

# COMPLEX ALGEBRAIC SURFACES CLASS 14

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Last day we looked at a lot of rational surfaces. We made use of:

**Useful proposition.** Consider the blow-up of  $\mathbb{P}^2$  at  $n$  general points, giving exceptional divisors  $E_1, \dots, E_n$ . Then the intersection ring on  $\mathbb{P}^2$  is given by

$$\mathbb{Z}[H, E_1, \dots, E_n]/H^2 = 1, HE_i = 0, E_i \cdot E_j = 0, E_i^2 = -1.$$

We can understand divisors and sections of divisors in terms of divisors on  $\mathbb{P}^2$  with certain multiplicities at the  $E_j$ . More precisely: the vector space of sections of  $aH - b_1E_1 - \dots - b_nE_n$  is naturally isomorphic to the vector space of degree  $a$  polynomials in  $\mathbb{P}^2$  vanishing with multiplicity at least  $b_i$  on  $E_i$ .

We also proved the following. Suppose  $S$  is a surface, and  $-K_S$  gives a map to projective space. Then  $(-1)$ -curves map isomorphically onto lines. Conversely, if  $S$  is a surface, and  $-K_S$  gives a map to projective space, then any curve mapping isomorphically onto a line is a  $(-1)$ -curve.

Today: Cubic surfaces. But first, some interesting combinatorial remarks, due to Tyler, Diane and others.

## 1. COMBINATORIAL ASPECTS

**Proposition.** The automorphism group of the Peterson graph is  $S_5$ . (I haven't drawn the Peterson graph in these notes, sorry!)

*Proof.* Two (equivalent) proofs. Diane's: To each vertex put a size 2 subset of  $\{1, \dots, 5\}$ . Join them by an edge if the don't intersect.

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*Date:* Friday, November 15.

Tyler's: Label the edges as follows (3 have label 1, etc.). Observe that as soon as you've labeled one edge with a number, the other two are determined: they are those that are distance 3 from it. Hence if  $G$  is the automorphism group of the graph, we have a morphism  $G \rightarrow S_5$ . It is surjective: you can get a 5-cycle (rotate) and a 2-cycle (do it). It is injective: suppose you have an automorphism fixing the colors. We'll show that the three 1-labeled edges are fixed. Look at the two pairs of edges each 1-edged meets; note that this gives a partition of  $\{2, 3, 4, 5\}$  into two couples. There are 3 ways to do this, and they correspond to the 3 edges.

Connection between Diane's and Tyler's: in Diane's construction: label an edge with the number missing in its vertex labels.  $\square$

If you remember, I described a pattern of automorphism groups of the intersection graphs of the blow-up of  $\mathbb{P}^2$  at  $n$  up to 9 points. The answer was:

$$\begin{array}{cccccccc} n = & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ \dim \text{Aut} & [S_3 \times S_2] & W(A_4) = S_5 & W(D_5) & W(E_6) & W(E_7) & W(E_8) & W(E_9) \end{array} .$$

I expressed disappointment that  $S_3 \times S_2$  was not isomorphic to  $D_{12}$ , the symmetries of hexagon, because that's what the correct answer was. But in fact:

**Proposition (Tyler).**  $S_3 \times S_2 \cong D_{12}$ .

*Proof.*  $x = (12)(12)$  and  $y = (12)(123)$  generate  $S_3 \times S_2$ . They also satisfy the relations defining  $D_{12}$ :  $x^2 = y^6 = e$ ,  $xyx = y^{-1}$ .  $\square$

*Remark.* The map  $D_{12} \rightarrow S_3$  corresponds to the induced permutation of the 3 diagonals. The map  $D_{12} \rightarrow S_2$  corresponds to the permutation of the two inscribed equilateral triangles.

## 2. DEL PEZZO SURFACES

Another remark about these surfaces.

**Definition.** A surface  $S$  is a *del Pezzo surface* if  $-K_S$  is ample.

This is yet another ancient idea that remains important. Most recently, they have come up in physics.

Examples from last day:  $\mathbb{P}^1 \times \mathbb{P}^1$ , and the blow-up of  $\mathbb{P}^2$  at up to 8 general points. Let's get rid of that nasty word "general", by making more precise which points you throw out.

**Proposition.** The blow up of  $\mathbb{P}^2$  at up to 8 distinct points, no 3 on a line and no 6 on a conic, is a del Pezzo surface.

*Proof.* Check using our useful proposition that  $-K$  or  $-2K$  is very ample. If  $n \leq 6$ ,  $-K$  is ample, i.e. cubics vanishing at the  $n$  points gives something that separates points

and tangent vectors. If  $n = 7$  or  $8$ ,  $-K$  isn't very ample, but  $-2K$  is: the linear system corresponding to sextics vanishing at the  $n$  points separate points and tangent vectors.  $\square$

**Proposition.** The blow up of  $\mathbb{P}^2$  at up to 8 distinct points, with 3 on a line or 6 on a conic, is not a del Pezzo surface.

*Proof.* First, note that a del Pezzo surface can't have a (-2)-curve, i.e. a genus 0 curve  $C$  with self-intersection  $\leq -2$ . Reason: genus formula gives

$$-2 = 2g - 2 = C \cdot (K + C) = C \cdot K + C \cdot C \leq C \cdot K - 2$$

so  $0 \leq C \cdot K$ . But  $-K$  is ample, so  $K \cdot C < 0$  for all  $C$ .

If at least there are at least 3 points on a line, then there is a genus 0 curve of self-intersection at most  $-2$ .

Similarly, if there are at least 6 points on a conic, then there is a genus 0 curve of self-intersection at most  $-2$ .  $\square$

*Remark: Slight extension.* You can allow "infinitely near" points: blow up  $p_1$ , and blow up a point  $p_2$  on the exceptional divisor  $E_1$ ; somewhat archaic (but still-used) terminology is that  $p_2$  is an "infinitely near point" to  $E_2$ .

But as soon as you blow up a point on an exceptional divisor, you have a genus 0 curve of self-intersection at most  $-2$ , and hence it can't be a del Pezzo surface.

**Theorem.** The only del Pezzo surfaces are the above blow-ups of  $\mathbb{P}^2$  (up to 8 points, no 3 on a line, no 6 on a conic), and  $\mathbb{P}^1 \times \mathbb{P}^1$ .

Proof: later in the course.

### 3. CUBIC SURFACES

**Blow up  $\mathbb{P}^2$  at six points.** 27 (-1)-curves. Reminder of where they are: 6 exceptional divisors  $E_i$ ,  $\binom{6}{2}$  lines  $L_{ij}$ , 6 conics  $C_{ijklm}$ .

Anticanonical map gives embedding in to  $\mathbb{P}^3$ . (Remember: (-1)-curves  $\leftrightarrow$  lines.)

Get smooth cubic surface in  $\mathbb{P}^3$  with lines. We'll see: (i) there are no more lines on this surface, (ii) that almost all smooth cubic surfaces are  $\mathbb{P}^2$  blown up at six points and hence have 27 lines, (iii) all smooth cubic surfaces have 27 lines, and (iv) all smooth cubic surfaces are  $\mathbb{P}^2$  blown up at six points.

*Proposition.* We have found all the lines.

*Proof.* Diophantine equations. Suppose  $D = aH - \sum b_i E_i$  is a line, i.e. a (-1)-curve.

*First case:* some  $b_i < 0$ . Then  $D \cdot E_i = -b_i < 0$ . But  $D$  is the class of a line, so  $D \cdot E_i \geq 0$  unless the line and  $E_i$  have a component in common. Thus they must be equal, i.e.  $D = E_i$ . Hence we've found six lines:  $E_1, \dots, E_6$ .

*Second case:* all  $b_i \geq 0$ . Also note that  $a > 0$ .

Then degree = 1 means  $(3H - \sum E_i) \cdot D = 1$ , from which  $3a - \sum b_i = 1$ .

$D^2 = -1$  implies  $a^2 - \sum b_i^2 = -1$ .

Recall (or re-prove) the quadratic mean - arithmetic mean inequality:

$$\sqrt{\left(\frac{\sum b_i^2}{6}\right)} \geq \frac{\sum b_i}{6}$$

from which  $\sum b_i^2 \geq (\sum b_i)^2/6$ . Thus

$$a^2 = \sum b_i^2 - 1 \geq (\sum b_i)^2/6 - 1 = (3a - 1)^2/6 - 1$$

from which  $6a^2 + 6 \geq 9a^2 - 6a + 1 \Rightarrow 0 \geq 3a^2 - 6a - 5 \Rightarrow 8 \geq 3(a - 1)^2$ . Thus  $a = 1$  or  $2$ .

If  $a = 1$ , then we are considering the class of lines, then we get  $\sum b_i = 2$  and  $\sum b_i^2 = 2$ , from which two of the  $b_i$ 's are 1 and the rest are 0.

If  $a = 2$ , we get  $\sum b_i = 5$  and  $\sum b_i^2 = 5$ . The five  $b_i$ 's must be equal to 1, and the last equal to 0.  $\square$

**Proposition.** Almost all smooth cubic surfaces are  $\mathbb{P}^2$  blown up at six points.

*Proof.* By dimension count. Dimension of space of cubic surfaces: count cubic equations in 4 variables (20). Subtract 1 to projectivize, to get 19. There is a  $\mathbb{P}^{19}$  parametrizing all smooth cubic surfaces. An open set  $S$  corresponds to the smooth ones.

Now how many ways can we get a cubic surface by blowing up six points in the plane? Choose six points in the plane (12 dimensions), except mod out by the automorphisms of the plane  $\dim PGL(3) = 8$ . Then map to projective space using four linearly independent sections of  $3H - \sum E_i$ . There is a four-dimensional space of sections, so we have 16 dimensions of choice of 4 sections. But any multiple of such a 4-tuple gives the same embedding, so  $-1$ . Get:

$$12 - 8 + 16 - 1 = 19.$$

Thus we have a 19-dimensional family!

It's not an open subset yet; there may be, and in fact are, many ways of representing the surface as a blow-up of six points. But there are only a finite number: any such description corresponds to six lines on the surface, no 2 intersecting. So the image of this 19-dimensional family lies in  $S$ , and must be dense in  $S$ .  $\square$

We'll see soon: Every smooth cubic has 27 lines, and is the blow-up of  $\mathbb{P}^2$  at 6 points

**3.1. Automorphisms of the intersection graph.** Again, we can make a graph of the combinatorial structure of the 27 lines. It again is highly symmetric.

**Theorem.** Its automorphism group is  $W(E_6)$ , a finite group of order  $51840 = 2^7 \times 3^4 \times 5$ .

*Sketch of proof.* Recall the definition of  $W(E_6)$ . 6 skew lines gives full structure. Get map to  $\mathbb{P}^2$ . See  $S_6$  in it. Last one: Cremona transformation.  $\square$

Interpretation of this group in two ways:

Geometrically: as the monodromy of the 27 lines as you make loops in  $S$ .

Algebraically: Let  $M$  be the variety parametrizing (surface, line). There is a morphism from  $M$  to  $S$ , of degree 27.  $M$  turns out to be irreducible. Hence we have a field extension of degree 27  $k(S) \subset k(M)$ . If we take the Galois closure of the this field extension, we get a field extension of order 51840, and its Galois group is  $W(E_6)$ .

Next day we'll show that:

**3.2. Every smooth cubic has 27 lines, and is the blow-up of  $\mathbb{P}^2$  at 6 points.** Let  $S$  be a smooth cubic surface.

Earlier today (in response to a question of Eric's) I said:

**Proposition.**  $S$  is anticanonically embedded.

Proof: Adjunction formula.  $S$  has degree 3, so  $K_S = \mathcal{O}_{\mathbb{P}^3}(-4 + 3)|_S$ .

Hence lines correspond to  $(-1)$ -curves.

The strategy of proof that every smooth cubic is a blow-up of  $\mathbb{P}^2$  at 6 points is as follows.

**Proposition.** Every cubic surface (even singular ones) contains a line.

**Proposition.** Given any line  $l$  in  $S$ , there are exactly 10 other lines in  $S$  meeting  $l$  (distinct from  $l$ ). These fall into 5 disjoint pairs of concurrent lines.

**Corollary.** Any smooth cubic contains two skew lines. Finally:

**Theorem.**  $S$  is the blow-up of  $\mathbb{P}^2$  at 6 points.

We'll see instead that  $S$  is the blow-up of  $\mathbb{P}^1 \times \mathbb{P}^1$  at 5 points. We'd seen earlier that  $\mathbb{P}^2$  blown up at 2 points is  $\mathbb{P}^1$  blown up at one point, so we'll be done.

*Proof.* Let  $l$  and  $l'$  be disjoint lines. We define rational maps  $\phi : l \times l' \dashrightarrow S$  and  $\psi : S \dashrightarrow l \times l'$  as follows: if  $(p, p')$  is a generic point of  $l \times l'$ , the line  $\langle p, p' \rangle$  meets  $S$  in a third point  $p''$ . Define  $\phi(p, p') = p''$ . For  $s \in S - l - l'$ , set  $p = l \cap \langle s, l' \rangle$ ,  $p' = l' \cap \langle s, l \rangle$  and put  $\phi(s) = (p, p')$ . It is clear that  $\phi$  and  $\psi$  are inverses. Moreover,  $\phi$  is a morphism: we can define at a points of  $l$  (or  $l'$ ) by replacing the plane  $\langle s, l \rangle$  by the tangent plane to  $S$  at  $s$

(checking that this gives a morphism, explain). Thus  $\psi$  is a birational morphism, and is a composite of blow-ups. Which curves are blown-down? Those lines meeting both  $l$  and  $l'$ .

We'll see why there are precisely 5 of them, one of each the pairs described above.  $\square$