

due on April 8, 2009.

**1.1** Let  $V$  be a vector space and  $\{f_\alpha\}_{\alpha \in A}$  be linear functionals on  $V$ . Assume that  $g$  is a linear functional on  $V$  which is continuous in the weak topology determined by  $\{f_\alpha\}_{\alpha \in A}$ . Prove that  $g$  is in the linear span of  $\{f_\alpha\}_{\alpha \in A}$ .

**1.2** *Hausdorff dimension.* The Hausdorff dimension  $\mathcal{H}\text{-dim}(E)$  of a set  $E \subset \mathbb{R}$  is the infimum of the numbers  $c$  for which there is a constant  $C$  such that, for every  $\varepsilon > 0$ , there exists a covering of  $E$  by intervals  $I_n$  satisfying:

$$(1.1) \quad \sup_n |I_n| < \varepsilon \quad \text{and} \quad \sum_n |I_n|^c < C.$$

**a.** Assume that a closed set  $E \subset \mathbb{R}$  carries a probability measure  $\mu$  such that  $\mu(I) \leq C|I|^\delta$  for every interval  $I$ . Prove that  $\mathcal{H}\text{-dim}(E) \geq \delta$ .

**b.** What is the Hausdorff dimension of the classical Cantor set

$$(1.2) \quad E = \{x : x = \sum_1^\infty \varepsilon_j 3^{-j}, \varepsilon_j = 0, 2.\}$$

**1.3** A number  $\xi \in \mathbb{R}$  is *diophantine* (with exponent  $k, k > 0$ ) if for some constant  $C > 0$  there are no rational numbers  $p/q$  such that  $|\xi - p/q| < Cq^{-k}$ .

$\xi$  is a *Liouville number* if it is not diophantine, i.e., if for every  $k > 0$  there exist integers  $p, q$  such that  $q > k$  and  $|\xi - p/q| \leq q^{-k}$ .

**a.** Prove that the set  $\mathcal{D}ioph$  of diophantine numbers is of the first category; equivalently, the set  $\mathcal{L}iou$  of Liouville numbers is residual in  $\mathbb{R}$ .

Hint: Write

$$E_k = \bigcap_N \bigcup_{p, q \in \mathbb{Z}, q > N} \{\xi : |\xi - p/q| < q^{-k}\}$$

so that

$$\mathcal{L}iou = \bigcap_k E_k,$$

clearly a  $G_\delta$ , a countable intersection of open sets. Verify that it is dense.

**b.** Prove that the Hausdorff dimension of the set  $\mathcal{L}iou$  is zero.

Hint: Estimate the Hausdorff dimension of  $E_k$ .

**1.4** Recall that a Banach space  $B$  is *uniformly convex* if for any  $\varepsilon \in (0, 1)$  there exist  $\eta < 1$  such that if  $x, y \in B, \|x\| = \|y\| = 1$  and  $\|x - y\| > 2\varepsilon$  then  $\|\frac{1}{2}(x + y)\| < \eta$ .

1. Check that Hilbert space is uniformly convex, and that one may take  $\eta = (1 - \varepsilon^2)^{\frac{1}{2}}$ .
2. Prove that for  $1 < p < \infty$ ,  $L^p$  is uniformly convex.

*Hints:* For (a) use plane geometry.

For (b): Assume  $\int |f|^p d\mu = \int |g|^p d\mu = 1$ , and  $\int |f - g|^p d\mu = a > 0$ .

Write  $\phi = \frac{f}{(|f|^p + |g|^p)^{1/p}}$ ,  $\psi = \frac{g}{(|f|^p + |g|^p)^{1/p}}$  and  $\nu = (|f|^p + |g|^p)\mu$ .

Then  $\int |\phi| d\nu = \int |\psi| d\nu = 1$ ,  $\int |\phi - \psi|^p d\nu = a > 0$ , and  $\int |f + g|^p d\mu = \int |\phi + \psi|^p d\nu$ .

This reduces the general case to that where the functions are bounded by 1 and the measure space has total mass 2. Use the convexity of the function  $x^p$  in  $[-1, 1]$ : for  $p > 1$ ,  $0 < a < 2$ , there exists  $c = c(p, a) > 0$  such that:

$$\text{if } x_1, x_2 \in [-1, 1] \text{ and } |x_2 - x_1| \geq a \text{ then } \left| \frac{x_1 + x_2}{2} \right|^p \leq \frac{|x_1|^p + |x_2|^p}{2} - c.$$

Extend this to: if  $z_1, z_2$  are complex numbers,

$$|z_j| \leq 1, j = 1, 2, \text{ and } |z_2 - z_1| \geq a \text{ then } \left| \frac{z_1 + z_2}{2} \right|^p \leq \frac{|z_1|^p + |z_2|^p}{2} - c.$$

If  $\int |\phi - \psi|^p d\nu \geq (2\varepsilon)^p$ , then  $|\phi - \psi| > a$  on a set  $E$  of  $\nu$  measure  $> a$ , where  $a > 0$  depends only on  $p$  and  $\varepsilon$ .

- 1.5** Let  $B$  be a uniformly convex Banach space. Assume that  $x_n \in B$  for  $n = 1, 2, \dots, x_n \rightarrow x_0$  in the weak topology and  $\|x_n\| \rightarrow \|x_0\|$ . Prove that  $x_n \rightarrow x_0$  in norm.

Give an example showing that the statement is false for general Banach spaces.

- 1.6** Let  $f, g \in L^1(\mathbb{T})$  and assume that for any  $\psi \in C^\infty(\mathbb{T})$ ,

$$\int f(t)\psi'(t) dt = - \int g(t)\psi(t) dt$$

(this is often referred to as “ $g$  is a *weak derivative* of  $f$ ”) Prove that  $f$  is absolutely continuous and  $g = f'$  a.e.