

MATH 210A. HOMEWORK 3

1. (i) Prove that if a nonzero ideal  $I$  in a domain  $R$  is free as an  $R$ -module then  $I$  is principal. As an application, for  $R = \mathbf{Z}[\sqrt{-5}]$  prove that neither of the ideals  $P = (3, 1 + \sqrt{-5})$  and  $Q = (3, 1 - \sqrt{-5})$  is free.
  - (ii) Prove that  $P \cap Q = 3R$ , and that the addition map  $P \oplus Q \rightarrow R$  defined by  $(a, b) \mapsto a + b$  is surjective.
  - (iii) Deduce that  $P \oplus Q \simeq R^2$  as  $R$ -modules, so a direct summand of a free module need not be free!
2. (i) Read §2 in Appendix 2 on Zorn's Lemma.
  - (ii) As an application, prove that if  $A$  is a nonzero commutative ring then there exist maximal ideals (and in particular, prime ideals) in  $A$ . (Where does your argument use  $A \neq 0$ ?) Applying this to  $A/\mathfrak{a}$  for a proper ideal  $\mathfrak{a}$  of  $A$ , prove that  $\mathfrak{a}$  is contained in a maximal ideal of  $A$ .
  - (iii) Using the operation  $M \rightsquigarrow M/\mathfrak{m}M$  for  $A$ -modules  $M$  and maximal ideals  $\mathfrak{m}$  of  $A$ , prove that if  $A \neq 0$  and there is an  $A$ -linear surjection (resp. isomorphism)  $A^n \twoheadrightarrow A^m$  then  $n \geq m$  (resp.  $n = m$ ). Deduce that if a module over a nonzero commutative ring admits a finite basis then all bases have the same finite size (called the *rank* of the module).
3. Let  $V$  be a finite-dimensional nonzero vector space over a field  $F$ . A linear self-map  $T : V \rightarrow V$  is *semisimple* if every  $T$ -stable subspace of  $V$  admits a  $T$ -stable complementary subspace. (That is, if  $T(W) \subseteq W$  then there exists a decomposition  $V = W \oplus W'$  with  $T(W') \subseteq W'$ .) Keep in mind that such a complement is not unique in general (e.g., consider  $T$  to be a scalar multiplication with  $\dim V > 1$ ).
  - (i) For each monic irreducible  $\pi \in F[t]$ , define  $V(\pi)$  to be the subspace of  $v \in V$  killed by a power of  $\pi(T)$ . Prove that  $V(\pi) \neq 0$  if and only if  $\pi | m_T$ , and that  $V = \bigoplus_{\pi | m_T} V(\pi)$ . (In case  $F$  is algebraically closed, these are the *generalized eigenspaces* of  $T$  on  $V$ .)
  - (ii) Use rational canonical form to prove that  $T$  is semisimple if and only if  $m_T$  has no repeated irreducible factor over  $F$ . (Hint: apply (i) to  $T$ -stable subspaces of  $V$  to reduce to the case when  $m_T$  has one monic irreducible factor.) Deduce that a Jordan block of rank  $> 1$  is never semisimple, that  $m_T$  is the “squarefree part” of  $\chi_T$  when  $T$  is semisimple, and that if  $W \subseteq V$  is a  $T$ -stable nonzero proper subspace then the induced endomorphisms  $T_W : W \rightarrow W$  and  $\overline{T} : V/W \rightarrow V/W$  are semisimple when  $T$  is semisimple.
  - (iii) Let  $T' : V' \rightarrow V'$  be another linear self-map with  $V'$  nonzero and finite-dimensional over  $F$ . Prove that  $T$  and  $T'$  are semisimple if and only if the self-map  $T \oplus T'$  of  $V \oplus V'$  is semisimple.
  - (iii) Choose  $T \in \text{Mat}_n(F)$ , and let  $F'/F$  be an extension splitting  $m_T$ . Prove that  $T$  is semisimple as an  $F'$ -linear endomorphism of  $F'^n$  if and only if  $T$  is diagonalizable over  $F'$ , and also if and only if  $m_T \in F[t]$  is separable; we then say  $T$  is *absolutely semisimple* over  $F$ . Deduce that semisimplicity is equivalent to absolutely semisimplicity over  $F$  if  $F$  is perfect, and give a counterexample over *every* imperfect field.
4. Let  $V$  be a vector space over a field  $F$  with  $n = \dim V > 0$  finite, and let  $T : V \rightarrow V$  be linear.
  - (i) Using rational canonical form and Cayley-Hamilton, prove the following are equivalent:  $T^N = 0$  for some  $N \geq 1$ ,  $T^n = 0$ , with respect to some ordered basis of  $V$  the matrix for  $T$  is upper triangular with 0's on the diagonal,  $\chi_T = t^n$ . We call such  $T$  *nilpotent*.
  - (ii) We say that  $T$  is *unipotent* if  $T - 1$  is nilpotent. Formulate characterizations of unipotency analogous to the conditions in (i), and prove that a unipotent  $T$  is invertible.
  - (iii) Assume  $F$  is algebraically closed. Using Jordan canonical form and generalized eigenspaces, prove that there is a unique expression  $T = T_{\text{ss}} + T_{\text{n}}$  where  $T_{\text{ss}}$  and  $T_{\text{n}}$  are a pair of *commuting* endomorphisms of  $V$  with  $T_{\text{ss}}$  semisimple and  $T_{\text{n}}$  nilpotent. (This is the *additive Jordan decomposition* of  $T$ .) Show by example with  $\dim V = 2$  that uniqueness fails if we drop the “commuting” requirement, and show in general that  $\chi_T = \chi_{T_{\text{ss}}}$  (so  $T$  is invertible if and only if  $T_{\text{ss}}$  is invertible).
  - (iv) Assume  $F$  is algebraically closed and  $T$  is invertible. Using the existence and uniqueness of additive Jordan decomposition, prove that there is a unique expression  $T = T'_{\text{ss}} T'_{\text{u}}$  where  $T'_{\text{ss}}$  and  $T'_{\text{u}}$  are a pair of *commuting* endomorphisms of  $V$  with  $T'_{\text{ss}}$  semisimple and  $T'_{\text{u}}$  unipotent (so  $T'_{\text{ss}}$  is necessarily invertible too). This is the *multiplicative Jordan decomposition* of  $T$ .
  - (v) Use Galois theory with matrices to prove (iii) and (iv) for any perfect  $F$  (using the result over an algebraic closure, or rather over a suitable finite Galois extension), and give counterexamples for any imperfect  $F$ . This leads to the important *Jordan decomposition* in Lie algebras and linear algebraic groups.