

## MATH 121. GALOIS GROUP OF CYCLOTOMIC FIELDS OVER $\mathbf{Q}$

### 1. PREPARATORY REMARKS

Fix  $n \geq 1$  an integer. Let  $K_n/\mathbf{Q}$  be a splitting field of  $X^n - 1$ , so the group of  $n$ th roots of unity in  $K$  has order  $n$  (as  $\mathbf{Q}$  has characteristic not dividing  $n$ ) and is cyclic (as is any finite subgroup of the multiplicative group of a field, by an old homework). As was discussed in class, we have a natural injection of groups

$$\text{Gal}(K_n/\mathbf{Q}) \hookrightarrow (\mathbf{Z}/n\mathbf{Z})^\times,$$

and we proved in lecture that in the case when  $n$  is a prime power, this is an isomorphism. This was done by using Eisenstein's criterion to prove that the polynomial  $\Phi_{p^e}(X) = (X^{p^e} - 1)/(X^{p^{e-1}} - 1) = \Phi_p(X^{p^{e-1}})$  for  $e \geq 1$  is *irreducible* over  $\mathbf{Q}$ . Concretely, the roots of  $\Phi_{p^e}$  (in a splitting field over  $\mathbf{Q}$ ) are clearly the full set of *primitive*  $p^e$ th roots of unity.

Hence, the statement that the extension  $K_{p^e}/\mathbf{Q}$  is “as big as is possible” really says that all primitive  $p^e$ th roots of unity are Galois conjugates of each other over  $\mathbf{Q}$  (i.e., have the same minimal polynomial over  $\mathbf{Q}$ ). This is a very *special* property of  $\mathbf{Q}$ .

*Example 1.1.* For odd  $n > 1$ , primitive  $n$ th roots of 1 in  $\mathbf{C}$  form  $\varphi(n)/2$   $\text{Gal}(\mathbf{C}/\mathbf{R})$ -conjugate pairs.

*Example 1.2.* Over  $\mathbf{F}_p$  (and other interesting fields) one *cannot* say that “all primitive  $n$ th roots of unity are created equal”: they might have *different* minimal polynomials over the ground field. For example, if  $n|(p-1)$  then  $\mathbf{F}_p^\times$  contains a full set of  $n$ th roots of unity, so these are not “created equal”. As one instance,  $\mathbf{F}_7$  contains 6 distinct 6th roots of unity; the primitive cube roots of unity in  $\mathbf{F}_7$  are 2 and 4 (i.e.,  $X^2 + X + 1 = (X - 2)(X - 4)$  is a factorization of  $\Phi_3$  in  $\mathbf{F}_7[X]$ ).

Over  $\mathbf{Q}$ , to handle  $n$  which may not be a prime power we cannot hope to use Eisenstein's criterion (we lack explicit polynomials with which to work), so we shall require another method, due originally to Gauss, which proceeds by a totally different approach (and in particular proves the irreducibility of  $\Phi_{p^e}$  in  $\mathbf{Q}[X]$  by methods unrelated to Eisenstein's criterion). The key to Gauss' idea is to exploit the magical properties of the  $p$ th power map in  $\mathbf{F}_p[X]$  for an auxiliary prime  $p$ .

Since the number of primitive  $n$ th roots of unity in  $K_n$  is exactly  $|(\mathbf{Z}/n\mathbf{Z})^\times|$  (see HW 6) and a Galois conjugate of a primitive  $n$ th root of unity is again a primitive  $n$ th root of unity (why?), to say these are Galois conjugates over  $\mathbf{Q}$  is to say that one of them (hence all of them) has minimal polynomial over  $\mathbf{Q}$  of degree  $|(\mathbf{Z}/n\mathbf{Z})^\times|$ . But any such primitive  $n$ th root of unity  $\zeta$  in  $K_n$  actually generates  $K_n$  over  $\mathbf{Q}$ , so  $[K_n : \mathbf{Q}]$  is equal to the degree of the minimal polynomial of  $\zeta$  over  $\mathbf{Q}$ .

Since  $[K_n : \mathbf{Q}] = \#\text{Gal}(K_n/\mathbf{Q})$ , we conclude that to say  $\text{Gal}(K_n/\mathbf{Q}) \hookrightarrow (\mathbf{Z}/n\mathbf{Z})^\times$  is an isomorphism is *equivalent* to saying that all primitive  $n$ th roots of unity in  $K_n$  are Galois conjugate over  $\mathbf{Q}$  (i.e., have the same minimal polynomial). This is what allows one to say that “all primitive  $n$ th roots of unity are created equal over  $\mathbf{Q}$ ”; there is no *algebraic* way to distinguish them from each other once it is seen that  $\text{Gal}(K_n/\mathbf{Q})$  acts transitively on this set (i.e., they're all roots of the same minimal polynomial over  $\mathbf{Q}$ ). The above examples show that this fails over other ground fields.

### 2. MAIN RESULT

With the motivation and background set up, it is time to prove the main result. We begin with some notation. Fix  $n \geq 1$  and  $K_n/\mathbf{Q}$  a splitting field of  $X^n - 1$ . Define

$$\Phi_n(X) = \prod (X - \zeta) \in K_n[X],$$

where  $\zeta$  runs over all *primitive*  $n$ th roots of unity in  $K_n$  (i.e., all generators of the intrinsic order  $n$  *cyclic* group of solutions to  $T^n - 1 = 0$  in  $K_n$ ). It is clear from the intrinsic nature of primitive  $n$ th

roots of unity that the action of  $\text{Gal}(K_n/\mathbf{Q})$  permutes these around. Hence, even without knowing if  $\text{Gal}(K_n/\mathbf{Q})$  is “big”, it is clear that the monic polynomial  $\Phi_n(X)$  is *invariant* under the action of  $\text{Gal}(K_n/\mathbf{Q})$  (which just shuffles its linear factors under the natural action on  $K_n[X]$ ). Hence, *by Galois theory* the coefficients of  $\Phi_n$  must lie in  $\mathbf{Q}$ ! Its degree is clearly  $|(\mathbf{Z}/n\mathbf{Z})^\times|$ . The main aim is therefore to prove:

**Theorem 2.1.** (Gauss) *The polynomial  $\Phi_n \in \mathbf{Q}[X]$  is irreducible.*

*Proof.* By construction,  $\Phi_n \in \mathbf{Q}[X]$  is monic, and over the extension field  $K_n$  we see that  $\Phi_n$  divides  $X^n - 1$ . Since formation of gcd commutes with extension of the ground field, the divisibility  $\Phi_n | (X^n - 1)$  in  $\mathbf{Q}[X]$  must hold because it is true in  $K_n[X]$  (i.e.,  $\Phi_n$  serves as a gcd of  $\Phi_n$  and  $X^n - 1$ ). By Gauss’ Lemma, since  $X^n - 1 \in \mathbf{Q}[X]$  has integral coefficients, any *monic* factorization in  $\mathbf{Q}[X]$  is necessarily in  $\mathbf{Z}[X]$ . That is, if we write  $X^n - 1 = \Phi_n h$  with  $h \in \mathbf{Q}[X]$ , then since  $h$  is visibly monic (as  $X^n - 1$  and  $\Phi_n$  are monic) it follows that both  $\Phi_n$  and  $h$  must lie in  $\mathbf{Z}[X]$ .

Now suppose that  $\Phi_n$  is *not* irreducible in  $\mathbf{Q}[X]$ , so there is a factorization  $\Phi_n = fg$  in  $\mathbf{Q}[X]$  with monic  $f$  and  $g$  of positive degree. We may also suppose  $f$  is *irreducible*. By Gauss’ Lemma applied to the monic factorization  $fg = \Phi_n$  with  $\Phi_n \in \mathbf{Z}[X]$ , we must have  $f, g \in \mathbf{Z}[X]$ . We seek to derive a contradiction. In  $K_n[X]$  we have the monic factorization  $\Phi_n = \prod (X - \zeta)$  where the product runs over all *primitive*  $n$ th roots of unity in  $K_n$ . Since  $f$  and  $g$  both have positive degree, there must exist *distinct* primitive  $n$ th roots of unity  $\zeta$  and  $\zeta'$  in  $K_n$  such that  $X - \zeta$  is a factor of  $f$  and  $X - \zeta'$  is a factor of  $g$ ; that is,  $f(\zeta) = 0$  and  $g(\zeta') = 0$  in  $K_n$ .

We can write  $\zeta' = \zeta^r$  for a unique  $r \in (\mathbf{Z}/n\mathbf{Z})^\times$  since  $\zeta$  and  $\zeta'$  are primitive  $n$ th roots of unity. Since  $\zeta \neq \zeta'$ , we must have  $r \neq 1$ . Choose a positive integer representing this residue class  $r$ , and denote it by  $r$ , so  $r > 1$  and  $\text{gcd}(r, n) = 1$ . Consider the prime factorization  $r = \prod p_j$  with primes  $p_j$  not necessarily pairwise distinct. To go from  $\zeta$  to  $\zeta' = \zeta^r$  we successively raise to exponents  $p_1$ , then  $p_2$ , etc. Since  $f(\zeta) = 0$  and  $g(\zeta') = 0$ , so  $f(\zeta') \neq 0$  and  $g(\zeta) \neq 0$  (as the factorization  $\Phi_n = fg$  and separability of  $\Phi_n$  forces  $f$  and  $g$  to have no common roots), there must exist a least  $j$  for which  $\zeta^{p_1 \cdots p_{j-1}}$  is a root of  $f$  and its  $p_j$ th power is a root of  $g$ . Thus, there is a primitive  $n$ th root of unity  $\zeta_0$  and prime  $p \nmid n$  such that  $f(\zeta_0) = 0$  and  $g(\zeta_0^p) = 0$ . We shall deduce a contradiction.

Since  $f$  is irreducible over  $\mathbf{Q}$ , it must be the minimal polynomial of  $\zeta_0$ . But  $g(\zeta_0^p) = 0$ , so  $g(X^p) \in \mathbf{Q}[X]$  has  $\zeta_0$  as a root. Thus,  $f | g(X^p)$  is  $\mathbf{Q}[X]$ . We can therefore write  $g(X^p) = fq$  in  $\mathbf{Q}[X]$ , with  $q$  necessarily monic (as  $g(X^p)$  and  $f$  are monic). Since  $g(X^p)$  has coefficients in  $\mathbf{Z}$ , Gauss’ Lemma once again ensures that  $q \in \mathbf{Z}[X]$ . Thus, the identity  $g(X^p) = fq$  takes place in  $\mathbf{Z}[X]$ . Now reduce mod  $p$ ! In  $\mathbf{F}_p[X]$ , we get

$$\overline{f} \overline{q} = \overline{g}(X^p) = \overline{g}(X)^p,$$

the final equality using the fact that  $a^p = a$  for all  $a \in \mathbf{F}_p$ . Monicity of  $f$  and  $g$  with positive degree ensures that  $\overline{f}, \overline{g} \in \mathbf{F}_p[X]$  have *positive degree* (though may well be reducible). From the divisibility relation  $\overline{f} | \overline{g}^p$  we conclude that  $\overline{f}$  and  $\overline{g}$  must have a non-trivial irreducible factor in common. Hence, the product  $\overline{f} \overline{g}$  has a non-trivial irreducible factor appearing with multiplicity more than 1. But in  $\mathbf{Q}[X]$  we have  $fg = \Phi_n | (X^n - 1)$ , so by Gauss’ Lemma we even get  $fg | (X^n - 1)$  in  $\mathbf{Z}[X]$ , so we may reduce mod  $p$  to get  $\overline{f} \overline{g} | (X^n - 1)$  in  $\mathbf{F}_p[X]$ . It follows that  $X^n - 1 \in \mathbf{F}_p[X]$  has a non-trivial square factor and hence is not separable. But this is absurd, since  $p$  doesn’t divide  $n$  and hence the derivative test ensures that  $X^n - 1 \in \mathbf{F}_p[X]$  *is* separable! Contradiction. ■

The  $\Phi_n$ ’s were constructed abstractly but are easy to compute explicitly in  $\mathbf{Z}[X]$ . In fact, since each  $n$ th root of unity is a primitive  $d$ th root of unity for a unique  $d | n$ , we obviously have  $X^n - 1 = \prod_{d|n} \Phi_d(X)$ . This allows a computer to recursively compute  $\Phi_n(X)$  by long division using  $X^n - 1$  and  $\Phi_d(X)$ ’s for proper divisors  $d$  of  $n$ .