

MATH 249A. HOMEWORK 6

1. A map of abelian varieties  $f : A \rightarrow B$  over  $k$  is an *isogeny* if it is surjective with finite kernel on  $\bar{k}$ -points, or equivalently (as we have seen earlier in the course) if  $f$  is finite and flat.

(i) Using the theorem of Deligne from Exercise 5 in HW4 and the “quotient” property for fpqc homomorphisms between group schemes (as discussed in class), prove that if  $\dim A = \dim B$  then  $f$  is an isogeny if and only there exists  $g : B \rightarrow A$  such that  $g \circ f = [n]_A$ , in which case  $f \circ g = [n]_B$ .

(ii) Let  $\ell$  be a prime with  $\ell \neq \text{char}(k)$ . Prove that  $f$  is an isogeny if and only if the induced map  $T_\ell(f) : T_\ell(A) \rightarrow T_\ell(B)$  on  $\ell$ -adic Tate modules is injective with finite cokernel, and equivalently if and only if  $V_\ell(f) : V_\ell(A) \rightarrow V_\ell(B)$  is an isomorphism. In such cases, prove that  $\deg f$  is not divisible by  $\ell$  if and only if  $T_\ell(f)$  is an isomorphism. (There are analogues for  $\ell = \text{char}(k) > 0$ , using Dieudonné modules.)

(iii) The *isogeny category* of abelian varieties over  $k$  has objects the abelian varieties over  $k$  and morphisms  $\text{Hom}^0(A, B) := \mathbf{Q} \otimes_{\mathbf{Z}} \text{Hom}_k(A, B)$ . Explain why this forms a category, prove that the “forgetful” functor from the category of abelian varieties over  $k$  to the isogeny category is faithful but not fully faithful, and that a map of abelian varieties is an isomorphism in the isogeny category if and only if it is an isogeny.

2. Let  $S$  be a scheme,  $X$  an  $S$ -scheme, and  $G$  an  $S$ -group scheme. Assume there is given a left action map  $G \times_S X \rightarrow X$ . This action is called *free* if  $G(T)$  acts freely on  $X(T)$  for all  $S$ -schemes  $T$ .

(i) Prove that freeness is equivalent to the map  $G \times_S X \rightarrow X \times_S X$  defined by  $(\gamma, x) \mapsto (\gamma.x, x)$  being a monomorphism, and deduce that freeness is insensitive to fpqc base change. (Hint: in a category with fiber products, a map is a monomorphism if and only if its relative diagonal is an isomorphism.)

(ii) Let  $X$  be a scheme locally of finite type over an algebraically closed  $k$ , equipped with an action by a  $k$ -group  $G$  locally of finite type. For each  $x \in X(k)$ , prove that the functor assigning to any  $k$ -scheme  $S$  the subgroup of  $g \in G(S)$  fixing  $x_S \in X(S)$  is represented by a closed  $k$ -subgroup  $G_x$ , the *isotropy group scheme* at  $x$ . Explain why  $G_x$  naturally acts on the tangent space  $T_x(X)$  (viewed as an affine space over  $k$ ), so the action map  $G_x \rightarrow \text{GL}(T_x(X))$  defines a map of Lie algebras  $\text{Lie}(G_x) \rightarrow \mathfrak{gl}(T_x(X))$  (i.e., an action in the sense of Lie algebra representations of  $\text{Lie}(G_x)$  on  $T_x(X)$ ).

Prove that the action is free if and only if  $G(k)$  acts freely on  $X(k)$  and  $\text{Lie}(G_x)$  acts freely on  $T_x(X)$  for all  $x \in X(k)$  (i.e., nonzero elements of  $\text{Lie}(G_x)$  act without nonzero fixed points on  $T_x(X)$ ).

(iii) Assume  $G \rightarrow S$  is fpqc and  $G$  acts freely on  $X$ . A *quotient* of  $X$  by the  $G$ -action is a  $G$ -invariant fpqc map  $\pi : X \rightarrow \bar{X}$  such that  $G \times_S X \rightarrow X \times_{\bar{X}} X$  defined by  $(g, x) \mapsto (g.x, x)$  is an isomorphism. Prove that such a quotient, if it exists, is unique up to unique isomorphism, is initial among  $G$ -invariant maps from  $X$  to  $S$ -schemes, and retains the quotient property after base change to any  $S$ -scheme.

3. Let  $A$  be an abelian variety over  $k$ , and  $G$  a *finite*  $k$ -subgroup scheme of  $A$ . This exercise proves the existence and uniqueness of a quotient abelian variety  $A/G$ , and considers an important example.

(i) Prove that up to unique isomorphism there is at most one pair  $(\bar{A}, \pi)$  consisting of an abelian variety  $\bar{A}$  and a surjective  $k$ -homomorphism  $\pi : A \rightarrow \bar{A}$  with  $G = \ker \pi$ . Prove that if it exists then it is necessarily a quotient in the strong sense of Exercise 2(iii). Conversely, prove that if there is a quotient  $A/G$  in the strong sense of Exercise 2(iii) then it is necessarily an abelian variety. (Hint: a noetherian ring is regular if it admits a faithfully flat regular extension, by Theorem 23.7 of Matsumura CRT, and a  $k$ -algebra is finite type if it admits a faithfully flat extension of finite type over  $k$ , by Prop. 9.1 in Exposé V of SGA3.)

(ii) Choose  $n \in \mathbf{Z} - \{0\}$  killing  $G$  (e.g., the order of  $G$ ), and consider the quotient mapping  $[n]_A : A \rightarrow A$  that identifies  $A$  with  $A/A[n]$  (in particular,  $A/A[n]$  exists and is an abelian variety). Explain how this identifies the problem of existence of  $A/G$  in the sense of (i) with the quotient problem from Exercise 2(iii) for the action of the  $A$ -group  $G \times A$  on  $A$  viewed as an  $A$ -scheme via  $[n]_A : A \rightarrow A$ . The existence of quotients of free actions by finite flat group schemes on schemes affine (even finite!) over a noetherian base is solved in general by Theorem 4.1 in Exposé V of SGA3 (you can read §1–§4 there without the earlier exposés.)

(iii) Let  $\mathcal{L}$  be an ample line bundle on  $A$ , so  $K(\mathcal{L})$  is a finite subgroup scheme of  $A$ . Deduce that the dual abelian variety  $\hat{A}$  is naturally identified with the quotient  $A/K(\mathcal{L})$ . (In Mumford’s book, he develops from scratch a good theory of quotients of abelian varieties modulo finite subgroup schemes and then proves directly for ample  $\mathcal{L}$  that the quotient  $A/K(\mathcal{L})$  satisfies the required properties to be a dual abelian variety. In this way he constructs the theory of the dual abelian variety without using the theory of Picard schemes.)