

Characteristic classes of surface bundles

- 1. surface bundles, moduli space
- 2. MMM classes
- 3. branched covers, signature
- 4. geometric classes, obstructions to flatness
- 5. overview of Madsen-Weiss

Surface bundle = fiber bundle  $E_S \rightarrow B$  with fiber a surface  $\Sigma_g, g \geq 2$ .

Vector bundles have structure group  $GL_n \mathbb{R}$ . Surface bundles have structure group  $Diff^+ \Sigma_g$ .

Fundamental fact:  $Diff_0 \Sigma_g$  is contractible.

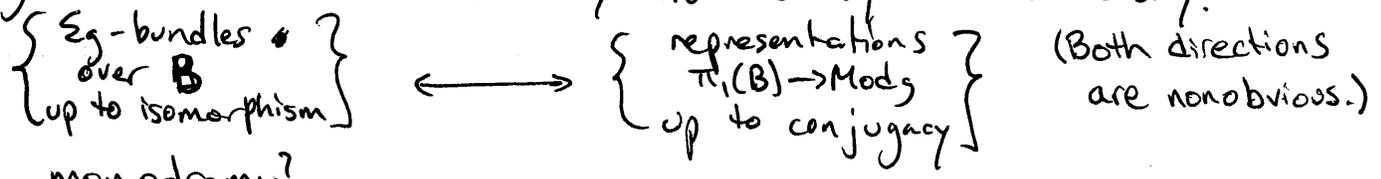
Why important? This means that

$$Diff^+ \Sigma_g \rightarrow Diff^+ \Sigma_g / Diff_0 \Sigma_g$$

is a homotopy equivalence.  $\pi_0 Diff^+ \Sigma_g =: Mod_g$

Compare: the fact that  $O(n) \hookrightarrow GL_n \mathbb{R}$  is a homotopy equivalence says that vector-bundles are same as vector-bundles-with-metric.

Corresponding fact: Surface bundles are totally determined by their monodromy.



What is monodromy?

Follow fiber around a loop:

first Stiefel-Whitney class  $W_1: \pi_1(B) \rightarrow \mathbb{Z}/2\mathbb{Z}$

monodromy  $\pi_1(B) \rightarrow Mod_g$

does orientation change?

how does identification fiber =  $\Sigma_g$  change?

Corollary: every surface bundle over a sphere (or any simply connected base) is trivial.

Moduli space - classifying space for  $\Sigma_g$ -bundles, analogue of Grassmanian.

Def.  $M_g$  is the space of all Riemann surfaces / hyperbolic metrics / conformal classes of metrics on a surface of genus  $g$ , up to isomorphism.

$$M_g := (Metrics / C^\infty(\Sigma_g, \mathbb{R}^+)) / Diff^+ \Sigma_g$$

two metrics are conformal if  $g_2 = \lambda g_1$  for some positive function  $\lambda$ , e.g.  $g_{hyp} = \frac{1}{\rho^2} g_{eucl}$

Fact.  $M_g$  is an orbifold  $K(Mod_g, 1)$

This means:  $\tilde{M}_g := (Metrics / C^\infty(\Sigma_g, \mathbb{R}^+)) / Diff_0 \Sigma_g$  is a contractible manifold,  $Mod_g = Diff^+ / Diff_0$  acts on  $\tilde{M}_g$  with finite stabilizers

$$\tilde{M}_g / Mod_g = M_g, \text{ and so } \pi_1^{orb}(M_g) = Mod_g$$

Why important? means  $H^*(M_g; \mathbb{Q}) = H^*(Mod_g; \mathbb{Q}) = H^*(BDiff^+ \Sigma_g; \mathbb{Q})$  ← characteristic classes of surface bundles.

Proof sketch: hyperbolic geometry gives parametrization as finite-dimensional manifolds. finite stabilizers  $\Leftrightarrow$  classical fact: any hyperbolic surface / Riemann surface has finite isometry / automorphism group.

$\tilde{M}_g$  contractible: Metrics is contractible (c/c convex:  $tg_+ (1-t)g_-$ );  $C^\infty(\Sigma_g, \mathbb{R}^+)$  is contractible (c/c convex) and  $Diff_0(\Sigma_g)$  is contractible (fundamental fact)

so  $\tilde{M}_g = (Metrics / C^\infty(\Sigma_g, \mathbb{R}^+)) / Diff_0(\Sigma_g)$  is contractible.

(This is historical revisionism — this is actually how the fundamental fact is proved.)

### Classifying map

Given  $\Sigma_g \rightarrow E$   
 $\downarrow$   
 $M$ , what is the classifying map  $M \rightarrow \mathcal{M}_g$ ?

Put an arbitrary Riemannian metric on  $E$ .

Restriction to fiber over  $m \in M$  gives a metric  $h_m$  on  $\Sigma_g$ .

So we send  $m \in M$  to (the conformal class of) this metric in  $\mathcal{M}_g$ .

$$\begin{aligned} M &\rightarrow \mathcal{M}_g \\ m &\mapsto [h_m] \end{aligned}$$

### Characteristic classes

How can we construct characteristic classes of  $\Sigma_g \rightarrow E$   
 $\downarrow$   
 $M$ ?

We have one natural vector bundle lying around:  $\mathbb{R}^2 \rightarrow \text{vert } E$   
 $\downarrow$   
 $E$ ,

which has a characteristic class

$$e := eu(T\text{vert } E) \in H^2(E)$$

Need something in  $H^*(\mathbb{R})$ , so we integrate along the fiber:  $\int_{\Sigma_g} : H^k(E) \rightarrow H^{k-2}(\mathbb{R})$ .

$\int_{\Sigma_g} e \in H^0(M)$  turns out to be the primary obstruction to the existence of a section  $\mathbb{R}^2 \rightarrow E$ .

To get more classes, start with  $e^2, e^3, \dots$  (Integration along the fiber kills the relations between them.)

Definition (Morita-Mumford-Miller classes):

$e_i \in H^{2i}(\text{Mod}_g)$  is the characteristic class of surface bundles

defined on  $\Sigma_g \rightarrow E$   
 $\downarrow$   
 $M$  by  $e_i(\frac{E}{M}) = \int_{\Sigma_g} e^{k+1} \in H^{2i}(M)$ .

Why should these be nontrivial?

Partial answer: consider  $\Sigma_g \rightarrow E^4$   
 $\downarrow$   
 $M^2$  and  $e_1(\frac{E}{M}) \in H^2(M)$ . Then  $\langle e_1(\frac{E}{M}), [M] \rangle = 3 \cdot \text{signature}(E^4)$ .

Proof: Hirzebruch signature formula is truncated:  $\text{signature}(E^4) = \frac{1}{3} \int_E p_1(TE)$ .

But note  $TE = T\text{vert } E \oplus \pi^*(TM)$

$$\begin{aligned} \text{so } p_1(TE) &= p_1(T\text{vert } E) + p_1(\pi^*(TM)) \\ &\xrightarrow{2\text{-dim}} = eu(T\text{vert } E)^2 + \pi^*(p_1(TM)) \xrightarrow{\cong} = e^2 \end{aligned}$$

Thus  $\text{signature}(E) = \frac{1}{3} \int_E p_1(TE) = \frac{1}{3} \int_E e^2 = \frac{1}{3} \int_M \int_{\Sigma_g} e^2 = \frac{1}{3} \int_M e_1(\frac{E}{M})$ , as claimed.

Theorem (Miller, Morita):  $e_i \neq 0 \in H^{2i}(\text{Mod}_g)$  for all  $i \leq g$ . In fact, no polynomial relations in low degree: for any polynomial  $P$  in  $e_1, e_2, \dots$  of total degree  $\ll g$ ,  $P(e_1, e_2, \dots) \neq 0$  (that is,  $\mathbb{Q}[e_1, e_2, \dots]$  injects into  $H^*(\text{Mod}_g)$  in low degrees).

This is proved by constructing surface bundles where these classes are nontrivial. We will look at the simplest case:  $e_1 \neq 0$ . By the above, this is the same as the classical problem of finding a surface bundle over a surface with nonzero signature.

But didn't we see last week that any  $\Sigma \rightarrow E^4$   
 $\downarrow$   
 $M^2$  has  $\text{signature}(E) = 0$ ?

No; that was only if  $\pi_1(M)$  acts trivially on  $H^1(\Sigma)$ .

So our examples will not have this property.

Recall: for  $\Sigma \rightarrow E^4$ ,  $\langle e_1(E), [M] \rangle = \text{signature}(E)$ .

Want to construct surface bundles over surfaces, i.e. families of surfaces parametrized by a surface.

Idea: over  $p \in \Sigma$ , take a branched cover of  $\Sigma$  branched only at  $p$ . ( $z \mapsto z^n$ )  
 Globally, start with trivial bundle, consider diagonal  $\Delta \subset \Sigma \times \Sigma$ .



Let  $E$  be a branched cover of  $\Sigma \times \Sigma$ , branched over  $\Delta$ .

The composition  $S \rightarrow E$  will be a surface bundle over  $\Sigma$  whose fiber  $S$  is itself a branched cover of  $\Sigma$  branched at one point.

Problem: You can't just take branched covers over what ever you want; in particular (fun exercise), no cyclic branched cover of  $\Sigma$  branched at one point; various solutions.

How can we know  $\sigma(E) \neq 0$  or  $e_1(E) \neq 0$ ?

- 1) Hirzebruch analyzed change in signature under branched covers, in this case expressible in terms of self-intersection number of  $\Delta$ . Since  $\sigma(\Sigma \times \Sigma) = 0$  and  $\Delta \cdot \Delta = 2 - 2g$ ,  $\sigma(E) \neq 0$ . (This is the approach that Morita, Miller use.)
- 2)  $M_g$  not just a manifold, in fact a complex manifold. Just as surface bundles, holomorphic surface bundles. maps  $M \rightarrow M_g$ , holomorphic maps  $M \rightarrow M_g$ .

The construction above is nice and holomorphic, gives holomorphic map  $i: \Sigma \rightarrow M_g$  (non-constant by construction).

Theorem:  $M_g$  is in fact a Kähler manifold, and the Kähler form  $\omega$  represents  $\frac{\pi^2}{6} e_1 \in H^2(M_g)$ .

Thus  $\text{signature}(E) = \frac{1}{3} \int_{\Sigma} e_1 = \frac{2}{\pi^2} \int_{\Sigma} i^* \omega = \frac{2}{\pi^2} \int_{i(\Sigma)} \omega$ .

But ~~Witt~~ <sup>(name?)</sup> inequality says Kähler form on ~~complex~~ holomorphic submanifold always positive, so integrand is positive and  $\text{Signature}(E) = \frac{2}{\pi^2} \int_{i(\Sigma)} \omega > 0$ .

For  $\Sigma \rightarrow E^4$   
We can think of  $\langle e_1(E), [M] \rangle$  as a characteristic number for the fiber bundle.

For  $\Sigma \rightarrow E^2$   
 $\downarrow$   
 $M^0$ , we have  $\langle e_5(E), [M] \rangle = |e_1|$ .

The above shows that  $|e_1|$  is geometric, meaning for another ~~fiber~~ <sup>surface</sup> bundle

$$\begin{array}{c} \Sigma' \rightarrow E' \\ \downarrow \\ M' \end{array}, \text{ a homeomorphism of total spaces } E \cong E'$$
  
$$\Rightarrow |e_1(E)| = |e_1(E')| = 3 \text{signature}(E).$$

Q: What about other characteristic numbers?

Theorem (Church-Farb-Thibault): the odd MMM classes are geometric:

For  $\Sigma \rightarrow E^{2i+2}$   
 $\downarrow$   
 $M^{2i}$ , the characteristic number  $|e_i(E)| = \langle e_i(E), [M] \rangle$  only depends on  $E$ .

Q: Is every surface bundle flat?

A (Morita): No.

Thm: If a surface bundle  $\Sigma \rightarrow E$  is flat, then  $e_i(E) = 0$  for all  $i \geq 3$ .

Proof: uses Bott vanishing theorem.

Bott vanishing theorem: (obstruction for a bundle to be the normal bundle of a foliation).

If  $\mathcal{F}$  is a codimension  $k$  foliation of  $M$ ,

with normal bundle  $N_{\mathcal{F}}$ , then any combination of Pontryagin classes of  $N_{\mathcal{F}}$  vanishes in  $H^i(M)$  for  $i \geq 2k$ .

If  $\Sigma \rightarrow E$  were flat (then admits a codim. 2 foliation  $\mathcal{F}$  transverse to fibers),

then  $T^{vert} E$  would be the normal bundle.  $\Rightarrow p_1(T^{vert} E)^2 = 0$ .

But  $p_1(T^{vert} E)^2 = e^4$ , so  $p_1 = 0$  implies  $e_3 = \int_2 e^4 = 0$ , etc.

So bundles with nonzero  $e_i$  are not flat.

Q: Are all obstructions to flatness cohomological?

A: No.

Thm (Kotschick-Morita): every surface bundle  $\Sigma \rightarrow E^4$   
 $\downarrow$   
 $M^2$  can be "connectsummed" with a trivial bundle  $\Sigma \rightarrow \Sigma \times S^1$   
 $\downarrow$   
 $S^1$  to become flat.

Proof: recall flat  $\Leftrightarrow$  monodromy lifts to representation  $\pi_1(M) \rightarrow \text{Diff}^+ \Sigma$ .

It probably doesn't; but pick lifts of generators, the commutator relation maps to something in  $\text{Diff}_0 \Sigma$ . But  $\text{Diff}_0 \Sigma$  is perfect (Thurston) so we may find a flat bundle over  $S^1$  to cancel this monodromy.

Homological stability:

for  $g \geq 2i$ ,  $H^i(\text{Mod}_g; \mathbb{Q}) \cong H^i(\text{Mod}_{g+1}; \mathbb{Q})$ .

So we can talk about the stable cohomology " $H^i(\text{Mod}_\infty; \mathbb{Q})$ "

MMM classes are stable

Mumford conjecture:  $H^*(\text{Mod}_\infty; \mathbb{Q}) = \mathbb{Q}[e_1, e_2, e_3, \dots]$ .

Proved by Madsen-Weiss - all stable characteristic classes come from  $e_i$ .

Idea:

A surface bundle  $\Sigma \rightarrow \underset{M}{\downarrow} \pi$  gives a splitting  $TE = T^{\text{vert}}E \oplus \pi^*TM$ .

We'll say that a "formal surface bundle" is just this data:

a smooth map  $\underset{M}{\downarrow} \pi$ , and a 2-dim. bundle  $\zeta$  ~~with an isomorphism~~ with an isomorphism  $TE = \zeta \oplus \pi^*TM$  stably.

This DOESN'T have to be a bundle (e.g.  $\pi$  may not be a submersion).

It was already known that the only char. classes of formal surface bundles are  $e_1, e_2, e_3, \dots$ , so it suffices to prove

Theorem (Eliashberg, Galatius, Mishachev): Every formal surface bundle is cobordant to an actual surface bundle.

How are formal surface bundles classified? Let  $\mathbb{C} \rightarrow \underset{\mathbb{C}P^n}{\downarrow} L_N^\perp$  and  $\mathbb{C}^n \rightarrow \underset{\mathbb{C}P^n}{\downarrow} L_n^\perp$  be tautological bundles.

Consider a map  $M \times \mathbb{R}^{2N+2} \xrightarrow{f} L_N^\perp$ .

Assuming  $f$  is transverse to zero section, preimage of  $\mathbb{C}P^N \subset L_N^\perp$  is codim.  $2N$  submanifold of  $M \times \mathbb{R}^{2N+2}$ , call it  $E := f^{-1}(\mathbb{C}P^N)$

Projection  $\underset{M}{\downarrow} \pi$  gives  $\underset{M}{\downarrow} \pi$ , and let  $\zeta := f^*(L_N)$ .

If  $NE$  is normal bundle to  $E$ , note  $NE = f^*(L_N^\perp)$ .

By definition,  $T(M \times \mathbb{R}^{2N+2})|_E = TE \oplus NE$ . Now add  $\zeta$  to both sides

$$\begin{aligned} \Rightarrow \pi^*TM \oplus \zeta \oplus \mathbb{R}^{2N+2} &= TE \oplus f^*(L_N^\perp) \oplus f^*(L_N) \\ &= TE \oplus \mathbb{R}^{2N+2} \end{aligned} \quad \text{a stable isomorphism.} \quad L_N^\perp \oplus L_N = \mathbb{C}^{n+1}$$

One-point compactify,  $M \times \mathbb{R}^{2N+2} \cup \{\infty\} = \Sigma^{2N+2} M \rightarrow \text{Th}(L_N^\perp) = L_N^\perp \cup \{\infty\}$ .

By  $\Sigma$ - $\Omega$  adjunction, same as  $M \rightarrow \Omega^{2N+2} \text{Th}(L_N^\perp)$ .

Formal surface bundles classified by maps to  $\varinjlim \Omega^{2N+2} \text{Th}(L_N^\perp)$

Theorem (MW):  $\mathbb{Z} \times \text{BMod}_g^+ \rightarrow \varinjlim \Omega^{2N+2} \text{Th}(L_N^\perp)$  is a homotopy equivalence.