

MATH 676. HOMEWORK 10

1. Let  $K$  be a global function field; that is, a finitely generated extension of some  $\mathbf{F}_p$  with transcendence degree 1. Let the finite field  $k$  denote the algebraic closure of  $\mathbf{F}_p$  in  $K$ . (This is the *constant field* of  $K$ .) Since  $k$  is perfect, there exists a *separating transcendence basis*: an element  $x \in K^\times$  transcendental over  $k$  such that  $K$  is finite separable over  $k(x)$ .

(i) Prove that the set  $M_K$  of topological equivalence classes of non-trivial absolute values on  $K$  is countable, and that for each such equivalence class  $v$  the associated valuation ring  $A_v$  is a discrete valuation ring with fraction field  $K$  and residue field  $\kappa(v)$  that is finite. (Hint: Use an extension structure  $K/k(x)$  to reduce to the case  $K = k(x)$ .) For each  $v$ , we write  $|\cdot|_v$  to denote the unique representative for  $v$  whose value group is  $q_v^{\mathbf{Z}}$ , where  $q_v = \#\kappa(v)$ . Prove that for each  $f \in K^\times$  we have  $|f|_v = 1$  for all but finitely many  $v \in M_K$ , and prove that  $|f|_v = 1$  for all  $v \in M_K$  if and only if  $f \in k^\times$ ; in other words, just like for number fields,  $|f|_v = 1$  for all  $v$  if and only if  $f$  is a root of unity! (Hint: study a minimal polynomial for  $f$  over  $k(x)$ )

(ii) Prove the *product formula*:  $\prod_v |f|_v = 1$  for all  $f \in K^\times$ . (Hint: Use an extension structure  $K/k(x)$  to reduce to the case  $K = k(x)$ , imitating the method of reduction to  $\mathbf{Q}$  in the number field case.)

(iii) Let  $S$  be a finite non-empty set in  $M_K$ . The *Riemann-Roch theorem* over  $k$ , applied to  $K/k$ , ensures that there exists a separating transcendence basis  $x$  for  $K/k$  such that  $K/k(x)$  is not only finite and separable but even has  $S$  as exactly the set of places over the infinite place on  $k(x)$ .

The ring  $\mathcal{O}_{K,S}$  of  $S$ -integers in  $K$  is the set of  $f \in K$  such that  $f \in A_v$  for all  $v \notin S$ . Use an  $x$  as above to prove that  $\mathcal{O}_{K,S}$  is a Dedekind domain finitely generated as a  $k$ -algebra, and that its maximal ideals are in one-to-one correspondence with the places on  $K$  outside of  $S$ . Moreover, if a maximal ideal  $\mathfrak{m}$  of  $\mathcal{O}_{K,S}$  corresponds to a place  $v \notin S$ , then prove that the algebraic localization  $(\mathcal{O}_{K,S})_{\mathfrak{m}}$  inside of  $K$  is equal to the valuation ring  $A_v$ .

(iv) The *adele ring*  $\mathbf{A}_K \subseteq \prod_v K_v$  is the directed union of subrings  $\mathbf{A}_{K,S} = \prod_{v \in S} K_v \times \prod_{v \notin S} \mathcal{O}_v$ . Using the evident locally compact Hausdorff topological ring structure on the  $\mathbf{A}_{K,S}$ 's, explain how to give  $\mathbf{A}_K$  a structure of locally compact Hausdorff topological ring. Also prove that in general  $\mathbf{A}_K^\times$  with its subspace topology is not open in  $\mathbf{A}_K$  and is not a topological group. (hint: For each non-archimedean place  $v$ , let  $x_v \in \mathbf{A}_K$  be the adèle with  $v$ -coordinate  $\pi_v$  and  $v'$ -coordinate 1 for all  $v' \neq v$ . Prove  $x_v \in \mathbf{A}_K^\times$  with  $\{x_v\}$  converging to 1 in  $\mathbf{A}_K$  (for any choice of enumeration of  $M_K$ ) but  $\{x_v^{-1}\}$  has no limit in  $\mathbf{A}_K$ .)

2. Let  $K'/K$  be a finite separable extension of global fields. (Separability can be dropped, but this requires more commutative algebra than we have developed.)

(i) Define a natural continuous map  $\mathbf{A}_K \rightarrow \mathbf{A}_{K'}$  over  $K \rightarrow K'$  that is a homeomorphism onto a closed subring. (Hint: Study places of  $K'$  over a fixed place of  $K$ .)

(ii) Prove that the natural map of  $K'$ -algebras  $K' \otimes_K \mathbf{A}_K \rightarrow \mathbf{A}_{K'}$  is a topological isomorphism, where the left side is given the product topology upon using any  $K$ -basis of  $K'$ . (Why does this latter topology not depend on the choice of  $K$ -basis of  $K'$ ?)

(iii) Use (ii) to prove that  $K'$  is discrete in  $\mathbf{A}_{K'}$  with compact quotient for any global field  $K'$  by reduction to the special cases  $K' = \mathbf{Q}$  and  $K' = k(x)$  for a finite field  $k$ .

(iv) If  $R$  is a topological ring, show that giving  $R^\times$  the subspace topology from  $R \times R$  via the identification  $x \mapsto (x, x^{-1})$  onto the subset  $\{(x, y) \in R^2 \mid xy = 1\}$  gives  $R^\times$  a structure of topological group that is functorial in  $R$ . Applying this with  $R = \mathbf{A}_K$  to give  $\mathbf{A}_K^\times$  a structure of topological group (this is the *only* topology ever put on  $\mathbf{A}_K^\times$ ), use discreteness of  $K$  in  $\mathbf{A}_K$  to infer discreteness of  $K^\times$  in  $\mathbf{A}_K^\times$  and use openness of  $\mathbf{A}_{K,S}$  in  $\mathbf{A}_K$  to infer openness of  $\mathbf{A}_{K,S}^\times$  in  $\mathbf{A}_K^\times$ . Show that each subset  $\mathbf{A}_{K,S}^\times \subseteq \mathbf{A}_K^\times$  is given a product topology, and use this to describe a base of opens around the identity in  $\mathbf{A}_K^\times$ . The topological group  $\mathbf{A}_K^\times$  is the *idele group* of  $K$ .

3. The purpose of this problem is to extend Galois theory to the case of infinite extensions. Recall that if  $K/k$  is an algebraic extension of fields then it is *separable* if all elements of  $K$  are separable over  $k$ , or equivalently if all intermediate fields of finite degree over  $k$  are separable over  $k$ , and it is *Galois* if every irreducible  $f \in k[T]$  with a root in  $K$  (so  $f$  is separable) splits over  $K$ ; equivalently, every finite subextension of  $K$  is contained in a Galois subextension. If  $K/k$  is Galois, we define  $\text{Gal}(K/k)$  to be  $\text{Aut}(K/k)$ .

(i) Let  $k_s/k$  be a separable closure. Using the uniqueness of separable closure up to (non-unique) automorphism, prove that  $K/k$  is Galois if and only if  $K/k$  is separable and every  $k$ -embedding  $K \hookrightarrow k_s$  has the same image.

(ii) Assume that  $K/k$  is Galois, and let  $K'$  be an intermediate extension (so  $K'/k$  is separable). Prove that  $K/K'$  is Galois and that  $K'$  is the fixed field of  $\text{Gal}(K/K')$  acting on  $K$  (hint: use (i) and uniqueness of separable closures up to isomorphism), and prove that  $K'/k$  is Galois if and only if  $\text{Gal}(K/K')$  is a normal subgroup of  $\text{Gal}(K/k)$ , in which case the natural map of abstract groups  $\text{Gal}(K/k)/\text{Gal}(K/K') \rightarrow \text{Gal}(K'/k)$  is an isomorphism.

(iii) Assume  $K/k$  is Galois, and let  $\Sigma$  denote the set of subgroups of  $\text{Gal}(K/k)$  that arise in the form  $\text{Gal}(K/K')$  for intermediate extensions  $K'$ . By (ii),  $K' \mapsto \text{Gal}(K/K')$  is a bijection from the set of intermediate extensions to the set  $\Sigma$ , with  $K$ -Galois subextensions corresponding to normal subgroups in  $\Sigma$ , and that  $H \mapsto K^H$  is the inverse bijection.

Prove as follows that  $\Sigma$  is not generally the set of *all* subgroups of  $\text{Gal}(K/k)$ . Let  $k$  be finite of size  $q$ , with  $K$  an algebraic closure and  $k_n$  the unique subfield of degree  $n$  over  $k$ . Prove that the infinite cyclic group  $\langle \phi \rangle \subseteq \text{Gal}(K/k)$  generated by the  $q$ th-power map  $\phi$  has fixed field  $k$ , yet this infinite cyclic group is *not* all of  $\text{Gal}(K/k)$ : there exists a unique  $k$ -automorphism  $\sigma$  of  $K$  such that  $\sigma|_{k_n}$  satisfies  $\sigma(x) = x^{q^{e_n}}$  with  $e_n = 1! + 2! + \cdots + (n-1)!$  for all  $n \geq 1$ , and  $\sigma \notin \langle \phi \rangle$ .

(iv) We define the *Krull topology* on  $G = \text{Gal}(K/k)$  as follows: a base of opens around  $\sigma$  is given by the subsets  $U_F(\sigma) = \{g \in G \mid \sigma|_F = g|_F\}$  for subextensions  $F$  of finite degree over  $k$ . (That is, an element is “close” to  $\sigma$  if it agrees with  $\sigma$  on a large finite set of elements of  $K$ .) Prove that the subsets  $U_F(\sigma)$  satisfy the axioms to be a base of opens for a topology on  $G$ , called the *Krull topology*, and that this induces exactly the subspace topology on  $G$  via the inclusion  $G \subseteq \prod_F \text{Gal}(F/k)$  as  $F$  ranges over the  $k$ -finite subextensions that are *Galois* over  $k$  and each finite group  $\text{Gal}(F/k)$  is given the discrete topology. (For example, if  $[K:k]$  is finite then this gives the discrete topology to  $\text{Gal}(K/k)$ .) Also prove that if  $k_1 \rightarrow k_2$  is a map of fields and  $K_1 \rightarrow K_2$  is a map of Galois extensions over  $k_1 \rightarrow k_2$  then the induced map  $\text{Gal}(K_2/k_2) \rightarrow \text{Gal}(K_1/k_1)$  is continuous; in particular, the Krull topology is *functorial*.

(v) Prove that  $G = \text{Gal}(K/k)$  with its Krull topology is a topological group, and prove that  $G$  is closed in  $\prod_F \text{Gal}(F/k)$ . (hint: Prove  $G$  is the set of tuples  $(g_F)_F$  satisfying the collection of conditions  $g_{F_1}|_{F_2} = g_{F_2}$  for all pairs  $F_1$  and  $F_2$  with  $F_2 \subseteq F_1$ .) Conclude that the Krull topology makes  $G$  *compact* and Hausdorff, and use this to prove that if  $K'$  is an intermediate extension then the natural injection  $\text{Gal}(K/K') \rightarrow \text{Gal}(K/k)$  is a homeomorphism onto a closed subgroup and for  $K'/k$  Galois the natural map  $\text{Gal}(K/k)/\text{Gal}(K/K') \rightarrow \text{Gal}(K'/k)$  is an isomorphism of topological groups (using the quotient topology on the source).

(vi) Prove that the closure of a subgroup  $H$  of a topological group  $G$  is also a subgroup (hint: for  $h \in H$ , prove  $h \cdot \overline{H} = \overline{H} = \overline{H} \cdot h$ , so  $H \cdot \overline{h} \subseteq \overline{H}$  and  $\overline{h} \cdot H \subseteq \overline{H}$  for all  $\overline{h} \in \overline{H}$ ), and that if  $H \subseteq \text{Gal}(K/k)$  is a subgroup then  $\text{Gal}(K/K^H)$  is the closure of  $H$  with respect to the Krull topology. (hint: Use *finite* Galois theory to show that  $H$  surjects onto  $\text{Gal}(K'/K^H)$  for all subextensions  $K'$  that are finite Galois over  $K^H$ !) Deduce that the set  $\Sigma$  in (iii) is exactly the set of *closed* subgroups with respect to the Krull topology, so the Galois correspondence is rescued if we restrict attention to closed subgroups of  $G$ .