overline{\mathbb{Q}})^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}) \to (\otimes^3\overline{\mathbb{Q}})^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}$, where all actions of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ are diagonal. The comultiplication map can therefore be viewed as a map $(\otimes^2\overline{\mathbb{Q}})^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})} \to (\otimes^3\overline{\mathbb{Q}})^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}$ and this map is the inclusion onto the first and third factors.

2) As an algebra of continuous maps. The Hopf algebra consists of continuous maps $f : \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \to \overline{\mathbb{Q}}$ such that

$$\begin{tikzcd} \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \arrow[r, "f"] \arrow[d, "\sigma"] & \overline{\mathbb{Q}} \arrow[d, "\sigma"] \\ \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \arrow[r, "f"] & \overline{\mathbb{Q}} \end{tikzcd} \tag{11}$$

commutes for all $\sigma \in \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, where the left vertical arrow is conjugation, and the right vertical arrow is the Galois action. Note that these maps form an algebra. The coinverse of f is given by the composition $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \xrightarrow{i} \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \xrightarrow{f} \overline{\mathbb{Q}}$, where i is the inverse in $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. Comultiplication applied to f is given by the composition

$$\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \times \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \xrightarrow{m} \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \xrightarrow{f} \overline{\mathbb{Q}}\,,$$

using the isomorphism

$$\mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \times \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}}) \cong \mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}}) \otimes \mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}, \overline{\mathbb{Q}}).$$

(A similar argument was used to construct the trivial profinite group scheme in Example 2.11. The similarity comes from the isomorphism of $\underline{\pi_1} \times_X \tilde{X}$ with $\underline{\mathrm{Aut}(\tilde{X}/X)}_{\tilde{X}}$.)

3) Via finite-dimensional representations. By interpreting (11) as "twisted class functions," we can describe the absolute Galois Hopf algebra in terms of the irreducible continuous representations of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ over $\mathbb{Q}$. More precisely, we give a basis of the Hopf algebra where comultiplication and coinversion are block-diagonal, and this basis is described in terms of $\mathbb{Q}$-representations of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$.

Given a finite group G and a representation V of G over a field k, the natural map $G \to V \otimes V^*$ induces a map

$$(V \otimes V^*)^* \to \mathrm{Maps}(G, k),$$

where V^* denotes the dual vector space. For simplicity, assume that k is a subfield of $\mathbb{C}$. When k is algebraically closed, Schur orthogonality gives that

$$\mathrm{Maps}(G, k) \cong \oplus_{V \in I}(V \otimes V^*)^*,$$

where I is the set of isomorphism classes of irreducible representations of G. It follows that

$$\mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}}) \cong \oplus_{G \in Q} \oplus_{V \in I_G} (V \otimes V^*)^*$$

where Q is the set of finite quotients of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, and for any G in Q, I_G is the set of isomorphism classes of irreducible, faithful representations of G over $\overline{\mathbb{Q}}$.

$\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts on $\mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$ via $(\sigma f)(\sigma') = \sigma(f(\sigma^{-1}\sigma'\sigma))$, where $f : \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \to \overline{\mathbb{Q}}$ is a continuous function and σ, σ' are in $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. The set of fixed points is the

Hopf algebra we wish to describe. The elements of this Hopf algebra could reasonably be called "twisted class functions". Note that we have a $\mathbb{Q}$-linear projection from $\mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$ to our Hopf algebra given by averaging the finite orbit of a function.

Let G be a finite quotient of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts on the irreducible, faithful $\overline{\mathbb{Q}}$-representations of G by tensor product, namely, $\sigma(V) = \overline{\mathbb{Q}} \otimes_{\overline{\mathbb{Q}}} V$, where the map $\overline{\mathbb{Q}} \to \overline{\mathbb{Q}}$ in the tensor product is σ. The orbits of I_G under this action are in bijection with the irreducible, faithful $\mathbb{Q}$-representations of G. This bijection sends an irreducible, faithful $\overline{\mathbb{Q}}$-representation V to the isomorphism class of $\mathbb{Q}$-representation W_V such that

$$\oplus_{W \in O_V} W \cong W_V \otimes \overline{\mathbb{Q}}$$

where O_V is the (finite) orbit of V under the action of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$.

For any irreducible, faithful $\overline{\mathbb{Q}}$-representation V of G, $\oplus_{W \in O_V}(W \otimes W^*)^*$ is an invariant subspace of $\mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$ under the action of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. It follows that our Hopf algebra is isomorphic to

$$\oplus_{G \in Q} \oplus_{V \in \overline{I}_G} \left(\oplus_{W \in O_V}(W \otimes W^*)^*\right)^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}$$

where $\overline{I}_G$ is the set of orbits of I_G under $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$.

The natural map $\oplus_{W \in O_V}(W \otimes W^*)^* \to \mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$ factors through the natural map $(W_V \otimes W_V^* \otimes \overline{\mathbb{Q}})^* \to \mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$. Note that there is a compatible $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$-action on $(W_V \otimes W_V^* \otimes \overline{\mathbb{Q}})^*$. Note that the map $(W_V \otimes W_V^* \otimes \overline{\mathbb{Q}})^* \to \mathrm{Maps}_{\mathrm{cts}}(\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$ is not injective. Let the image of $(W_V \otimes W_V^* \otimes \overline{\mathbb{Q}})^*$ in $\mathrm{Maps}_{\mathrm{cts}}(\overline{\mathbb{Q}}/\mathbb{Q}), \overline{\mathbb{Q}})$ be $\mathcal{F}(W_V)$.

Let $\overline{I}_{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}$ be the set of isomorphism classes of continuous irreducible $\mathbb{Q}$-representations of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. Our Hopf algebra is isomorphic to

$$\oplus_{\overline{I}_{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}} \mathcal{F}(W_V)^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}.$$

The subspaces $\mathcal{F}(W_V)^{\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})}$ are invariant under comultiplication and coinversion because comultiplication and coinversion are induced from comultiplication and coinversion on $GL(W_V \otimes \overline{\mathbb{Q}})$. The multiplication is not diagonal; it comes from tensor products of representations and therefore involves the decomposition into irreducible representations of the tensor product of two irreducible representations.

4) Points of the absolute Galois group scheme. Let $K \to L$ be a finite Galois extension of fields with Galois group G. The points and group scheme structure of the adjoint bundle $\mathrm{Ad}(L/K) := \mathrm{Ad}(\mathrm{Spec}\, L \to \mathrm{Spec}\, K)$ can be identified as follows: as in part 2) of this example, the ring of functions of $\mathrm{Ad}(L/K)$ is the ring of functions $f : G \to L$ such that for all g, h in G, $f(hgh^{-1}) = hf(g)$. Thus, the points of $\mathrm{Ad}(L/K)$ are in bijection with conjugacy classes of G. Specifically, let S be a set of representatives of the conjugacy classes of G. For any element g of G, let C_g be the centralizer of g. Then $\mathrm{Ad}(L/K) = \coprod_{c \in S} \mathrm{Spec}\, L^{C_c}$.

The group law on $\mathrm{Ad}(L/K)$ therefore corresponds to a map $\coprod_{a,b \in S} \mathrm{Spec}(L^{C_a} \otimes L^{C_b}) \to \coprod_{c \in S} \mathrm{Spec}\, L^{C_c}$. Note that $\mathrm{Spec}(L^{C_a} \otimes L^{C_b}) = \coprod_{g \in S_{a,b}} \mathrm{Spec}(L^{C_a}(gL^{C_b}))$, where $S_{a,b}$ is a set of double coset representatives for (C_a, C_b) in G, i.e. $G = \coprod_{g \in S_{a,b}} C_a g C_b$, and $L^{C_a}(gL^{C_b})$

is the subfield of L generated by L^{C_a} and gL^{C_b}. (In particular, the points of $\mathrm{Spec}(L^{C_a} \otimes L^{C_b})$ are in bijective correspondence with $S_{a,b}$.) Noting that $L^{C_a}(gL^{C_b}) = L^{C_a \cap gC_b g^{-1}}$, we have that the comultiplication on Ad is a map

$$\prod_{c \in S} L^{C_c} \to \prod_{a,b \in S} \prod_{g \in S_{a,b}} L^{C_a \cap C_{gbg^{-1}}} \tag{12}$$

Comultiplication is described as follows: $L^{C_c} \to L^{C_a \cap C_{gbg^{-1}}}$ is the 0 map if c is not contained in the set $R_{a,b} = \{g_1 a g_1^{-1} g_2 b g_2^{-1} | g_1, g_2 \in G\}$. Otherwise, there exists g' in G such that $g' c g'^{-1} = agbg^{-1}$. The map $L^{C_c} \to L^{C_a \cap C_{gbg^{-1}}}$ is then the composite

$$L^{C_c} \xrightarrow{g'} L^{C_{g'cg'^{-1}}} = L^{C_{agbg^{-1}}} \hookrightarrow L^{C_a \cap C_{gbg^{-1}}}.$$

Note that $R_{a,b}$ is a union of conjugacy classes, and these conjugacy classes are in bijection with $S_{a,b}$, just like the points of $\mathrm{Spec}(L^{C_a} \otimes L^{C_b})$.

This description is explicit; the reader could easily write down the comultiplication map for the S_3 Galois extension $\mathbb{Q} \to \mathbb{Q}(2^{1/3}, \omega)$, where ω is a primitive third root of unity.

We obtain the following description of $\underline{Gal}(\mathbb{Q}) = \underline{\pi_1}(\mathrm{Spec}\,\mathbb{Q})$: replace the products in (12) by the subset of the products consisting of continuous functions. The map (12) restricts to the comultiplication map between these function spaces.

4.10. *Question.* Note that the points of $\underline{\pi_1}(\mathrm{Spec}\,\mathbb{Q})$ correspond to conjugacy classes in $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, and their residue fields are the fixed fields of the centralizers. $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ is anabelian, so every finite index subgroup has trivial center [NSW, 12.1.6], but we now have interest in the stronger question: is it true that no two nontrivial elements of $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ commute? Equivalently, are the residue fields of $\underline{\pi_1}(\mathrm{Spec}\,\mathbb{Q})$ at any point other than the identity isomorphic to $\overline{\mathbb{Q}}$?

4.11. **Finite fields $\mathbb{F}_q$.** Parts 1), 2) and 4) of Example 4.9 apply to any field k, where $\overline{\mathbb{Q}}$ is replaced by k^s. In the case of a finite field, the Galois group is abelian, so the compatibility condition (11) translates to the requirement that a continuous map $\mathrm{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q) \to \overline{\mathbb{F}_q}$ have image contained in $\mathbb{F}_q$. Hence, $\underline{\pi_1}(\mathrm{Spec}\,\mathbb{F}_q)$ is the trivial profinite group scheme $\underline{\hat{\mathbb{Z}}}$ over $\mathbb{F}_q$ (see Example 2.11).

4.12. **$\mathbb{G}_m$ over an algebraically closed field k of characteristic 0.** Note that $\Gamma(\tilde{\mathbb{G}}_m \times_{\mathbb{G}_m} \tilde{\mathbb{G}}_m)$ can be interpreted as the ring $k[u_1^{\mathbb{Q}}, u_2^{\mathbb{Q}}]$ subject to $u_1^n = u_2^n$ for n in $\mathbb{Z}$ (but not for general $n \in \mathbb{Q}$). Thus $\underline{\pi_1}(\mathbb{G}_m) = (k[u_1^{\mathbb{Q}}] \otimes_{k[t^{\mathbb{Z}}]} k[u_2^{\mathbb{Q}}])^{\mathrm{Aut}(k[t^{\mathbb{Q}}]/k[t^{\mathbb{Z}}])}$. The automorphisms of $k[t^{\mathbb{Q}}]/k[t^{\mathbb{Z}}]$ involve sending $t^{1/n}$ to $\zeta_n t^{1/n}$, where ζ_n is an nth root of unity, and all the ζ_n are chosen compatibly. Hence the invariants may be identified with $k[t^{\mathbb{Z}}][\mu_\infty]$ where $t_{n!} = (u_1/u_2)^{1/n!}$. Thus we recognize the fundamental group scheme as $\underline{\hat{\mathbb{Z}}}$ (Example 2.12).

The action of $\underline{\pi_1}(\mathbb{G}_m)$ on $\tilde{\mathbb{G}}_m$ is given by

$$k[t^{\mathbb{Q}}] \longrightarrow k[t^{\mathbb{Q}}] \otimes_{k[t,t^{-1}]} k[t^{\mathbb{Z}}, t_1, \ldots]/(t_1 - 1, t_{n!}^n - t_{(n-1)!})$$

with $t^{1/n!} \mapsto t_{n!}t^{1/n!}$. Notice that we get a natural exact sequence of group schemes over k

$$0 \longrightarrow \underline{\hat{\mathbb{Z}}} \longrightarrow \tilde{\mathbb{G}}_m \longrightarrow \mathbb{G}_m \longrightarrow 0,$$

which is Theorem 4.7 in this setting.

In analogy with Galois theory, we have:

4.13. **Proposition. —** *Suppose $f : X \to Y$, $g : Y \to Z$, and $h = g \circ f$ are profinite-étale covering spaces with X, Y, and Z connected.*

(a) *If h is Galois, then f is Galois. There is a natural closed immersion of group schemes on Y $\mathrm{Ad}(X/Y) \hookrightarrow g^* \mathrm{Ad}(X/Z)$.*
(b) *If furthermore g is Galois, then we have a natural surjection $\mathrm{Ad}(X/Z) \to \mathrm{Ad}(Y/Z)$ of group schemes over Z. The kernel, which we denote $\mathrm{Ad}_Z(X/Y)$, is a group scheme over Z*

$$1 \to \mathrm{Ad}_Z(X/Y) \to \mathrm{Ad}(X/Z) \to \mathrm{Ad}(Y/Z) \to 1$$

and upon pulling this sequence back by g, we obtain an isomorphism $g^* \mathrm{Ad}_Z(X/Y) \cong \mathrm{Ad}(X/Y)$ commuting with the inclusion of (a):

$$\begin{tikzcd} g^* \mathrm{Ad}_Z(X/Y) \arrow[r, hook] \arrow[d, leftrightarrow, "\sim"] & g^* \mathrm{Ad}(X/Z) \\ \mathrm{Ad}(X/Y) \arrow[ur, hook] & \end{tikzcd}$$

(c) *If furthermore $\mathrm{Aut}(X/Y)$ is abelian, then we have an action of $\mathrm{Ad}(Y/Z)$ on $\mathrm{Ad}_Z(X/Y)$, which when pulled back to X is the action*

$$\underline{\mathrm{Aut}(Y/Z)}_X \times_X \underline{\mathrm{Aut}(X/Y)}_X \to \underline{\mathrm{Aut}(X/Y)}_X$$

arising from the short exact sequence with abelian kernel

$$1 \to \mathrm{Aut}(X/Y) \to \mathrm{Aut}(X/Z) \to \mathrm{Aut}(Y/Z) \to 1.$$

(Recall that to any short exact sequence of groups $1 \to A \to B \to C \to 1$ with A abelian, C acts on A by $c(a) := bab^{-1}$ where b is any element of B mapping to c.)

We omit the proof.

4.14. **$\mathbb{G}_m$ over a field k of characteristic 0.** We now extend the previous example to an arbitrary field of characteristic 0. The universal cover of $\mathrm{Spec}\, k[t^{\mathbb{Z}}]$ is $\mathrm{Spec}\, \overline{k}[t^{\mathbb{Q}}]$.

Consider the diagram

$$\begin{tikzcd}
 & \operatorname{Spec} \overline{k}[t^{\mathbb{Q}}] \arrow[dl, "j^* d^* \underline{\mathrm{Gal}}(k)"', "i"] \arrow[dr, "f"] & & \\
\operatorname{Spec} k[t^{\mathbb{Q}}] \arrow[dr, "j", "\text{not Galois}"'] & \square & \operatorname{Spec} \overline{k}[t^{\mathbb{Z}}] \arrow[dl, "d^* \underline{\mathrm{Gal}}(k)"', "g"] \arrow[dr, "\text{not profinite-étale}"] & \\
 & \operatorname{Spec} k[t^{\mathbb{Z}}] \arrow[dr, "d", "\text{not profinite-étale}"'] & \square & \operatorname{Spec} \overline{k} \arrow[dl, "\underline{\mathrm{Gal}}(k)"] \\
 & & \operatorname{Spec} k &
\end{tikzcd}$$

in which both squares are Cartesian. All but the two indicated morphisms are profinite-étale. By base change from $\operatorname{Spec} \overline{k} \to \operatorname{Spec} k$, we see that each of the top-right-to-bottom-left morphisms is Galois with adjoint bundle given by the pullback of $\underline{\mathrm{Gal}}(k)$. (Note: $\operatorname{Spec} k[t^{\mathbb{Q}}] \to \operatorname{Spec} k[t^{\mathbb{Z}}]$ is *not* Galois in general.) By Proposition 4.13(b), with f and g used in the same sense, we have an exact sequence of group schemes on $\mathbb{G}_m = \operatorname{Spec} k[t^{\mathbb{Z}}]$:

$$1 \longrightarrow T \longrightarrow \underline{\pi_1}(\mathbb{G}_m) \longrightarrow d^* \underline{\mathrm{Gal}}(k) \longrightarrow 1. \tag{13}$$

Since T is abelian, we have an action of $d^*\underline{\mathrm{Gal}}(k)$ on T by Proposition 4.13(c). (By Proposition 4.13(a), with f and g replaced by i and j respectively, the exact sequence (13) is split when pulled back to $\operatorname{Spec} k[t^{\mathbb{Q}}]$.)

This is independent of the choice of algebraic closure by Corollary 4.4. If we examine this exact sequence over the geometric point $\tilde{e} = \operatorname{Spec} \overline{k}$ mapping to the identity in $\mathbb{G}_m$, we obtain

$$1 \longrightarrow \underline{\hat{\mathbb{Z}}} \longrightarrow \underline{\pi_1}(\mathbb{G}_m, \tilde{e}) \longrightarrow \underline{\mathrm{Gal}(\overline{k}/k)} \longrightarrow 1 \tag{14}$$

inducing a group scheme action

$$\underline{\mathrm{Gal}(\overline{k}/k)} \times \underline{\hat{\mathbb{Z}}} \to \underline{\hat{\mathbb{Z}}}. \tag{15}$$

If $k = \mathbb{Q}$, the underlying topological space of (14) (forgetting the scheme structure) is the classical exact sequence (e.g. [Oo, p. 77])

$$0 \longrightarrow \hat{\mathbb{Z}} \longrightarrow \pi_1^{et}(\mathbb{P}^1_{\mathbb{Q}} - \{0, \infty\}, 1) \longrightarrow \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \longrightarrow 0$$

and the representation (15) is a schematic version of the cyclotomic representation $\rho : \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \to \mathrm{Aut}(\hat{\mathbb{Z}})$.

4.15. **Abelian varieties.** The analogous argument holds for an abelian variety A over any field k. Using the diagram

$$\begin{tikzcd}
 & \tilde{A} \arrow[dl, "j^* d^* \underline{\mathrm{Gal}}(k)"'] \arrow[dr] \arrow[dd, phantom, "\square"] & & \\
\underline{\mathrm{Spec}\ \varinjlim \mathcal{P}|_{N_n}} \arrow[dr, "j", "\text{not Galois}"'] & & A \times_k k^s \arrow[dl, "d^* \underline{\mathrm{Gal}}(k)"'] \arrow[dr, "\text{not profinite-étale}"] \arrow[dd, phantom, "\square"] & \\
 & A \arrow[dr, "d", "\text{not profinite-étale}"'] & & \mathrm{Spec}\, k^s \arrow[dl, "\underline{\mathrm{Gal}}(k)"] \\
 & & \mathrm{Spec}\, k &
\end{tikzcd}$$

we obtain an exact sequence of group schemes over A

$$1 \to T \to \underline{\pi_1}(A) \to d^*(\underline{\mathrm{Gal}}(k)) \to 1$$

inducing a canonical group scheme action

$$d^* \underline{\mathrm{Gal}}(k) \times T \to T. \tag{16}$$

Upon base change to the geometric point $\tilde{e} = \mathrm{Spec}\, k^s$, we obtain

$$1 \to \underline{T'} \to \underline{\pi_1}(A, \tilde{e}) \to \underline{\mathrm{Gal}(k^s/k)} \to 0$$

(where $T' \cong \mathbb{Z}^{2g}$ if $\mathrm{char}\, k = 0$, and the obvious variation in positive characteristic), and the group action (16) becomes the classical Galois action on the Tate module.

More generally, for any geometrically connected k-variety with a k-point p, the same argument gives a schematic version of [SGA1, p. 206, Exp. X.2, Cor. 2.2].

4.16. **Algebraic $K(\pi, 1)$'s and elliptic curves.** For simplicity, we restrict our attention to schemes over a given number field k. Homomorphisms between étale fundamental groups are also assumed to respect the structure map to $\mathrm{Gal}(\overline{k}/k)$ up to inner automorphism. (The condition "up to inner automorphism" comes from ambiguity of the choice of base point, which is not important for this example, but see [Sz1] for a careful treatment.)

The question "what is a loop up to homotopy?" naturally leads to the question "which spaces are determined by their loops up to homotopy?" When a "loop up to homotopy" is considered to be an element of the étale fundamental group, a well-known answer to the latter question was conjectured by Grothendieck: in [G1], Grothendieck conjectures the existence of a subcategory of "anabelian" schemes, including hyperbolic curves over k, $\mathrm{Spec}\, k$, moduli spaces of curves, and total spaces of fibrations with base and fiber anabelian, which are determined by their étale fundamental groups. These conjectures can be viewed as follows: algebraic maps are so rigid that homotopies do not deform one into another. From this point of view, a $K(\pi, 1)$ in algebraic geometry would be a variety X such that $\mathrm{Mor}(Y, X) = \mathrm{Hom}(\pi_1(Y), \pi_1(X))$. (Again, more care should be taken with base points, but this is not important here.) In other words, "anabelian schemes" are algebraic geometry's $K(\pi, 1)$'s with respect to the étale fundamental group. (Some references on the anabelian conjectures are [G1, G2, NSW, Po, Sz1].) For use in this example, note that the rational points on an anabelian scheme are conjectured to be in bijection with $\mathrm{Hom}(\mathrm{Gal}(\overline{k}/k), \pi_1^{\text{ét}})$ (Grothendieck's Section Conjecture). From the above list, we see that Grothendieck conjectures that many familiar $K(\pi, 1)$'s from topology are also $K(\pi, 1)$'s in algebraic geometry, but that elliptic curves and abelian varieties are notably omitted from this list. Since we are interested in what a loop up to homotopy should be, it is natural to ask why the étale fundamental group fails to determine elliptic curves.

Jordan Ellenberg points out that one way to see that elliptic curves are not algebraic $K(\pi, 1)$'s in this anabelian sense is that local conditions must be imposed on an element of $\mathrm{Hom}(\mathrm{Gal}(\overline{k}/k), \pi_1)$ for the element to come from a rational point. Explicitly, let E be an elliptic curve over k, and let $S^n(E/k)$ and $Ш(E/k)$ be the n-Selmer group and Shafaravich-Tate group of E/k respectively. The exact sequence

$$0 \to E(k)/nE(k) \to S^n(E/k) \to Ш(E/k)[n] \to 0$$

gives the exact sequence

$$0 \to \varprojlim_n E(k)/nE(k) \to \varprojlim_n S^n(E/k) \to \varprojlim_n Ш(E/k)[n] \to 0.$$

Thus if $Ш(E/K)$ has no non-zero divisible elements,

$$\varprojlim_n E(k)/nE(k) \cong \varprojlim_n S^n(E/k). \tag{17}$$

It is not hard to see that $\mathrm{Hom}(\mathrm{Gal}(\overline{k}/k), \pi_1) \cong H^1(\mathrm{Gal}(\overline{k}/k), \varprojlim_n E[n])$ and that $\varprojlim_n S^n(E/k)$ is naturally a subset of $H^1(\mathrm{Gal}(\overline{k}/k), \varprojlim_n E[n])$. We think of $\varprojlim_n S^n(E/k)$ as a subset of $\mathrm{Hom}(\mathrm{Gal}(\overline{k}/k), \pi_1)$ cut out by local conditions, as in the definition of the Selmer group. Any rational point of E must be in this subset.

Furthermore, if $Ш(E/K)$ has no non-zero divisible elements, equation (17) can be interpreted as saying that the (profinite completion of the) rational points of E are the elements of $\mathrm{Hom}(\mathrm{Gal}(\overline{k}/k), \pi_1)$ satisfying certain local conditions.

We ask if it is really necessary to exclude elliptic curves from the algebraic $K(\pi, 1)$'s, or if there is another sort of covering space, another sort of "loop up to homotopy," producing a fundamental group which does characterize elliptic curves. For instance, if $Ш(E/K)$ is finite, this example suggests that this new sort of fundamental group only needs to produce local conditions, perhaps by considering some sort of localization of the elliptic curve.

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