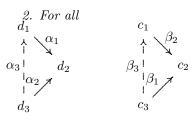
## Lieblich

**Definition 1** (Category Fibered in Groupoids). A functor  $F : \mathcal{D} \to \mathcal{C}$  is a category fibered in groupoids if

1. For all  $\beta : c_1 \to c_2$  and for all  $d_2 \in \mathcal{D}$  such that  $F(d_2) = c_2$ , there exists  $\alpha : d_1 \to d_2$  such that  $F(\alpha) = \beta$ .



That is, given  $\beta_3$ , there exists a unique  $\alpha_3$  such that  $F(\alpha_3) = \beta_3$  and everything commutes.

**Definition 2** (Fiber Category). Given  $c \in C$ , the fiber category  $\mathcal{D}_c$  has objects  $d \in \mathcal{D}$  such that F(d) = cand arrows  $\alpha : d_1 \to d_2$  such that  $F(\alpha) = id_c$ 

**Definition 3** (Morphism of Categories Fibered in Groupoids). A 1-morphism of categories fibered in groupoids  $F_1 : \mathcal{D}_1 \to \mathcal{C}$  and  $F_2 : \mathcal{D}_2 \to \mathcal{C}$  is a functor  $F : \mathcal{D}_1 \to \mathcal{D}_2$  which commutes with the functors to  $\mathcal{C}$ .

F is an equivalence (isomorphism) if  $\forall c \in \mathcal{C}$  the induced  $F_c : (\mathcal{D}_1)_c \to (\mathcal{D}_2)_c$  is an equivalence.

Note: hom $(\mathcal{D}_1, \mathcal{D}_2)$  is a groupoid (arrows are natural isomorphisms of functors  $\mathcal{D}_1 \to \mathcal{D}_2$ )

So now take  $C = Sch_S$ . We have our old friend,  $Func(C^\circ, Sets)$  and our even older friends Schemes over S.

We note that our old(er) friends naturally define categories fibered in groupoids.

**Example 1.**  $\mathcal{D}_1 = h_X, X \in Sch_S$ . So look at  $\hom_{\mathcal{C}}(h_X, \mathcal{D}_2) \xrightarrow{\simeq} (\mathcal{D}_2)_X$  is an equivalence of categories.

Remember that  $\mathscr{M}_0$  is the moduli of varieties (we've been vague here, but it is some object such that every  $X \to \mathscr{M}_0$  determines and is determined by a flat family  $\mathscr{V} \to X$ )

**Example 2.**  $X \mapsto QCoh(X)$  the category of quasicoherent sheaves on X with isomorphisms as the arrows defines a category fibered in groupoids.

Bonus: Descent Theory = Gluing = Sheafiness

Gluing in general: Fix  $\mathcal{D} \to \mathcal{C} = Sch_S$  thought of as a Site (say, big Étale)

**Definition 4** (Category of Descent Data). Given a covering  $\{Y_i \to X\}$  the category of descent data with respect to that covering is  $\mathscr{D}_{\{Y_i \to X\}}$  with objects  $(d_i, \varphi_{ij})$  where  $d_i \in \mathcal{D}_{Y_i}$  and  $\varphi_{ij} : d_i|_{Y_i \times XY_j} \to d_j|_{Y_i \times XY_j}$ , that is,  $pr_1^*d_i \to pr_2^*d_j$ , an isomorphism, such that  $\varphi_{jk} \circ \varphi_{ij} = \varphi_{ik}$  on  $Y_i \times_X Y_j \times_X Y_k$ , with the arrows being  $(d_i, \varphi_{ij}) \to (d'_i, \varphi'_{ij})$  being  $d_i \to d'_i$  compatible with  $\varphi_{ij}, \varphi'_{ij}$ .

Observation: Any object of  $\mathscr{D}_X$  gives rise to an object of  $\mathscr{D}_{\{Y_i \to X\}}$  by  $d_i = d|_{Y_i} = \psi^*(d)$  and  $\psi_i pr_1 = \psi_j pr_2$ so we get a natural isomorphism  $pr_1^*\psi_i^* \to pr_2^*\psi_j^*$  and so  $pr_1^*d_i \simeq pr_2^*d_j$ , so the cocycle condition is built into pseudofunctors.

Upshot: Get a functor  $\mathscr{D}_X \to \mathscr{D}_{\{Y_i \to X\}}$ .

**Definition 5** (Stack).  $\mathcal{D}$  is a prestack on  $\mathcal{C}$  if  $\nu_{\{Y_i \to X\}}$  is fully faithful for all  $\{Y_i \to X\}$  (descent morphisms)  $\mathcal{D}$  is a stack if  $\nu_{\{Y_i \to X\}}$  is an equivalence of categories for all  $\{Y_i \to X\}$  (effective descent morphism)

Prestack: A Reinterpretation

Given  $a, b \in \mathcal{D}_X$ , so now we define a presheaf I(a, b) on  $Sch_X$  as follows: given  $f : Y \to X$  assign  $I(a, b)(f) = \text{Isom}_{\mathcal{D}_Y}(f^*a, f^*b)$ .

**Lemma 1.**  $\mathcal{D}$  is a prestack iff  $\forall X, a, b, I(a, b)$  is a sheaf on  $X_{ET}$ .

## Exercise 1. Prove this.

This is that the isomorphisms form a sheaf.

Just as one can sheafify a presheaf, one can stackify a prestack (or in fact, any category fibered in groupoids)

**Theorem 1.** Given a fibered category  $\mathcal{D} \to \mathcal{C}$  with  $\mathcal{C}$  a site, there exists a stack  $\mathcal{D}^s$  and a 1-morphism  $\mathcal{D} \to \mathcal{D}^s$  over  $\mathcal{C}$  such that for all stacks  $\mathcal{S} \to \mathcal{C}$ , the map  $\hom(\mathcal{D}^s, \mathcal{S}) \to \hom(\mathcal{D}, \mathcal{S})$  is an equivalence of groupoids.

**Proposition 1.** *QCoh is a stack on*  $(\text{Spec } \mathbb{Z})_{fppf} = (Sch_{\mathbb{Z}})_{fppf}$ .

**Proposition 2.** Sheaves on  $(\text{Spec }\mathbb{Z})_{ET}$  form a stack.  $(Sh_T = \{\text{sheaves on } T_{ET})$ 

Our Problems: Is it a stack

5: The subspaces of a vector space V: STACK - because they're a Sheaf, and a sheaf is a stack.

4: Closed subschemes of X: STACK - sheaf

3: hom(X, Y): STACK - sheaf

2: Line bundles on X: STACK, but not a sheaf (fails in as many ways as possible, but it is a stack due to descent theory)

1: Curves of Genus g = 1: Stack, but not a sheaf (See Ravi's second talk)

0: Varieties: Prestack (Isom(X, Y) is a sheaf), but not a stack.

**Example 3.** There exists  $X/\mathbb{C}$  a smooth 3-fold, with a descent datum relative to  $\operatorname{Spec} \mathbb{C} \to \operatorname{Spec} \mathbb{R}$  which does NOT descent (so it is not quasi-projective)

Funny: A scheme X is a sheaf, so a family  $X \to Y$  is a sheaf on  $T_{ET}$ . So  $\{Schemes\} \subset Sheaves$ , so why not take the stacky closure of Sch in Sh?

<u>Olsson</u>

**Definition 6** (Picard Category). A Picard Category is a groupoid  $\mathcal{P}$  together with the following extra structure:

1. A functor  $+ : P \times P \rightarrow P$ 

 $\sigma_{x,y,z}: (x+y) + z \simeq x + (y+z)$ 

3. A natural transformation  $\tau_{x,y}: x + y \simeq y + x$  commuting with +.

4. For all  $x \in P$ , the functor  $P \to P$  by  $y \mapsto x + y$  is an equivalence

$$(x+y) + (z+w)$$

$$\sigma_{x,y,z+w} \qquad \checkmark^{\sigma_{x+y,z,w}}$$

$$+ (y + (z+w)) \qquad ((x+y)+z) + w$$

$$\sigma_{y,z,w} \qquad \checkmark^{\sigma_{x,y,z}} \qquad \checkmark^{\sigma_{x,y,z}}$$

$$x + ((y+z) + (y+z)) + w$$

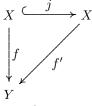
5. Pentagon Axiom: The following diagram commutes

- 6.  $\tau_{x,x} = \text{id for all } x \in P$
- 7.  $\forall x, y \in P, \ \tau_{x,y} \circ \tau_{y,x} = \mathrm{id}$

x

**Example 4.** If X is a scheme, then  $\operatorname{Pic}(X)$ , the groupoid of all line bundles on X with  $\otimes : \operatorname{Pic}(X) \times \operatorname{Pic}(X) \to \mathbb{C}(X)$  $\operatorname{Pic}(X)$ 

**Example 5.**  $f: X \to Y$  a morphism of schemes, and I a quasicoherent  $\mathcal{O}_X$ -module. Then an I-extension of X over Y is a diagram

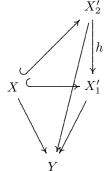


where j is square zero together with an isomorphism  $I \xrightarrow{\iota} \ker(\mathscr{O}_{X'} \to \mathscr{O}_X)$ . Let  $\operatorname{Exal}_Y(X, I)$  to be the category of I-extensions of X over Y.

Remark:  $I \to \mathcal{O}_{X'} \to \mathcal{O}_X$  over  $f^{-1}\mathcal{O}_Y$ 

If  $A \to B$  is a morphism of sheaves of algebras on a topological space T and I is a B-module, we get the category  $\operatorname{Exal}_A(B, I)$  (Extensions of Algebras)

So now,  $\operatorname{Exal}_{Y}(X, I)$  is a groupoid. Take



Such that it commutes with the isomorphisms of I with  $\ker(\mathscr{O}_{X'_i} \to \mathscr{O}_X)$ , and so we get an isomorphism. If  $U \subseteq X$ , then there is a restriction functor  $\operatorname{Exal}_Y(X, I) \to \operatorname{Exal}_Y(U, I_U)$ 

For  $u: I \to J$ , a maps of  $\mathscr{O}_X$ -modules, there is a functor  $u_*: \operatorname{Exal}_Y(X, I) \to \operatorname{Exal}_Y(X, J)$  so we get 
$$\begin{split} I &\hookrightarrow \mathscr{O}_{X'} \to \mathscr{O}_X \text{ as a morphism of } f^{-1}\mathscr{O}_Y \text{ algebras. } \mathscr{O}_{X'_u} = \mathscr{O}_{X'} \oplus_I J = (\mathscr{O}_{X'}[J])/\{(i, -u(i)) | i \in I\}.\\ \text{So we get a map } X \to X'_u \text{ from } J \text{ which commutes with the map } X \to X' \text{ by } I \text{ as morphisms over } Y. \end{split}$$

**Lemma 2.** If I and J are two quasi-coherent  $\mathcal{O}_X$ -modules, then

$$(pr_{1*}, pr_{2*})$$
: Exal<sub>Y</sub> $(X, I \oplus J) \to$  Exal<sub>Y</sub> $(X, I) \times$  Exal<sub>Y</sub> $(X, J)$ 

is an equivalence of categories.

Take  $\Sigma: I \times I \to I$  to be the summation map, so we define the + map  $+: \operatorname{Exal}_Y(X, I) \times \operatorname{Exal}_Y(X, I)$  by taking first the isomorphism with  $\operatorname{Exal}_Y(X, I \oplus I)$  and then  $\Sigma_*$ .  $\sigma, \tau$  are determined by taking more or less the canonical isomorphisms, and then everything works out.

**Example 6.** Let  $f : A \to B$  be a homomorphisms of abelian groups. Define  $P_f$  to have objects the elements  $x \in B$  and morphisms  $x \to y$  elements  $h \in A$  with f(h) = y - x

Let T be a topological space (or a site)

**Definition 7** (Picard Stack). A Picard (pre-)Stack over T is a (pre)Stack  $\mathcal{P}$  with morphisms of stacks  $(+, \sigma, \tau)$  such that for all  $U \subseteq T$ , the fiber  $(\mathcal{P}_U, +, \sigma, \tau)$  is a Picard category.

**Example 7.** Pic(-) defines a Picard Stack on |X|.

**Example 8.**  $\operatorname{Exal}_Y(-, I)$  gives a Picard stack on |X|

**Example 9.**  $f: A \to B$  is a homomorphism of sheaves of abelian groups on a topological space T, then get Picard prestack  $pch(A \to B)$ 

**Definition 8** (Morphism of Picard Stacks). Let T be a topological space and  $\mathcal{P}_1, \mathcal{P}_2$  Picard Stacks over T. Then a morphism of Picard Stacks  $\mathcal{P}_1 \to \mathcal{P}_2$  is a pair  $(F, \iota)$  where  $F : \mathcal{P}_1 \to \mathcal{P}_2$  is a morphism of stacks and  $\iota : F(x + y) \simeq F(x) + F(y)$  such that the following commute  $F(y) + F(x) \longleftarrow F(x) + F(y)$ 

$$F(y + x) \leftarrow T(x) + F(y)$$

$$F(y + x) \leftarrow F(\tau) + F(x + y)$$

$$F((x + y) + z) \xrightarrow{F(\tau)} F(x + y) + F(z) \xrightarrow{\iota} (F(x) + F(y)) + F(z)$$

$$F(\sigma) \uparrow \qquad \sigma \uparrow$$

$$F(\sigma) \downarrow \qquad F(\sigma) + F(\sigma) + F(\sigma) + F(\sigma) + F(\sigma) + F(\sigma)$$

 $F(x + (y + z)) \xrightarrow{\iota} F(x) + F(y + z) \xrightarrow{\iota} F(x) + (F(y) + F(z))$ 

We get another Picard stack  $HOM(\mathcal{P}_1, \mathcal{P}_2)$  with an identity element, kernels and  $\otimes$ . Osserman

Continuing the Proof of Schlessinger's Criterion.

Already shown that (H1)-(H3) imply that we have a Hull. Suppose that F has a hull  $(R,\xi)$ . Then (H3) follows from  $T_R \simeq T_F$  and R noetherian of finite dimension.

Now suppose that we have  $p': A' \to A$  and  $p'': A'' \to A$  in  $Art(\Lambda, k)$  with p'' a surjection. For (H1), we want (\*)  $F(A' \times_A A'') \to F(A') \times_{F(A)} F(A'')$  to be surjective. Suppose that we have  $\eta' \in F(A')$  and  $\eta'' \in F(A'')$  both restricting to  $\eta \in F(A)$ . Since  $\bar{h}_R \to F$  is smooth (by exercise) is it surjective, so  $\exists u': R \to A'$  such that  $u'(\xi) = \eta'$ .

Also, using smoothness applied to  $p'', \exists u'' : R \to A''$  with  $u''(\xi) = \eta''$ . Set  $\zeta = u' \times_u u''(\xi) \in F(A' \times_A A'')$ , this lifts  $(\eta', \eta'')$  and this proves (H1).

For (H2), assume that A = k,  $A'' = k[\epsilon]$ . We want (\*) injective. Suppose that  $v \in F(A' \times_A A'')$  also restricts to  $\eta'$  and  $\eta''$ , we want  $v = \zeta$ . Keeping the same  $u' : R \to A'$ , apply smoothness to the map  $A' \times_k k[\epsilon] \to A'$  and obtain to obtain  $q'' : R \to k[\epsilon]$  such that  $u' \times q''(\xi) = v$ . Because  $T_R \simeq T_F$ , and we have  $u' \times u''(\xi) = \zeta$ , we have  $u'', q'' \in T_R$  so since  $u''(\xi) = \zeta|_{A''} = v|_{A''} = q''(\xi)$  so u'' = q'' so  $\zeta = v$ . This is (H2), and so done.

So now assume that (H1)-(H4) are satisfied. We already have a hull  $(R,\xi)$ . We want to show that it prorepresents F. That is, for all Artin rings A, we have a bijection  $h_R(A) \to F(A)$ . It's always a surjection by smoothness, so injectivity must be checked.

We prove this by induction on the length of A. Let  $p': A' \to A$  be a small thickening and let I be the kernel. Suppose  $h_R(A) \to F(A)$  is a bijection. We want to deduce that we have a bijection for A' as well. For all  $\eta \in F(A)$ , we have  $h_R(p)^{-1}(\eta)$  and  $F(p)^{-1}(\eta)$  (the -1's denote inverse images, not inverse functions), and both are pseudotorsors under  $T_F \otimes I \simeq T_R \otimes I$ . By functoriality, they are compatible.

But we have injection, so they must be in bijection. Since this holds for all  $\eta \in F(A)$ , we have a bijection  $h_R(A') \simeq F(A')$ . So  $(R,\xi)$  prorepresents F, by induction.

If F is prorepresentable, then (\*) is always bijective because  $A' \times_A A''$  is a categorical fiber product in  $Art(\Lambda, k)$ .

And so Schlessinger's Criterion is established. More Examples:

**Example 10** (Deformations of a quotient scheme). Let  $X_{\Lambda}$  be a scheme over  $\Lambda$  and  $\mathcal{E}_{\Lambda}$  a quasicoherent sheaf on  $X_{\Lambda}$ . Write  $X, \mathcal{E}$  for the restrictions to k.

Fix  $\mathscr{E} \to \mathscr{F}$  surjective as a quasicoherent quotient. Then  $\operatorname{Def}_{\mathscr{F},\mathscr{E}}$  sends A to  $\{\mathscr{E}_{\Lambda}|_{A} \to \mathscr{F}_{A}$  flat restricting to  $\mathscr{E} \to \mathscr{F}$  after  $\otimes k\}$ .

Note: No automorphism to worry about, so we could even have a notion of equality of quotients via equality of kernels.

**Theorem 2.** Def  $_{\mathscr{F},\mathscr{E}}$  is a deformation functor, and satisfies (H4). If  $X_{\Lambda}$  is proper and  $\mathscr{E}$  is coherent, then Def  $_{\mathscr{F},\mathscr{E}}$  also satisfies (H3), and so is prorepresentable.

Note: For representability of the global version (Quot Scheme) need projective. But we see that the local behavior is still scheme-like under properness hypothesis. This hints at algebraic spaces.

Sketch of proof:

Given  $A' \to A$ ,  $A'' \to A$  and  $\mathscr{F}_{A'}, \mathscr{F}_{A''}$  both restricting to  $\mathscr{F}_A$  on A, set  $B = A' \times_A A''$  and set  $\mathscr{F}_B = \mathscr{F}_{A'} \times_{\mathscr{F}_A} \mathscr{F}_{A''}$  and get a surjection  $\mathscr{E}_B := \mathscr{E}_{\Lambda}|_B \to \mathscr{F}_B$  by  $\mathscr{E}_B \to \mathscr{E}_{A'} \times_{\mathscr{E}_A} \mathscr{E}_{A''} \to \mathscr{F}_B$ . This is not necessarily an isomorphism.

This gives (H1), but we actually constructed an inverse to (\*), so we get (H2) and (H4) also.

The tangent space  $\operatorname{Def}_{\mathscr{F},\mathscr{E}}$  is  $H^0(X, \mathscr{H}om(\mathscr{G}, \mathscr{F}))$  where  $\mathscr{G} = \ker(\mathscr{E} \to \mathscr{F})$ . (this is an exercise) Under our extra hypothesis, this is finite dimensional, and so (H3) is satisfied.

**Corollary 1.** Given  $X_{\Lambda}/\Lambda$  and  $Z \subseteq X$ , then  $\text{Def}_{Z,X}$  is a deformation functor and satisfies (H4). If further  $X_{\Lambda}$  is proper over  $\Lambda$ , then (H3) is satisfied and so prorepresentable.

*Proof.* Set  $\mathscr{E}_{\Lambda} = \mathscr{O}_{X_{\Lambda}}$ , then closed subschemes are just the quasicoherent quotients of this. Apply the Theorem

**Example 11.** Given  $X_{\Lambda}, Y_{\Lambda}$  over  $\Lambda$ ,  $f : X \to Y$  over k, and  $\text{Def}_f$  sends A to  $\{f_A : X_{\Lambda}|_A \to Y_{\Lambda}|_A$  over A restricting to f on  $A\}$ .

**Corollary 2.** If  $X_{\Lambda}, Y_{\Lambda}$  are locally of finite type,  $X_{\Lambda}$  is flat over  $\Lambda$  and  $Y_{\Lambda}$  separated over A, then Def<sub>f</sub> is a deformation functor and satisfies (H4). If  $X_{\Lambda}, Y_{\Lambda}$  are proper, then also get (H3).