# **COMPLEX ALGEBRAIC SURFACES CLASS 14**

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Last day we looked at a lot of rational surfaces. We made use of:

**Useful proposition.** Consider the blow-up of  $\mathbb{P}^2$  at n general points, giving exceptional divisors  $E_1, \ldots, E_n$ . Then the intersection ring on  $\mathbb{P}^2$  is given by

$$\mathbb{Z}[H, E_1, \dots, E_n]/H^2 = 1, HE_i = 0, E_i \cdot E_j = 0, E_i^2 = -1.$$

We can understand divisors and sections of divisors in terms of divisors on  $\mathbb{P}^2$  with certain multiplicities at the  $E_j$ . More precisely: the vector space of sections of  $aH - b_1E_1 - \cdots - b_nE_n$  is naturally isomorphic to the vector space of degree a polynomials in  $\mathbb{P}^2$  vanishing with multiplicity at least  $b_i$  on  $E_i$ .

We also proved the following. Suppose S is a surface, and  $-K_S$  gives a map to projective space. Then (-1)-curves map isomorphically onto lines. Conversely, if S is a surface, and  $-K_S$  gives a map to projective space, then any curve mapping isomorphically onto a line is a (-1)-curve.

Today: Cubic surfaces. But first, some interesting combinatorial remarks, due to Tyler, Diane and others.

#### 1. COMBINATORIAL ASPECTS

**Proposition.** The automorphism group of the Peterson graph is  $S_5$ . (I haven't drawn the Peterson graph in these notes, sorry!)

*Proof.* Two (equivalent) proofs. Diane's: To each vertex put a size 2 subset of  $\{1, \ldots, 5\}$ . Join them by an edge if the don't intersect.

Date: Friday, November 15.

Tyler's: Label the edges as follows (3 have label 1, etc.). Observe that as soon as you've labeled one edge with a number, the other two are determined: they are those that are distance 3 from it. Hence if G is the automorphism group of the graph, we have a morphism  $G \to S_5$ . It is surjective: you can get a 5-cycle (rotate) and a 2-cycle (do it). It is injective: suppose you have an automorphism fixing the colors. We'll show that the three 1-labeled edges are fixed. Look at the two pairs of edges each 1-edged meets; note that this gives a partition of  $\{2,3,4,5\}$  into two couples. There are 3 ways to do this, and they correspond to the 3 edges.

Connection between Diane's and Tyler's: in Diane's construction: label an edge with the number missing in its vertex labels.

If you remember, I described a pattern of automorphism groups of the intersection graphs of the blow-up of  $\mathbb{P}^2$  at n up to 9 points. The answer was:

$$n = 3$$
 4 5 6 7 8 9 dim Aut  $[S_3 \times S_2]$   $W(A_4) = S_5$   $W(D_5)$   $W(E_6)$   $W(E_7)$   $W(E_8)$   $W(E_9)$ 

I expressed disappointment that  $S_3 \times S_2$  was not isomorphic to  $D_{12}$ , the symmetries of hexagon, because that's what the correct answer was. But in fact:

**Proposition (Tyler).**  $S_3 \times S_2 \cong D_{12}$ .

*Proof.* 
$$x=(12)(12)$$
 and  $y=(12)(123)$  generate  $S_3\times S_2$ . They also satisfy the relations defining  $D_{12}$ :  $x^2=y^6=e$ ,  $xyx=y^{-1}$ .

*Remark.* The map  $D_{12} \to S_3$  corresponds to the induced permutation of the 3 diagonals. The map  $D_{12} \to S_2$  corresponds to the permutation of the two inscribed equilateral triangles.

#### 2. DEL PEZZO SURFACES

Another remark about these surfaces.

**Definition.** A surface S is a del Pezzo surface if  $-K_S$  is ample.

This is yet another ancient idea that remains important. Most recently, they have come up in physics.

Examples from last day:  $\mathbb{P}^1 \times \mathbb{P}^1$ , and the blow-up of  $\mathbb{P}^2$  at up to 8 general points. Let's get rid of that nasty word "general", by making more precise which points you throw out.

**Proposition.** The blow up of  $\mathbb{P}^2$  at up to 8 distinct points, no 3 on a line and no 6 on a conic, is a del Pezzo surface.

*Proof.* Check using our useful proposition that -K or -2K is very ample. If  $n \le 6$ , -K is ample, i.e. cubics vanishing at the n points gives something that separates points

and tangent vectors. If n = 7 or 8, -K isn't very ample, but -2K is: the linear system corresponding to sextics vanishing at the n points separate points and tangent vectors.

**Proposition.** The blow up of  $\mathbb{P}^2$  at up to 8 distinct points, with 3 on a line or 6 on a conic, is not a del Pezzo surface.

*Proof.* First, note that a del Pezzo surface can't have a (-2)-curve, i.e. a genus 0 curve C with self-intersection  $\leq -2$ . Reason: genus formula gives

$$-2 = 2g - 2 = C \cdot (K + C) = C \cdot K + C \cdot C \le C \cdot K - 2$$

so  $0 \le C \cdot K$ . But -K is ample, so  $K \cdot C < 0$  for all C.

If at least there are at least 3 points on a line, then there is a genus 0 curve of self-intersection at most -2.

Similarly, if there are at least 6 points on a conic, then there is a genus 0 curve of self-intersection at most -2.

Remark: Slight extension. You can allow "infinitely near" points: blow up  $p_1$ , and blow up a point  $p_2$  on the exceptional divisor  $E_1$ ; somewhat archaic (but still-used) terminology is that  $p_2$  is an "infinitely near point" to  $E_2$ .

But as soon as you blow up a point on an exceptional divisor, you have a genus 0 curve of self-intersection at most -2, and hence it can't be a del Pezzo surface.

**Theorem.** The only del Pezzo surfaces are the above blow-ups of  $\mathbb{P}^2$  (up to 8 points, no 3 on a line, no 6 on a conic), and  $\mathbb{P}^1 \times \mathbb{P}^1$ .

Proof: later in the course.

## 3. CUBIC SURFACES

**Blow up**  $\mathbb{P}^2$  at six points. 27 (-1)-curves. Reminder of where they are: 6 exceptional divisors  $E_i$ .  $\binom{6}{2}$  lines  $L_{ij}$ . 6 conics  $C_{ijklm}$ .

Anticanonical map gives embedding in to  $\mathbb{P}^3$ . (Remember: (-1)-curves  $\leftrightarrow$  lines.)

Get smooth cubic surface in  $\mathbb{P}^3$  with lines. We'll see: (i) there are no more lines on this surface, (ii) that almost all smooth cubic surfaces are  $\mathbb{P}^2$  blown up at six points and hence have 27 lines, (iii) all smooth cubic surfaces have 27 lines, and (iv) all smooth cubic surfaces are  $\mathbb{P}^2$  blown up at six points.

*Proposition.* We have found all the lines.

*Proof.* Diophantine equations. Suppose  $D = aH - \sum b_i E_i$  is a line, i.e. a (-1)-curve.

First case: some  $b_i < 0$ . Then  $D \cdot E_i = -b_i < 0$ . But D is the class of a line, so  $D \cdot E_i \ge 0$  unless the line and  $E_i$  have a component in common. Thus they must be equal, i.e.  $D = E_i$ . Hence we've found six lines:  $E_1, \ldots, E_6$ .

Second case: all  $b_i \ge 0$ . Also note that a > 0.

Then degree = 1 means  $(3H - \sum E_i) \cdot D = 1$ , from which  $3a - \sum b_i = 1$ .

$$D^2 = -1$$
 implies  $a^2 - \sum b_i^2 = -1$ .

Recall (or re-prove) the quadratic mean - arithmetic mean inequality:

$$\sqrt{\left(\frac{\sum b_i^2}{6}\right)} \ge \frac{\sum b_i}{6}$$

from which  $\sum b_i^2 \ge (\sum b_i)^2/6$ . Thus

$$a^2 = \sum b_i^2 - 1 \ge (\sum b_i)^2 / 6 - 1 = (3a - 1)^2 / 6 - 1$$

from which  $6a^2 + 6 \ge 9a^2 - 6a + 1 \Rightarrow 0 \ge 3a^2 - 6a - 5 \Rightarrow 8 \ge 3(a - 1)^2$ . Thus a = 1 or 2.

If a = 1, then we are considering the class of lines, then we get  $\sum b_i = 2$  and  $\sum b_i^2 = 2$ , from which two of the  $b_i$ 's are 1 and the rest are 0.

If a=2, we get  $\sum b_i=5$  and  $\sum b_i^2=5$ . The five  $b_i$ 's must be equal to 1, and the last equal to 0.

**Proposition.** Almost all smooth cubic surfaces are  $\mathbb{P}^2$  blown up at six points.

*Proof.* By dimension count. Dimension of space of cubic surfaces: count cubic equations in 4 variables (20). Subtract 1 to projectivize, to get 19. There is a  $\mathbb{P}^{19}$  parametrizing all smooth cubic surfaces. An open set  $\mathcal{S}$  corresponds to the smooth ones.

Now how many ways can we get a cubic surface by blowing up six points in the plane? Choose six points in the plane (12 dimensions), except mod out by the automorphisms of the plane  $\dim PGL(3)=8$ . Then map to projective space using four linearly independent sections of  $3H-\sum E_i$ . There is a four-dimensional space of sections, so we have 16 dimensions of choice of 4 sections. But any multiple of such a 4-tuple gives the same embedding, so -1. Get:

$$12 - 8 + 16 - 1 = 19$$
.

Thus we have a 19-dimensional family!

It's not an open subset yet; there may be, and in fact are, many ways of representing the surface as a blow-up of six points. But there are only a finite number: any such description corresponds to six lines on the surface, no 2 intersecting. So the image of this 19-dimensional family lies in S, and must be dense in S.

We'll see soon: Every smooth cubic has 27 lines, and is the blow-up of  $\mathbb{P}^2$  at 6 points

3.1. **Automorphisms of the intersection graph.** Again, we can make a graph of the combinatorial structure of the 27 lines. It again is highly symmetric.

**Theorem.** Its automorphism group is  $W(E_6)$ , a finite group of order  $51840 = 2^7 \times 3^4 \times 5$ .

Sketch of proof. Recall the definition of  $W(E_6)$ . 6 skew lines gives full structure. Get map to  $\mathbb{P}^2$ . See  $S_6$  in it. Last one: Cremona transformation.

Interpretation of this group in two ways:

Geometrically: as the monodromy of the 27 lines as you make loops in S.

Algebraically: Let M be the variety parametrizing (surface, line). There is a morphism from M to S, of degree 27. M turns out to be irreducible. Hence we have a field extension of degree 27  $k(S) \subset k(M)$ . If we take the Galois closure of the this field extension, we get a field extension of order 51840, and its Galois group is  $W(E_6)$ .

Next day we'll show that:

3.2. Every smooth cubic has 27 lines, and is the blow-up of  $\mathbb{P}^2$  at 6 points. Let S be a smooth cubic surface.

Earlier today (in response to a question of Eric's) I said:

**Proposition.** *S* is anticanonically embedded.

Proof: Adjunction formula. S has degree 3, so  $K_S = \mathcal{O}_{\mathbb{P}^3}(-4+3)|_S$ .

Hence lines correspond to (-1)-curves.

The strategy of proof that every smooth cubic is a blow-up of  $\mathbb{P}^2$  at 6 points is as follows.

Proposition. Every cubic surface (even singular ones) contains a line.

**Proposition.** Given any line l in S, there are exactly 10 other lines in S meeting l (distinct from l). These fall into 5 disjoint pairs of concurrent lines.

**Corollary.** Any smooth cubic contains two skew lines. Finally:

**Theorem.** S is the blow-up of  $\mathbb{P}^2$  at 6 points.

We'll see instead that S is the blow-up of  $\mathbb{P}^1 \times \mathbb{P}^1$  at 5 points. We'd seen earlier that  $\mathbb{P}^2$  blown up at 2 points is  $\mathbb{P}^1$  blown up at one point, so we'll be done.

*Proof.* Let l and l' be disjoint lines. We define rational maps  $\phi: l \times l' \dashrightarrow S$  and  $\psi: S \dashrightarrow l \times L'$  as follows: if (p,p') is a generic point of  $l \times l'$ , the line  $\langle p,p' \rangle$  meets S in a third point p''. Define  $\phi(p,p')=p''$ . For  $s \in S-l-l'$ , set  $p=l \cap \langle s,l' \rangle$ ,  $p'=l' \cap \langle s,l \rangle$  and put  $\phi(s)=(p,p')$ . It is clear that  $\phi$  and  $\psi$  are inverses. Moreover,  $\phi$  is a morphism: we can define at a points of l (or l) by replacing the plane  $\langle s,l \rangle$  by the tangent plane to S at s

(checking that this gives a morphism, explain). Thus $\psi$ is a birational morphism, and	is a
composite of blow-ups. Which curves are blown-down? Those lines meeting both <i>l</i> a	and
l'.	
We'll see why there are precisely 5 of them, one of each the pairs described above.	
, and the first term of the fi	