

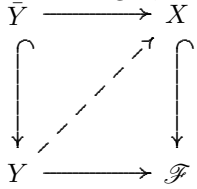
# 1 Lieblich

Let  $S$  be a scheme locally of finite type over an excellent Dedekind scheme.

$\mathcal{F}$  is a stack on  $S_{ET}$ , and we will assume that it is locally of finite presentation. That is,  $A = \varinjlim A_i$  is a ring, then  $\varinjlim \mathcal{F}_{\text{Spec } A_i} \rightarrow \mathcal{F}_{\text{Spec } A}$  is an equivalence of categories.

Brian said that if  $x$  admits an effective versal formal deformation then there exists  $\text{Spec } k \rightarrow X \xrightarrow{f} \mathcal{F}$  such that  $f$  is formally smooth at  $x$  ( $X$  is of finite type over  $S$ )

Taking  $Y, \bar{Y}$  to be local Artin schemes, we get



pt of  $\bar{Y}$  maps to  $x$ .

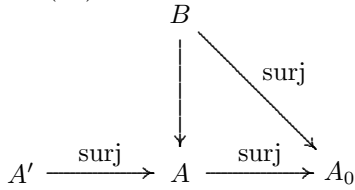
The content is the following:

1. Schlessinger implies that there exists a versal formal deformation (hull)
2. formal to effective via GET, using étale local existence.

Given  $X \rightarrow S$ ,  $a \in \mathcal{F}_X$  let  $\mathcal{F}_a$  be the stack on  $Sch_X$ ,  $f : X \rightarrow Y$ ,  $(\mathcal{F}_a)_Y = \{\alpha : a \rightarrow b \text{ such that } \text{Im}(\alpha) \text{ in } S_{ET} \text{ is } f\} = \{b \in \mathcal{F}_Y, \varphi : a \rightarrow f^*b\}$ .

So  $\mathcal{F}_a(Y) = \text{isomorphism classes of } (\mathcal{F}_a)_Y$ .

(S1)



Where the bottom row are small thickenings and  $\ker(A' \rightarrow A)$  is an  $A_0$ -module.

Take  $a \in \mathcal{F}_{\text{Spec } A} = \mathcal{F}(A)$ .

$\mathcal{F}_a(A' \times_A B) \rightarrow \mathcal{F}_a(A') \times \mathcal{F}_a(B)$  is an equivalence of categories.

(S2):  $M$  is of finite type as an  $A_0$ -module,  $D_{a_0}(M)$  is a finite  $A_0$ -module,  $a_0 = a|_{\text{Spec } A_0}$ .

Martin said that  $\mathcal{F}_a(A_0[M]) = D_{a_0}(M)$  an  $A_0$ -module

Suppose we're given an obstruction (a la Martin)  $A \rightarrow A_0$  a small thickening,  $a \in \mathcal{F}(A)$ ,  $\mathcal{O}_a : (A_0 - \text{Mod}_{ft}) \rightarrow (A_0 - \text{mod}_{ft})$  such that  $A' \rightarrow A \rightarrow A_0$  is a deformation situation,  $\ker(A' \rightarrow A) = M$  is an  $A_0$ -module, then  $o_a(A') \in \mathcal{O}_a(M)$  such that  $o_a(A') = 0$  iff  $a$  lifts to  $A'$ .

In addition, we assume (with  $A \rightarrow A_0$  a small thickening) conditions called (4.1) (all tensor products over  $A_0$ )

1. Etale localization: if  $A \rightarrow B$  is étale, then  $D_{a_0}(M_0 \otimes B_0) \leftarrow D_{a_0}(M_0) \otimes B_0$  isomorphism,  $B_0 = A_0 \otimes_A B$ ,  $M_0 \in A_0 - \text{mod}_{ft}$ ,  $\mathcal{O}_{b_0}(M_0 \otimes B_0) \leftarrow \mathcal{O}_{a_0}(M_0) \otimes B_0$  is an isomorphism with  $b_0 = a_0|_{B_0}$ .
2. Completion: If  $\mathfrak{m} \subset A_0$  is maximal, then  $D_{a_0}(M) \otimes \hat{A}_0 \simeq \varprojlim D_{a_0}(M/\mathfrak{m}^n M)$
3. Constructibility: There exists a dense set of closed points  $p \in \text{Spec } A_0$  such that  $D_{a_0}(M) \otimes k(p) \simeq D_{(a_0)_p}(M \otimes k(p))$  and  $\mathcal{O}_{a_0}(M) \otimes k(p) \simeq \mathcal{O}_{(a_0)_p}(M \otimes k(p))$ .

**Theorem 1 (Artin).** *Given  $\mathcal{F}, \mathcal{O}$  satisfying S1, S2 and 4.1, if  $x \in X \xrightarrow{f} \mathcal{F}$ ,  $X \rightarrow S$  of finite type,  $f$  formally smooth at  $x$ , then there exists  $U \subset X$ ,  $x \in U$  such that  $f|_U : U \rightarrow \mathcal{F}$  is formally smooth.*

**Proposition 1 (Artin).**  *$\mathcal{F}$  is an Artin stack locally of finite type over  $S$  if*

1.  $\mathcal{F} \rightarrow \mathcal{F} \times \mathcal{F}$  is representable by algebraic spaces, quasi compact, separated.

2. S1 and S2 hold.
3. If  $(\hat{A}, \mathfrak{m})$  is a complete local noetherian ring over  $S$ , then  $\mathcal{F}(\hat{A}) \rightarrow \varprojlim \mathcal{F}(\hat{A}/\mathfrak{m}^n)$  is an equivalence.
4.  $D, \mathcal{O}$  satisfy 4.1

**Example 1.**  $\mathcal{M}_g$  the stack of curves of genus  $g$  for  $g > 1$ .

1.  $\mathcal{M}_g \rightarrow \mathcal{M}_g \times \mathcal{M}_g$  by Grothendieck's Existence Theorem
2. Schlessinger
3. GET
4. Take a family of curves  $\mathcal{C} \rightarrow \text{Spec } A \rightarrow \text{Spec } A'$  with  $M = \ker(A' \rightarrow A)$ . Then

$$\mathcal{O}_{\mathcal{C}}(M) = H^2(\mathcal{C}_{A_0}, T_{\mathcal{C}_{A_0}/A_0} \otimes M)$$

,  $D_{a_0}(M) = H^1(\mathcal{C}_{A_0}, T_{\mathcal{C}_{A_0}/A_0} \otimes M)$  and  $A_0$ -modules. We note that this is all compatible with etale base change  $A_0 \rightarrow B_0$  (Hartshorne), completion (Hartshorne) and cohomology and base change (H). So looking at  $\mathcal{C}_0 \xrightarrow{f} \text{Spec } A_0$ . We want  $R^i f_*(T_{\mathcal{C}_{A_0}/A_0} \otimes M) \otimes k(p) \rightarrow H^i(\mathcal{C}_p, T_{\mathcal{C}_p/p})$  to be isomorphisms.

Note that there are no nontrivial infinitesimal automorphisms  $H^0(\mathcal{C}, T)$ , then we have a DM stack.

**Theorem 2 (Artin).**  $\mathcal{F}$  is an Artin stack locally of finite type over  $S$  if

1. S1, S2 hold and if  $a_0 \in \mathcal{F}(A_0)$  and  $M$  is a finite  $A_0$ -module, then  $\text{Aut}_{a_0}^{inf}(A_0[M])$  is a finite  $A_0$ -module.
2.  $\mathcal{F}(\hat{A}) \rightarrow \varprojlim \mathcal{F}(\hat{A}/\mathfrak{m}^n)$  equivalence
3.  $D, \mathcal{O}, \text{Aut}_{a_0}^{inf}(A_0[M])$  satisfy (4.1)
4. If  $\varphi$  is an automorphism of  $a_0$  such that  $\varphi = \text{id}$  at a dense set of points of  $\text{Spec } A_0$ , then  $\varphi = \text{id}$ .
5. Because 1-4 imply that  $\mathcal{F} \rightarrow \mathcal{F} \times \mathcal{F}$  is representable and separated, it makes sense to require that it is quasi-compact.

## 2 Olsson

Let  $f : X \rightarrow S$  be a morphism of schemes and set

$$\begin{array}{ccc} GF(\mathcal{O}_X) = f^{-1}\mathcal{O}_X\{F(\mathcal{O}_X)\} & \xrightarrow{\pi} & \mathcal{O}_X \\ \uparrow & \nearrow & \\ f^{-1}\mathcal{O}_S & & \end{array}$$

$F$  is a functor from  $f^{-1}\mathcal{O}_S$  algebras to sheaves of sets, and  $G$  is a functor in the other direction.  $\tau_{\geq -1}|_{X/S} = (I/I^2 \rightarrow \Omega_{GF(\mathcal{O}_X)/f^{-1}\mathcal{O}_S}^1)$  with  $I = \ker \pi$ .

**Theorem 3.** For any quasicoherent  $\mathcal{O}_X$ -module  $M$ ,  $ch(\tau_{\leq 0}(R\mathcal{H}om(\tau_{\geq -1}L_{X/S}, M)[1])) \simeq \text{Exal}_S(X, M)$

Where  $\tau_{\geq -1}L_{X/S}$  is the truncated cotangent complex.

$L_{X/S}$  is the full cotangent complex.

Given  $n \geq 0$ ,  $GH \dots GFGF(\mathcal{O}_X)$   $n + 1$  times, which is an  $f^{-1}\mathcal{O}_S$ -algebra,  $\mathcal{A}_n \rightarrow \mathcal{O}_X$  is surjective from the adjunction.

$\mathcal{A}_*$  is a simplicial  $f^{-1}\mathcal{O}_S$ -algebra, take  $a : GF \rightarrow \text{id}$ , and  $b : \text{id} \rightarrow FG$ , and we get  $d_i : \mathcal{A}_{n+1} \rightarrow \mathcal{A}_n$  and  $s_d : \mathcal{A}_n \rightarrow \mathcal{A}_{n+1}$ .

$\mathcal{A}_2 = GF GF GF(\mathcal{O}_X) \rightarrow GF GF(\mathcal{O}_X) = \mathcal{A}_1$ . We can get 3 maps down by taking natural transformations of  $GF \rightarrow \text{id}$

$\tilde{L}_*$  is  $(\Omega_{\mathcal{A}_*}/f^{-1}\mathcal{O}_S) \otimes \mathcal{O}_X$  and it has  $n+1$  maps down at each point. These are simplicial  $\mathcal{O}_X$ -modules. So we define the cotangent complex to be  $L_{X/S}$ , with  $\tilde{L}_2 \xrightarrow{d_0-d_1+d_2} \tilde{L}_1 \xrightarrow{d_0-d_1} \tilde{L}_0$ .

**Remark 1.** *This is an actual complex of flat  $\mathcal{O}_X$ -modules.*

1.  $\mathcal{H}^i(L_{X/S})$  is quasicoherent and coherent if  $S$  is locally noetherian and  $f$  is of finite type.

$$\begin{array}{ccc} X' & \xrightarrow{u} & X \\ \downarrow f' & & \downarrow f \\ Y' & \xrightarrow{v} & Y \end{array}$$

2. Call the following diagram (\*)  $Y' \xrightarrow{v} Y$

then there is a base change morphism  $u^*L_{X/Y} \rightarrow L_{X'/Y'}$ . If (\*) is cartesian and tor independent, then this map is a quasi-isomorphism and  $f'^*L_{Y'/Y} \oplus u^*L_{X/Y} \rightarrow L_{X'/Y}$  is a quasi-isomorphism.

3.  $X \xrightarrow{f} Y \xrightarrow{g} Z$  then there is a distinguished triangle  $f^*L_{Y/Z} \rightarrow L_{X/Z} \rightarrow L_{X/Y} \rightarrow f^*L_{Y/Z}[1]$ .
4.  $\tau_{\geq -1}L_{X/Y}$  is equal to our earlier defined object with the same notation.

**Remark 2.** 1.  $\mathcal{H}om(L_{X/Y}) = \Omega_{X/Y}^1$

2. If  $f$  is smooth, then  $L_{X/Y} \rightarrow \Omega_{X/Y}^1$  is a quasi-isomorphism

3. If  $X \hookrightarrow Y$  is a closed immersion which is locally a complete intersection, then  $L_{X/Y} = I/I^2[1]$ .

**Theorem 4 (Illusie).**  $ch(\tau_{\geq -1}(R\mathcal{H}om(L_{X/Y}, I)[1])) \simeq \text{Exal}_Y(X, I)$  implies that we have  $\text{Ext}^1(L_{X/Y}, I) \simeq \text{Exal}_Y(X, I)$ ,  $\text{Ext}^0(L_{X/Y}, I) = \text{hom}(\Omega_{X/Y}^1, I)$  is the automorphism group of any  $X \hookrightarrow Y$  by  $I$  as a  $Y$ -morphism.

Problem:

$$\begin{array}{ccc} X_0 & \xrightarrow{i} & X \\ \downarrow f_0 & & \downarrow f_1 \\ Y_0 & \xrightarrow{j} & Y \\ \downarrow & \swarrow & \\ & & S \end{array}$$

With  $j$  a closed immersion defined by a square zero ideal  $J$ . Fill in the diagram as indicated with  $i$  square-zero such that  $f_0^*J \simeq \ker(\mathcal{O}_X \rightarrow \mathcal{O}_{X_0})$ .

Solution:

$X_0 \rightarrow Y_0 \rightarrow Y$  gives  $f_0^*L_{Y_0/Y} \rightarrow L_{X_0/Y} \rightarrow L_{X_0/Y_0} \rightarrow f_0^*L_{Y_0/Y}[1]$ , and so we get a long exact sequence

$$0 \rightarrow \text{Ext}^0(L_{X_0/Y_0}, f_0^*J) \rightarrow \text{Ext}^0(L_{X_0/Y}, f_0^*J) \rightarrow \text{Ext}^0(f_0^*L_{Y_0/Y}, f_0^*J) \rightarrow \text{Ext}^1(L_{X_0/Y_0}, f_0^*J) \rightarrow \text{Ext}^1(L_{X_0/Y}, f_0^*J) \rightarrow \text{Ext}^1(f_0^*L_{Y_0/Y}, f_0^*J) \xrightarrow{\partial} \text{Ext}^2(L_{X_0/Y_0}, f_0^*J) \rightarrow \dots$$

We get that  $\text{Ext}^0(f_0^*L_{Y_0/Y}, f_0^*J) = 0$ , so we get

$$0 \rightarrow \text{Ext}^0(L_{X_0/Y_0}, f_0^* J) \rightarrow \text{Ext}^0(L_{X_0/Y}, f_0^* J) \rightarrow 0 \rightarrow \text{Ext}^1(L_{X_0/Y_0}, f_0^* J) \rightarrow \text{Ext}^1(L_{X_0/Y}, f_0^* J) \rightarrow \dots$$

$$\text{hom}(f_0^* J, f_0^* J) \xrightarrow{\partial} \text{Ext}^2(L_{X_0/Y_0}, f_0^* J) \rightarrow \dots$$

- Theorem 5.**
1. There exists an obstruction  $o(f_0) = \partial(\text{id}) \in \text{Ext}^2(L_{X_0/Y_0}, f_0^* J)$  whose vanishing is necessary and sufficient for a solution to the problem
  2. If  $o(f_0) = 0$ , then the set of isomorphism classes of solution form a torsor under  $\text{Ext}^1(L_{X_0/Y_0}, f_0^* J)$
  3.  $\text{Aut} = \text{Ext}^0(L_{X_0/Y_0}, f_0^* J)$ .

Problem:

$$\begin{array}{ccc}
X_0 & \xrightarrow{i} & X \\
\downarrow f_0 & & \downarrow f_1 \\
Y_0 & \xrightarrow{j} & Y \\
\downarrow g_0 & & \downarrow g \\
Z_0 & \xrightarrow{k} & Z
\end{array}$$

With maps  $h_0 : X_0 \rightarrow Z_0$  and  $h : X \rightarrow Z$  making everything commute, and each horizontal map a small thickening ( $I$  for  $X$ ,  $J$  for  $Y$ ,  $K$  for  $Z$ ) and  $g^* K \simeq J$

**Theorem 6 (Illusie).** There is a canonical class  $o(f_0) \in \text{Ext}^1(f_0^* L_{Y_0/Z_0}, I)$  such that  $f$  exists iff  $o(f_0) = 0$ . If  $o(f_0) = 0$  then the set of maps  $f$  is a torsor under  $\text{Ext}^0(f_0^* L_{Y_0/Z_0}, I)$ .

Sketch:  $e(X) \in \text{Ext}^1_{\mathcal{O}_{X_0}}(L_{X_0/Z}, I)$  and  $e(Y) \in \text{Ext}^1_{\mathcal{O}_{Y_0}}(L_{Y_0/Z}, J)$  and we have a map  $\text{Ext}^1_{\mathcal{O}_{X_0}}(L_{X_0/Z}, I) \rightarrow \text{Ext}^1_{\mathcal{O}_{X_0}}(f_0^* L_{Y_0/Z}, I)$  by  $e(X) \mapsto z_X$ .

$$\text{Ext}^1_{\mathcal{O}_{Y_0}}(L_{Y_0/Z}, J) \longrightarrow \text{Ext}^1_{\mathcal{O}_{X_0}}(f_0^* L_{Y_0/Z}, f_0^* J)$$

$$\begin{array}{ccc}
& & \downarrow \\
& \searrow & \\
& & \text{Ext}^1_{\mathcal{O}_{X_0}}(f_0^* L_{Y_0/Z}, I)
\end{array}$$

With the composition being  $e(Y) \mapsto z_Y$ .

We want  $z_X = z_Y$ .

$h_0^* L_{Z_0/Z} \rightarrow f_0^* L_{Y_0/Z} \rightarrow f_0^* L_{Y_0/Z_0} \rightarrow \dots$  and this gives an exact sequence

$$\text{Ext}^0(h_0^* L_{Z_0/Z}, I) \rightarrow \text{Ext}^1(f_0^* L_{Y_0/Z_0}, I) \rightarrow \text{Ext}^1(f_0^* L_{Y_0/Z}, I) \rightarrow \text{Ext}^1(h_0^* L_{Z_0/Z}, I)$$

and the first term is 0, the last term is  $\text{hom}(h_0^* K, I)$ . The difference of the maps goes to zero in  $\text{hom}$ , and so must come from an element of the second term, and so that's how we get  $o(f_0) = z_X - z_Y$ .

### 3 Osserman

#### Groupoid Perspective

One nice property: when working with categories fibered in groupoids, we can restrict naturally from global to local and get the right results (eg, we can specify pairs  $(X_A, \varphi)$  with  $X_A$  flat over  $A$ ,  $\varphi : X \rightarrow X_A$  inducing  $X \xrightarrow{\simeq} X_A \otimes_A k$ ).

**Definition 1** (Category Cofibered in Groupoids). *A category cofibered in groupoids over  $\mathcal{C}$  is a category fibered in groupoids over  $\mathcal{C}^\circ$ .*

**Definition 2** (Trivial Groupoid). *A groupoid is trivial if there exists exactly one morphism from any object to any other.*

We can refer to "the trivial groupoid" because any trivial groupoid is equivalent to the one with a single object and a single morphism.

**Remark 3.** *Artin uses  $S_1$ , Rim uses "homogeneous groupoids."*

**Definition 3** (Deformation Stack). *A category  $\mathcal{S}$  cofibered in groupoids over  $\text{Art}(\Lambda, k)$  is a deformation stack if  $\mathcal{S}_k$  is trivial and for all  $A' \rightarrow A, A'' \rightarrow A$  with the second surjective, we have*

1.  $\forall \eta_1, \eta_2 \in S_{A' \times_A A''}$ , the natural map

$$\text{Mor}_{A' \times_A A''}(\eta_1, \eta_2) \rightarrow \text{Mor}_{A'}(\eta_1|_{A'}, \eta_2|_{A'}) \times_{\text{Mor}_A(\eta_1|_A, \eta_2|_A)} \text{Mor}_{A''}(\eta_1|_{A''}, \eta_2|_{A''})$$

is a bijection

2. Given  $\eta' \in S_{A'}$  and  $\eta'' \in S_{A''}$  and  $\varphi : \eta'|_A \rightarrow \eta''|_A$ , there exists  $\mathcal{S} \in S_{A' \times_A A''}$  inducing  $\eta', \eta'', \varphi$  on restriction.

Given  $\mathcal{S}$ , we write  $F_{\mathcal{S}} : \text{Art}(\Lambda, k) \rightarrow \text{Set}$  for the functor of isomorphism classes.

**Proposition 2.** *Let  $\mathcal{S}$  be a deformation stack, then  $F_{\mathcal{S}}$  is a deformation functor.*

*Proof.*  $F_{\mathcal{S}}(k)$  is the one element set because  $\mathcal{S}_k$  is trivial.

(H1) follows from 2, and (H2) follows from 1. In fact, get injectivity of (\*) as long as  $A = k$ , since  $\text{Mor}_A(\eta_1|_A, \eta_2|_A)$  has exactly one element.  $\square$

**Remark 4.** *Although being a deformation stack is formally a stronger condition than satisfying (H1) and (H2), it seems that in practice, that any proof of (H1) and (H2) is really a proof of the deformation stack. eg, Def<sub>X</sub>, earlier proposition actually proves the deformation stack conditions.*

**Lemma 1.** *If  $\mathcal{S}$  is a local deformation problem at a point of an algebraic stack, then  $\mathcal{S}$  is a deformation stack.*

**Remark 5.** *The argument for the lemma directly involves the asymmetry of only  $A'' \rightarrow A$  being surjective, because we have to use the formal criterion for smoothness applied to the smooth cover by a scheme.*

Lemma 1.4.4 of a paper by Olsson called "Crystalline Cohomology of Stacks and Hyodo-Kato Cohomology."

More good properties of deformation stacks:

1.  $A' \rightarrow A, \ker = I, \eta \in S_A, \{(\eta', \varphi) | \eta' \in S_{A'}, \varphi : \eta'|_{A\eta}\} / \simeq$  is a pseudotorsor over  $T_S = T_{F_S} \otimes I$ .
2.  $A' \rightarrow A, \eta' \in S_{A'}, \varphi \in \text{Aut}(\eta'|_A), \{\varphi' \in \text{Aut}(\eta') | \varphi'|_A = \varphi\}$  is a torsor over  $\text{Aut}(\zeta_\epsilon) \otimes I$ , where  $\zeta_\epsilon$  is the trivial deformation over  $k[\epsilon]$ .

**Proposition 3.** *If  $\mathcal{S}$  is a deformation stack, then  $F_{\mathcal{S}}$  satisfies (H4) iff for  $A' \rightarrow A$  surjective and all  $\eta' \in S_{A'}$ , the map  $\text{Aut}(\eta') \rightarrow \text{Aut}(\eta'|_A)$  is surjective.*

In fancier language, in a global setting, (H4) iff the Isom functor is smooth at the identity.

Why deformation "stack"?

Why all these ring fiber products?

$$\begin{array}{ccc}
A'' & \longrightarrow & A' \times_A A'' \\
\downarrow & & \downarrow \text{surj} \\
A' & \longrightarrow & A
\end{array}$$

**Lemma 2.** *are in bijection with*

$$\begin{array}{ccc}
B' & \xrightarrow{q'} & B \\
\downarrow q'' & & \downarrow \\
B'' & \longrightarrow & B' \otimes_B B''
\end{array}$$

*with  $B \hookrightarrow B' \times B''$  and  $q'(\ker q'')$  is an ideal.*

$B \hookrightarrow B' \times B''$  iff  $\text{Spec } B' \amalg \text{Spec } B'' \rightarrow \text{Spec } B$  is scheme theoretically surjective.

The tensor product corresponds to fiber product of schemes, ie, intersections from the point of view of descent theory.