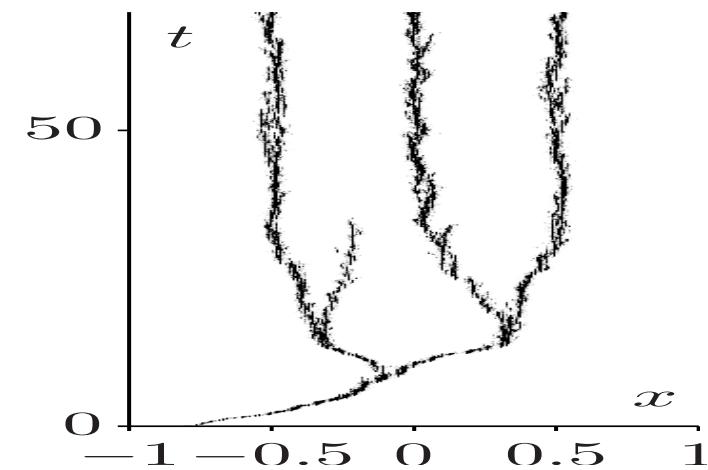
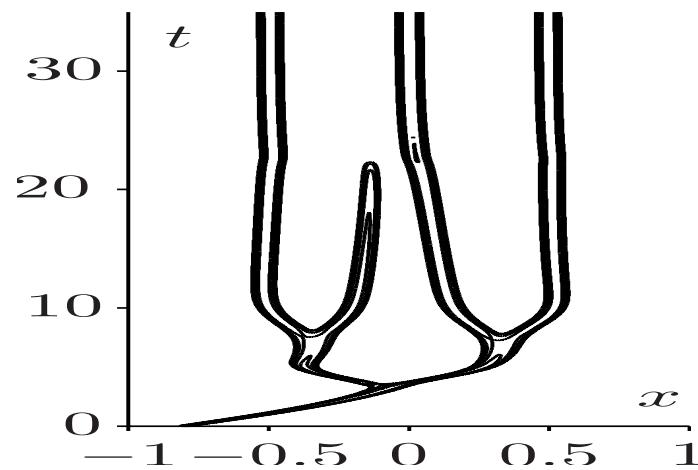
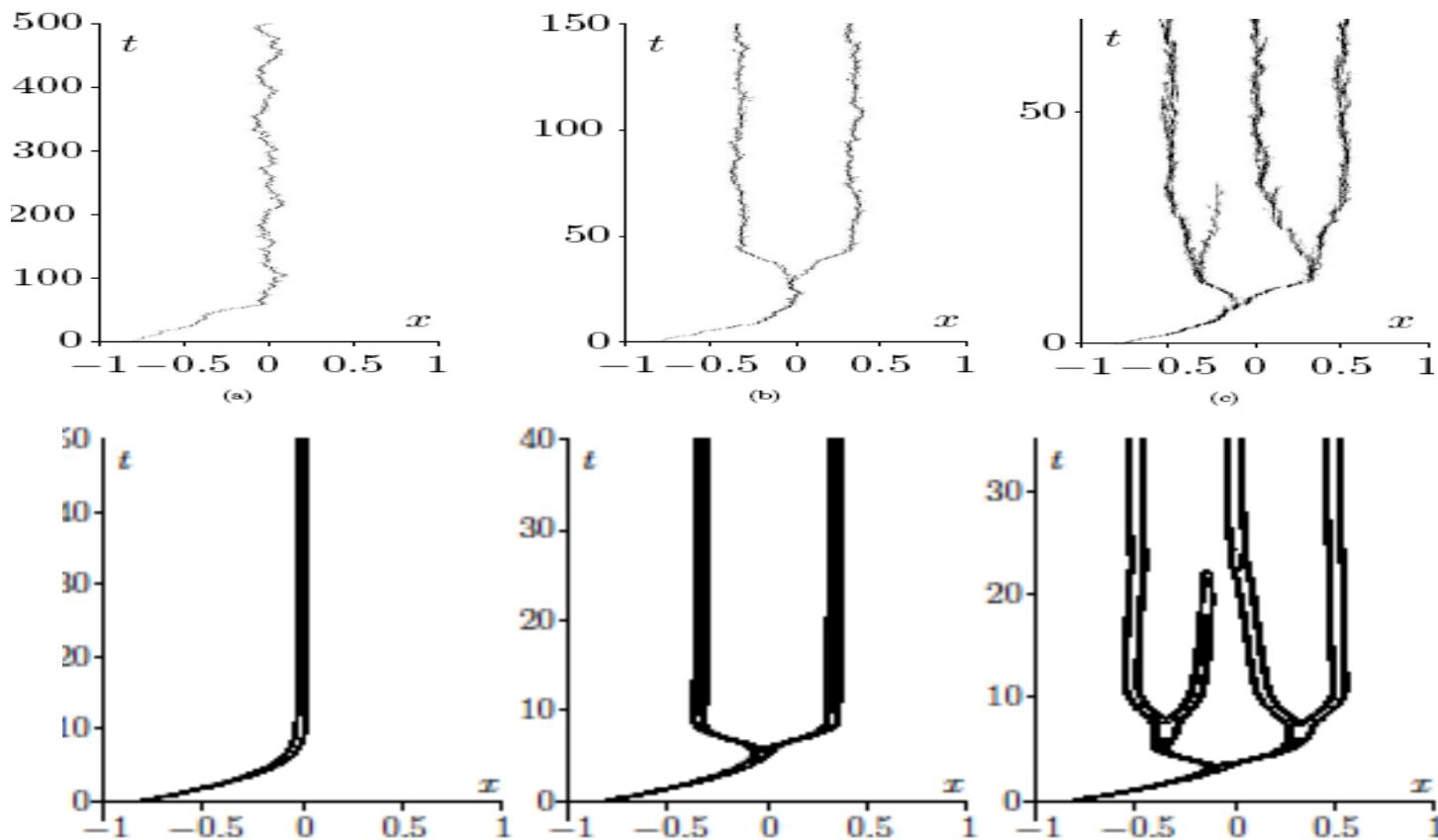


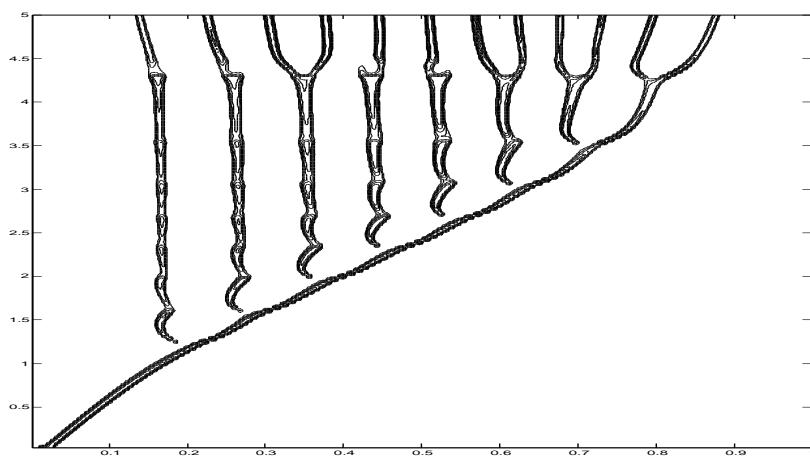
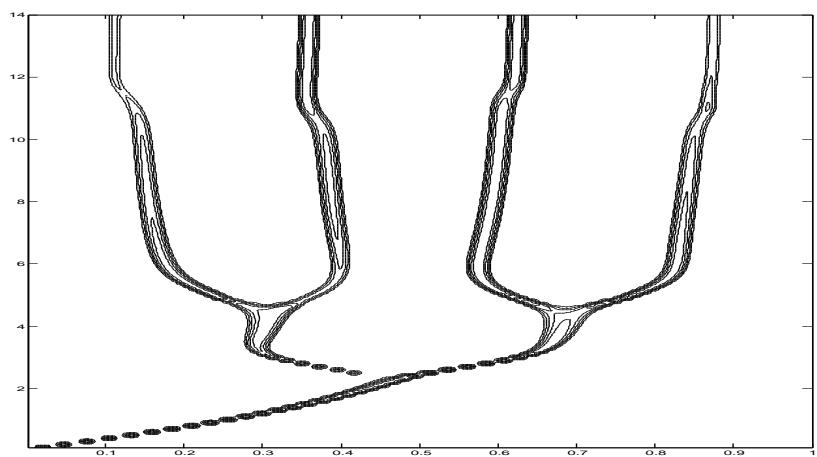
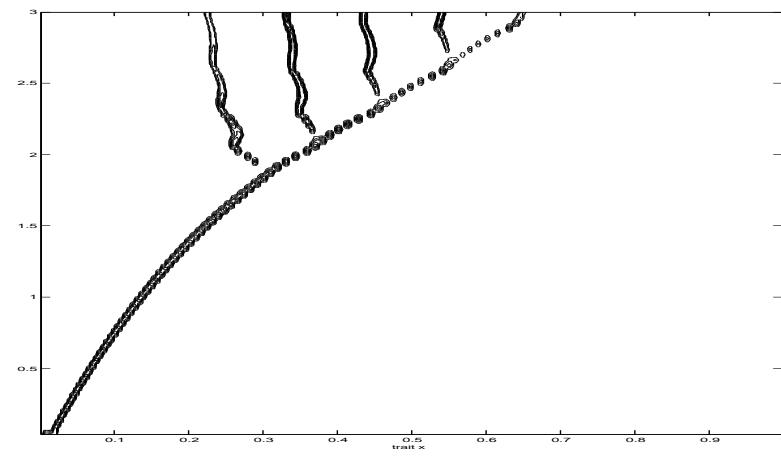
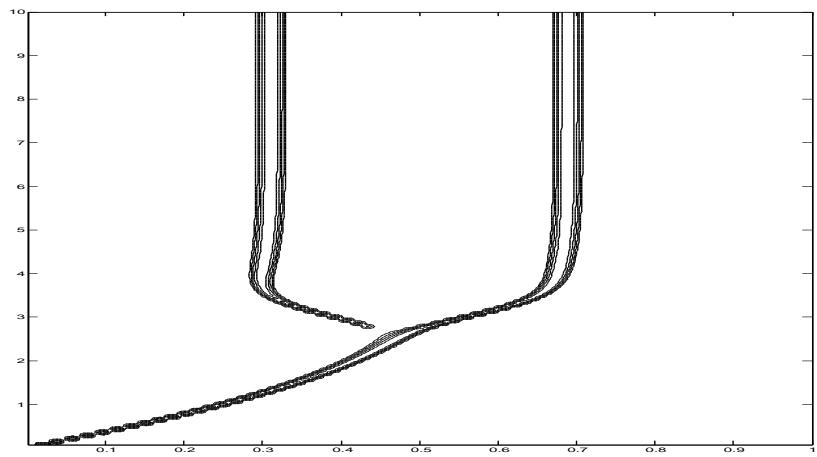
# Adaptive evolution : a population approach

Benoît Perthame



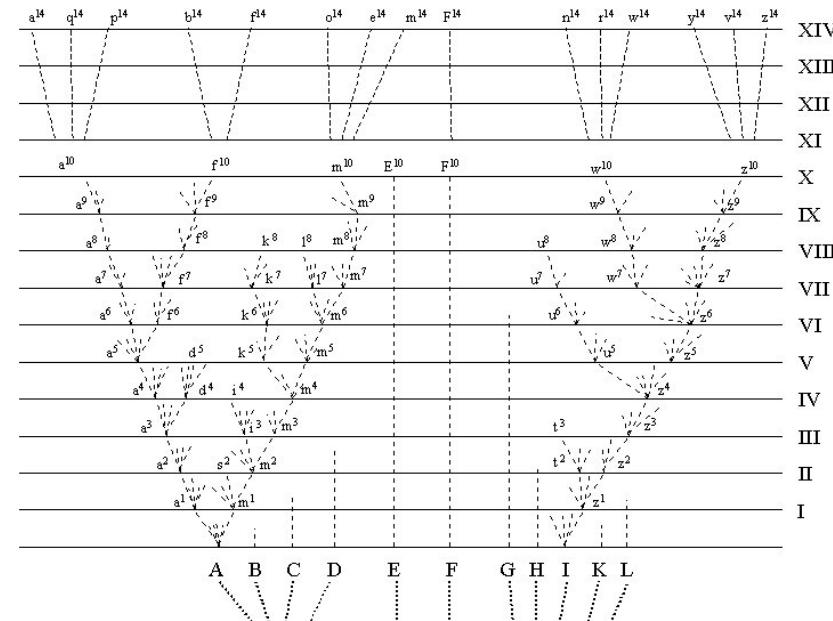
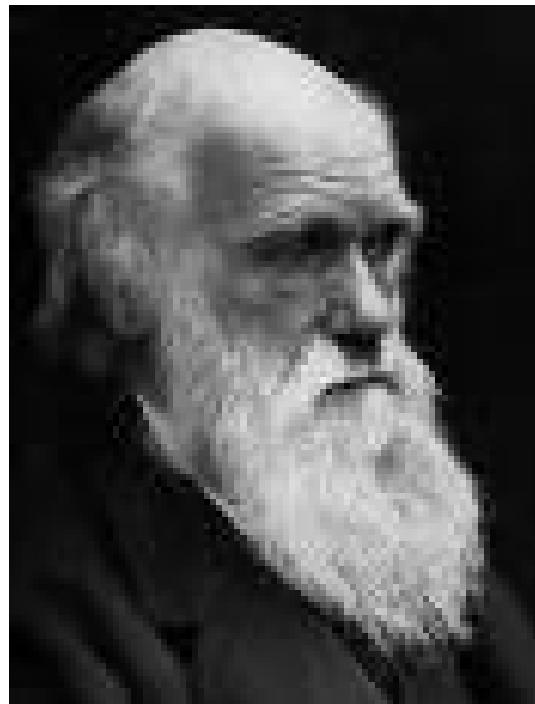
## Motivation 1 : population adaptive evolution





## Motivation 1 : Short history

- Darwin (1809-1882) 'On the origin of species' (1859)

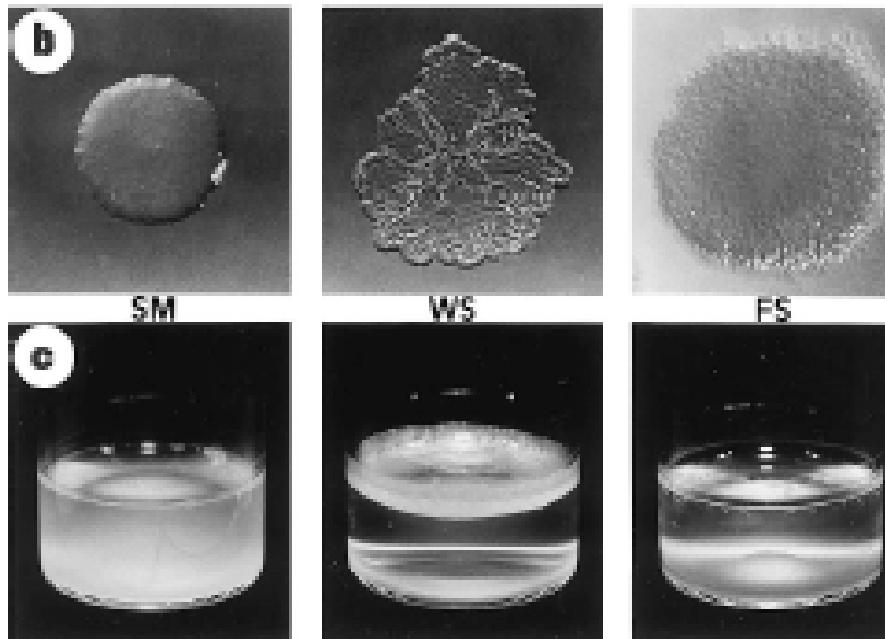


## **Motivation 1 : adaptive evolution**

But adaptation can be seen on shorter times scales

- Bacterial resistance to antibiotics
- Resistance of tumor cells to chemotherapy
- Lab experiments on bacteria...

## Motivation 1 : adaptive evolution

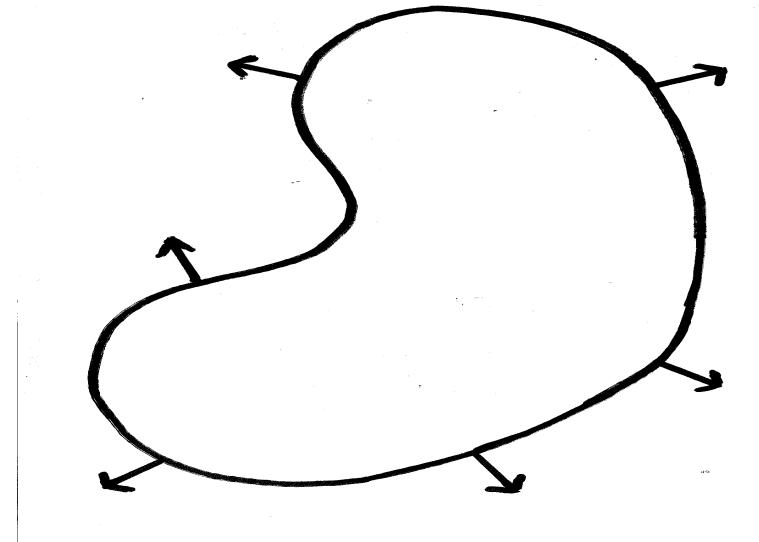


Phenotypic diversity for *Pseudomonas fluorescens*.  
Populations were founded from single morph cells.  
From Rainey and Travisano, Letters to Nature, 1998

## Motivation 2 : geometric motion

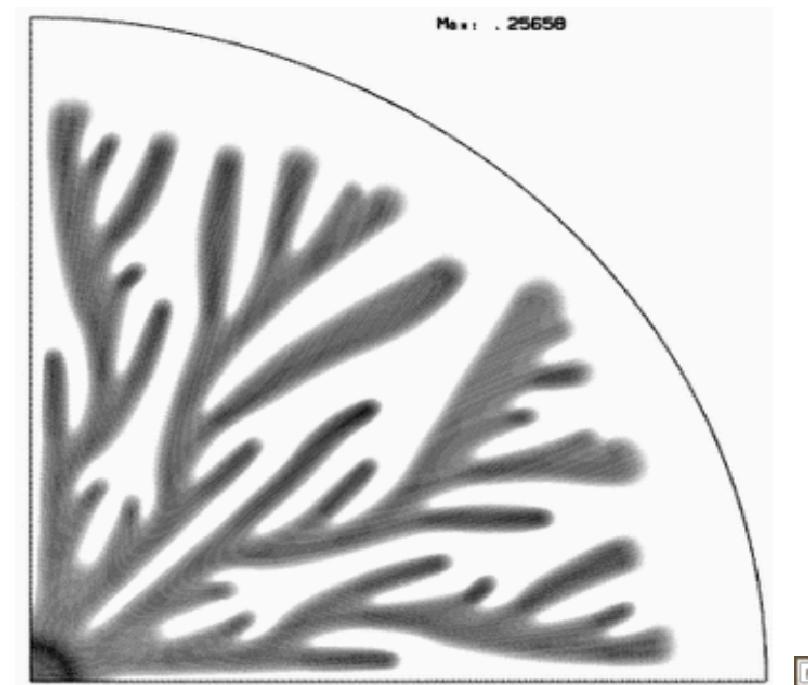
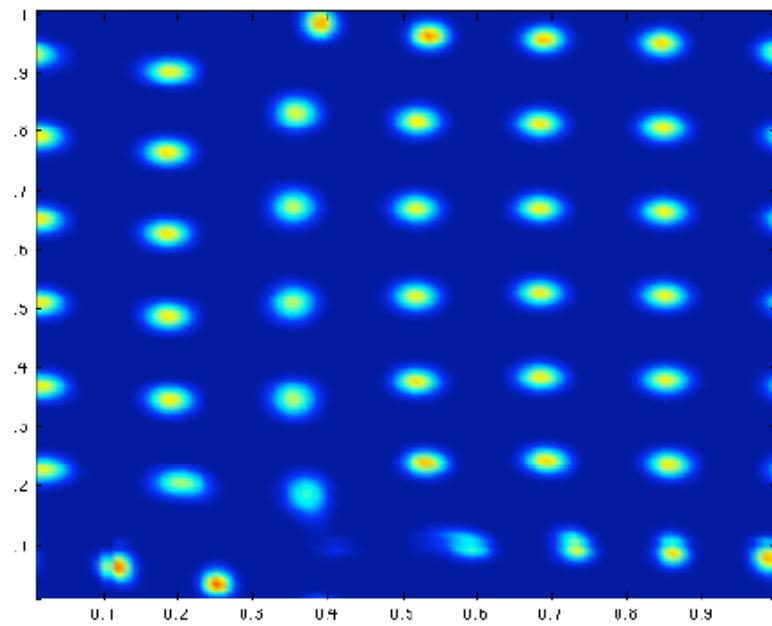
Combustion or invasion fronts lead to sharp moving interfaces described by geometric equations

$$\frac{\partial u}{\partial t} + V|\nabla u| = 0,$$



These are hypersurfaces. Is it possible to describe 0 dimension motion as well ?

## Motivation 3 : Turing patterns - Dentritic patterns



## **OUTLINE OF THE LECTURE**

- I. How to model evolution ?
- II. Asymptotic method and the constrained H.-J. equation
- III. Canonical equation
- IV. Polymorphism
- V. Evolution by non-proliferative advantage

## OUTLINE OF THE LECTURE

- I. How to model evolution ?
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## COLLABORATORS

O. Diekmann, P.-E. Jabin, St. Mischler,  
G. Barles, S. Genieys, M. Gauduchon,  
S. Cuadrado and J. Carrillo,  
S. Mirrahimi, P. E. Souganidis, A. Lorz

## Adaptive dynamic : selection principle

Consider a structured population model

$$\begin{cases} \frac{d}{dt}n(t, x) = n(t, x)R(x, \varrho(t)), \\ \varrho(t) = \int_{\mathbb{R}^d} n(t, x)dx. \end{cases}$$

Examples type 1 :

$$R(x, \varrho(t)) := b(x) - d(x)\varrho(t), \quad R(x, \varrho(t)) := \frac{b(x)}{1+\varrho(t)} - d(x)$$

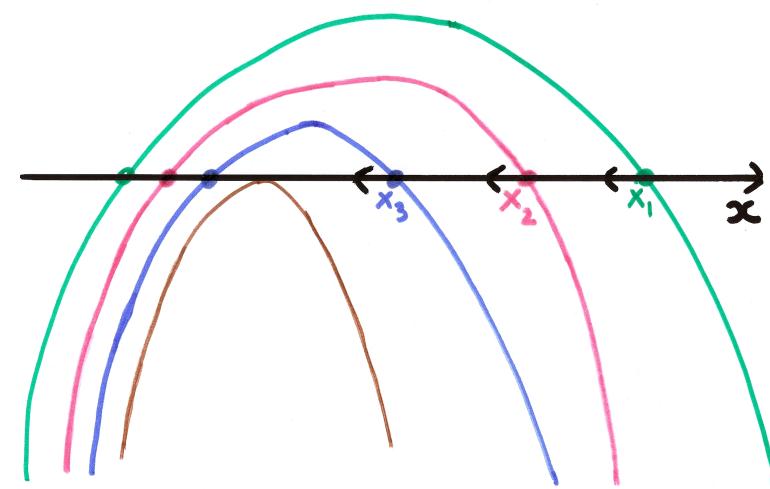
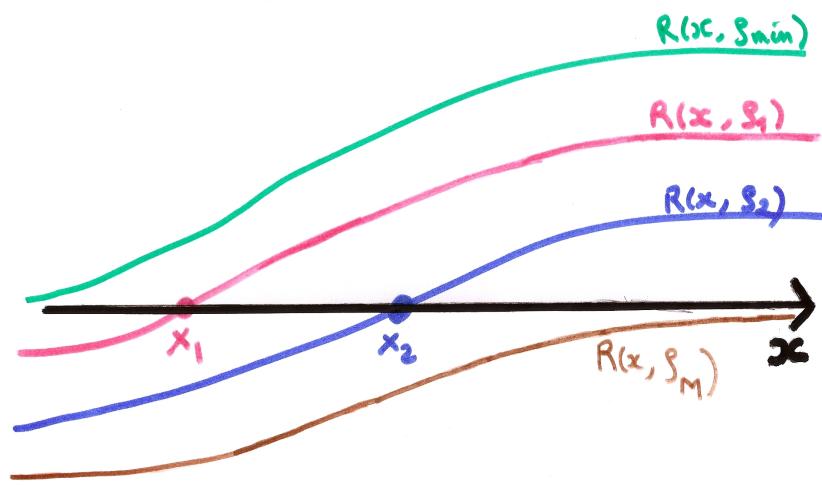
Examples type 2 :

$$R(x, \varrho(t)) := \frac{b(x)}{1+\varrho(t)} - d(x)\varrho(t)$$

Keep in mind : •  $R$  changes sign,  $R_\varrho(x, \varrho) < 0$ ,

•  $\varrho(t) \leq \varrho_{Max}$  when  $R(x, \varrho_{Max}) < 0, \forall x$

## Motivation : general setting for adaptive evolution



Examples of growth rates  $R(x, \rho_i)$

## Adaptive dynamic : selection principle

$$\begin{cases} \frac{d}{dt}n(t, x) = n(t, x)R(x, \varrho(t)), \\ \varrho(t) = \int_{\mathbb{R}^d} n(t, x)dx, \end{cases}$$

with

$$\frac{\partial}{\partial \varrho} R(x, \varrho) < 0, \quad R(x, \varrho_{Max}) < 0 \quad \forall x.$$

**Theorem** Assume  $n^0(x) > 0$  then

$$\varrho(t) \rightarrow \varrho_\infty \quad \text{as} \quad t \rightarrow \infty,$$

$$n(t, x) \xrightarrow[t \rightarrow \infty]{} \varrho_\infty \delta(x = x_\infty) \quad (\text{Competitive Exclusion Principle})$$

and (assuming uniqueness)

$$\min_{\varrho \leq \varrho_\infty} \max_x R(x, \varrho) = 0 = R(x_\infty, \varrho_\infty) \quad (\text{pessimism principle})$$

## Adaptive dynamic : selection principle

**Proof. 1. bounds on  $\varrho(t)$**

$$\begin{cases} \frac{d}{dt}n(t, x) = n(t, x)(b(x) - \varrho(t)d(x)), \\ \varrho(t) = \int_{\mathbb{R}^d} n(t, x)dx. \end{cases}$$

We have the a priori estimate

$$\frac{d}{dt}\varrho(t) = \int b(x)n(t, x)dx - \varrho(t) \int d(x)n(t, x)dx \leq \varrho(t)[\max b - \min d \varrho(t)],$$

$$\frac{d}{dt}\varrho(t) = \int b(x)n(t, x)dx - \varrho(t) \int d(x)n(t, x)dx \geq \varrho(t)[\min b - \max d \varrho(t)],$$

This implies

$$\min(\varrho(0), \min b/d) \leq \varrho(t) \leq \max(\varrho(0), \max b/d).$$

## Adaptive dynamic : selection principle

**Proof.** 2.  $\lim_{t \rightarrow \infty} \varrho(t)$

Next, we prove BV bounds on  $\varrho(t)$

$$\int_0^\infty \left| \frac{d}{dt} \varrho(t) \right| dt < \infty$$

Therefore  $\varrho(t) \rightarrow \varrho_\infty$  as  $t \rightarrow \infty$ .

## Adaptive dynamic : selection principle

**Proof.** 3.  $\lim_{t \rightarrow \infty} n(t)$

The, BV estimates show that  $\varrho(t)$  has a limit  $\varrho_\infty$  as  $t \rightarrow \infty$ .

$$\frac{d}{dt}n(t, x) \approx n(t, x)[R(x, \varrho_\infty) \pm o(t)],$$

This contradicts  $R(x, \varrho_\infty) > 0$  for some  $x$  (finite population)

This contradicts  $R(x, \varrho_\infty) < 0$  for all  $x$  (non extinction).

## Adaptive dynamic : selection principle

$$\begin{cases} \frac{d}{dt}n(t, x) = n(t, x)R(x, \varrho(t)), \\ \varrho(t) = \int_{\mathbb{R}^d} n(t, x)dx. \end{cases}$$

**Remark** There are many steady states. For any  $\bar{x}$

$$\bar{n}(x) = \bar{\varrho} \delta(x - \bar{x})$$

choosing  $\bar{\varrho}$  such that

$$R(\bar{x}, \bar{\varrho}) = 0.$$

The limit depends on the initial support

## Adaptive dynamic : selection principle

$$\begin{cases} \frac{d}{dt}n(t, x) = n(t, x)R(x, \varrho(t)), \\ \varrho(t) = \int_{\mathbb{R}^d} n(t, x)dx. \end{cases}$$

$$\text{given } \bar{x}, \quad \bar{n}(x) = \bar{\varrho} \delta(x - \bar{x}), \quad R(\bar{x}, \bar{\varrho}) = 0, \quad \bar{\varrho}(\bar{x}).$$

- They are stable by perturbation of the weight  $\bar{\varrho}$  (strong topology)

$$\frac{d}{dt}\varrho(t) = \varrho(t)R(\bar{x}, \varrho(t)).$$

## Adaptive dynamic : selection principle

$$\begin{cases} \frac{d}{dt}n(t, x) = n(t, x)R(x, \varrho(t)), \\ \varrho(t) = \int_{\mathbb{R}^d} n(t, x)dx. \end{cases}$$

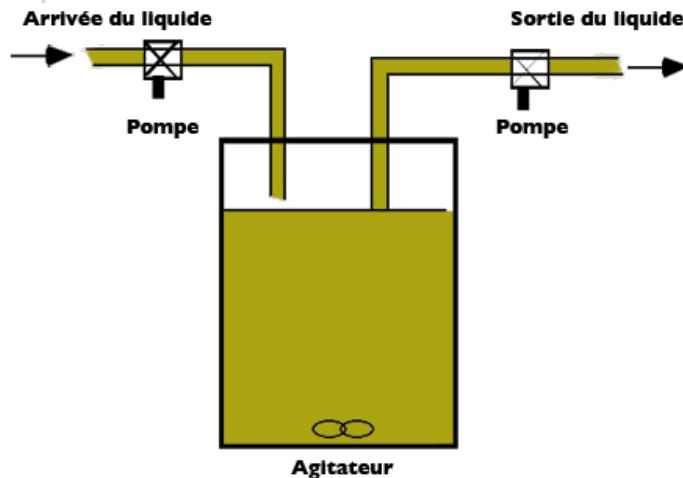
given  $\bar{x}$ ,  $\bar{n}(x) = \bar{\varrho} \delta(x - \bar{x})$ ,  $R(\bar{x}, \bar{\varrho}) = 0$ ,  $\bar{\varrho}(\bar{x})$ .

- They are stable by perturbation of the weight  $\bar{\varrho}$  (strong topology)

$$\frac{d}{dt}\varrho(t) = \varrho(t)R(\bar{x}, \varrho(t)).$$

- But they are unstable by approximation in measures (weak topology), and by mutation (structural)...

## Model derivation : the chemostat



$$\left\{ \begin{array}{l} \text{nutrient variation} \\ \frac{d}{dt}S(t) \\ \text{population variation} \\ \frac{d}{dt}n(t, x) \end{array} \right. = \overbrace{r[S_0 - S(t)]}^{\text{inflow-outflow}} - \overbrace{S(t) \int b(x)n(t, x)dx}^{\text{consumption}} = n(t, x) \left( \underbrace{S(t)b(x)}_{\text{proliferation}} - \underbrace{r}_{\text{outflow}} \right)$$

## Model derivation : the chemostat

The quasi-static approximation is mathematically more tractable

$$\cancel{\frac{d}{dt}S(t)} = r[S_0 - S(t)] - S(t) \int b(x)n(t, x)dx,$$

$$S(t) = \frac{rS_0}{r + \int b(x)n(t, x)dx} \leq S_0$$

$$\begin{cases} S(t) &= \frac{rS_0}{r + \int b(x)n(t, x)dx} \\ \frac{d}{dt}n(t, x) &= n(t, x) (S(t)b(x) - r) \end{cases}$$

## Model derivation : the chemostat

$$\begin{cases} S(t) = \frac{rS_0}{r + \int b(x)n(t,x)dx} \\ \frac{d}{dt}n(t,x) = n(t,x) (S(t)b(x) - r) \end{cases}$$

### Theorem (Gause competitive exclusion principle)

Assume  $n^0 \in L^1$ ,  $n^0 > 0$  and  $\bar{b} := \max b = b(\bar{x}_\infty)$  uniquely, then

(i) For  $r \geq S_0 \bar{b}$       then       $n(t,x) \xrightarrow[t \rightarrow \infty]{} 0$ ,

(ii) For  $r < S_0 \bar{b}$

$$\int b(x)n(t,x)dx \xrightarrow[t \rightarrow \infty]{} \bar{\rho}_\infty, \quad n(t,x) \xrightarrow[t \rightarrow \infty]{} \bar{\rho}_\infty \delta(x - \bar{x}_\infty)$$

## Model derivation : the chemostat

$$\begin{cases} S(t) = \frac{rS_0}{r + \int b(x)n(t,x)dx} \\ \frac{d}{dt}n(t,x) = n(t,x) (S(t)b(x) - r) \end{cases}$$

Proof of (i) (Extinction) : Because  $S(t) < S_0$  we have

$$\begin{aligned} \frac{d}{dt}n(t,x) &< n(t,x) (S_0 b(x) - r) \\ &< n(t,x) (S_0 \max(b) - r) \\ &< 0 \end{aligned} \quad \text{because } r \geq S_0 \max(b)$$

One easily concludes :  $n(t,x)$  converges to  $N(x)$  and by contradiction

$$\int b(x)N(x)dx = 0.$$

## Model derivation : the chemostat

The model can be extended to **several nutrients**

$$\begin{cases} \frac{d}{dt}S_j(t) = r[S_{0,j} - S_j(t)] - S_j(t) \int b_j(x)n(t,x)dx, & 1 \leq j \leq J \\ \frac{d}{dt}n(t,x) = n(t,x) \left( \sum_{j=1}^J S_j(t)b_j(x) - r \right) \end{cases}$$

And with the quasi-static assumption

$$\begin{cases} S_j(t) = \frac{rS_{0,j}}{r + \int b_j(x)n(t,x)dx} \\ \frac{d}{dt}n(t,x) = n(t,x) \left( \sum_{j=1}^J S_j(t)b_j(x) - r \right) \end{cases}$$

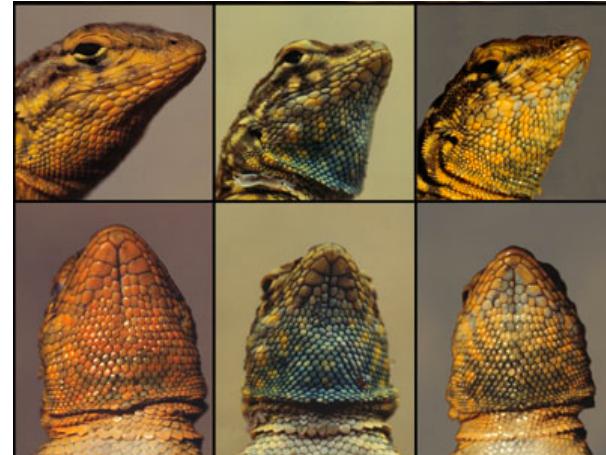
**Claim (Gause competitive exclusion principle)** Generically there can be  $J$  traits represented.

## Population model of adaptive dynamics : related approaches

- Evolutionary game theory

Blue (stronger),  
Orange (middle size),  
Yellow (smaller)

compensate by mating **strategies**



from B. Sinervo. <http://bio.research.ucsc.edu/barrylab>

NATURE VOL. 246 NOVEMBER 2 1973

## The Logic of Animal Conflict

J. MAYNARD SMITH

School of Biological Sciences, University of Sussex, Falmer, Sussex BN1 9QH

G. R. PRICE

Galton Laboratory, University College London, 4 Stephenson Way, London NW1 2HE

J. Hofbauer- M. Nowak- K. Zigmund

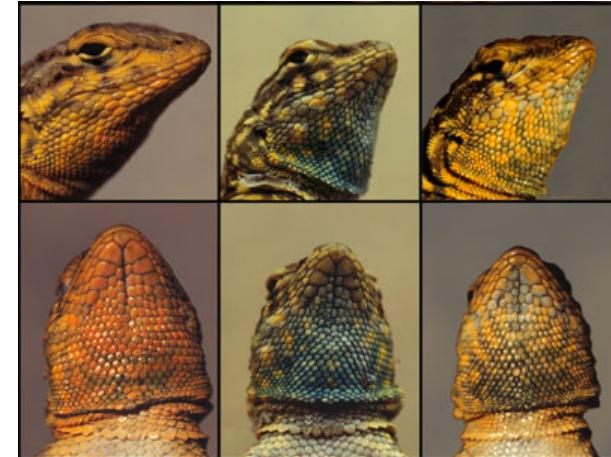
H. Metz, S. Geritz, G. Meszena,  
S. Kisdi, O. Diekmann

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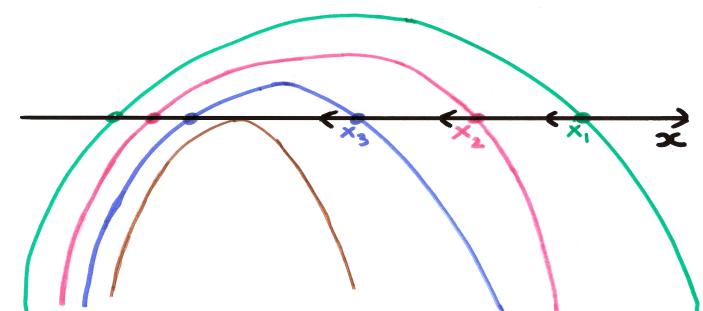


from B. Sinervo. <http://bio.research.ucsc.edu/barrylab>

The relation can be seen by

$$\max_S R(x, \bar{\rho}) = 0 = R(\bar{x}, \bar{\rho})$$

$$\min_{\rho} \max_S R(x, \rho) = 0, \quad (\text{ESS})$$

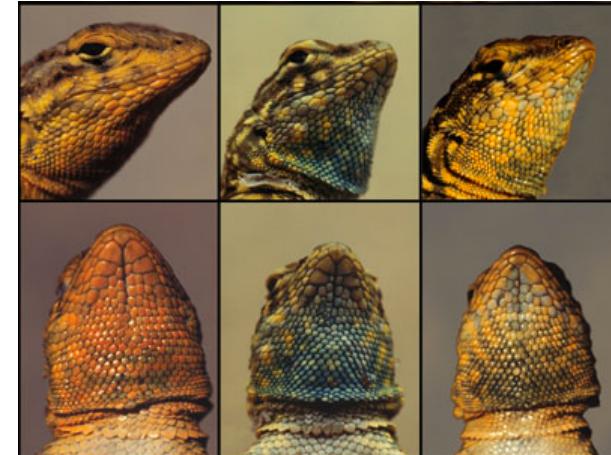


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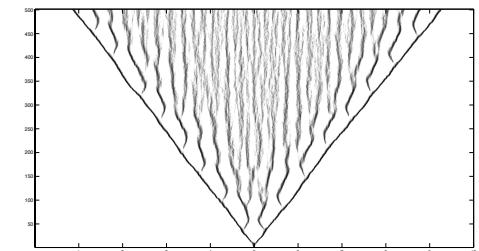


from B. Sinervo. <http://bio.research.ucsc.edu/barrylab>

- Stochastic models, Individual Based Models

$N$  individuals,  
rescale mutation, birth, death rates

U. Dieckmann- R. Law, R. Ferriere  
N. Champagnat, S. Méléard



## Open questions

- We can prove (Chung, Lorz, BP) :

$$\begin{cases} \frac{d}{dt}n(x,t) = n(x,t)R(x, I(t)), \\ \frac{d}{dt}I(t) = \lambda[\varrho(t) - I(t)] \end{cases}$$

for  $\lambda$  large  $\varrho(t), I(t) \rightarrow \varrho_\infty, I_\infty$

- Prove convergence of  $n(x,t)$  when there is no uniqueness
- Multiple species, multiple nutrients,
- Uniqueness for the constrained Hamilton-Jacobi equation