

# Calculating deformation rings

Rebecca Bellovin

## 1 Introduction

We are interested in computing local deformation rings away from  $p$ . That is, if  $L$  is a finite extension of  $\mathbf{Q}_\ell$  and  $V$  is a 2-dimensional representation of  $G_L$  over  $\mathbf{F}$ , where  $\mathbf{F}$  is a finite extension of  $\mathbf{F}_p$ ,  $\ell \neq p$ , we wish to study the deformation rings  $R_V^\square$  and  $R_V^{\psi, \square}$ . Here  $\psi : G_L \rightarrow \mathcal{O}^\times$  is a continuous unramified character,  $\mathcal{O}$  is the ring of integers of a finite extension  $E$  of  $\mathbf{Q}_p$  which has residue field  $\mathbf{F}$ , and  $R_{V_{\mathbf{F}}}^{\psi, \square}$  is the quotient of  $R_{V_{\mathbf{F}}}^\square$  corresponding to deformations with determinant  $\psi\chi$ , where  $\chi : G_L \rightarrow \mathbf{Z}_p^\times$  is the cyclotomic character.

Note that  $R_{V_{\mathbf{F}}}^{\psi, \square}$  exists: There is a natural determinant map from the universal 2-dimensional (framed) representation to the universal 1-dimensional (framed) representation, and we take the fiber over the closed point corresponding to  $\chi\psi$ .

We define the following two deformation problems:

- $D_V^{ur, \psi, \square}$  is the deformation functor which spits out unramified framed deformations with determinant  $\psi\chi$
- $L_V^{\chi, \square}$  is the deformation functor which spits out pairs  $(V_A, L_A)$  of framed deformations with determinant  $\chi$ , together with a  $G_L$ -stable  $A$ -line with  $G_L$  acting via  $\chi$  on  $L_A$ . That is,  $L_A$  is a projective rank 1  $A$ -module such that  $V_A/L_A$  is a projective  $A$ -module with trivial  $G_L$ -action.

Most of this talk will be about the structure of the ring representing the second functor.

## 2 Lies I will tell, and auxiliary categories of rings

The minor lie I will tell is that I will entirely suppress the language of categories fibered in groupoids, and pretend we are working with functors. This will allow me to avoid 2-categorical language. But to make what I say literally true, one has to handle non-trivial isomorphisms of deformations via the language of groupoids.

The more major lie I will tell is that after I finish this section, I will try to avoid talking about the various categories of algebras that are involved.

The basic set-up is representing certain deformations of a fixed residual representation (in characteristic  $p$ ). The deformations are a priori to finite local artinian rings with fixed residue field. But we want to be able to take generic fibers of our representing objects in a sensible way, so we need techniques for passing to characteristic 0 points.

To do this, we need a variety of confusing auxiliary categories of algebras. To demonstrate, let  $E/\mathbf{Q}_p$  be a finite extension with residue field containing  $\mathbf{F}$ , let  $\mathcal{O} \subset \mathcal{O}_E$  be a discrete valuation ring finite over  $W(\mathbf{F})$ , and let  $D$  be a deformation functor on the category  $\mathfrak{A}\mathfrak{A}_{\mathcal{O}}$  of finite local artinian  $\mathcal{O}$ -algebras with residue field  $\mathcal{O}/\mathfrak{m}_{\mathcal{O}}$ , and let  $E/\mathbf{Q}_p$  be a finite extension with residue field containing  $\mathbf{F}$ . We will be interested in the category  $\mathfrak{A}\mathfrak{R}_E$  of finite local  $W(\mathbf{F})[1/p]$ -algebras with residue field  $E$ . We also introduce the following categories:

$\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O}}$ :  $\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O}}$  is the category of complete local noetherian  $\mathcal{O}$ -algebras with residue field  $\mathcal{O}/\mathfrak{m}_{\mathcal{O}}$ .

$\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O},(\mathcal{O}_E)}$ :  $\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O},(\mathcal{O}_E)}$  is the category of  $\mathcal{O}$ -algebras  $A$  in  $\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O}}$  equipped with a map of  $\mathcal{O}$ -algebras  $A \rightarrow \mathcal{O}_E$ .

$\text{Int}_B$ : Given  $B \in \mathfrak{A}\mathfrak{R}_E$ ,  $\text{Int}_B$  is the category of finite  $\mathcal{O}_E$ -subalgebras  $A \subset B$  such that  $A \otimes_{\mathcal{O}_E} E = B$ .

Note that  $\text{Int}_B$  is a subcategory of  $\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O},(\mathcal{O}_E)}$  ( $A$  obviously has a map to  $E$ , and by finiteness or the same sort of arguments as in Brian's talk, it actually lands in  $\mathcal{O}_E$ ), and there is a natural functor  $\widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O},(\mathcal{O}_E)} \rightarrow \widehat{\mathfrak{A}\mathfrak{R}}_{\mathcal{O}}$ .

Also note that we can canonically extend  $D$  to a groupoid on  $\widehat{\mathfrak{AR}}_{\mathcal{O}}$ , by setting  $D(\varprojlim R/\mathfrak{m}_R^{n+1}) = \varprojlim D(R/\mathfrak{m}_R^{n+1})$ .

Now fix some  $\xi \in D(\mathcal{O}_E)$ , which makes sense by the preceding comment. We define a groupoid  $D_{(\xi)}$  on  $\widehat{\mathfrak{AR}}_{\mathcal{O},(\mathcal{O}_E)}$  by setting  $D_{(\xi)}$  to be the fiber over  $\xi$ . More precisely,  $D_{(\xi)}(A)$  consists of objects of  $D(A)$  together with morphisms (in  $D$ ) covering the given map  $A \rightarrow \mathcal{O}_E$ .

Finally, we can extend  $D_{(\xi)}$  to  $\mathfrak{AR}_E$ . We note that  $B \in \mathfrak{AR}_E$  can be exhausted by objects in  $\text{Int}_B$ , so we set  $D_{(\xi)}(B) = \varinjlim_{A \in \text{Int}_B} D_{(\xi)}(A)$ .

Now Kisin proves two crucial lemmas about these groupoids (which he calls a lemma and a proposition). The first tells us how to get universal deformation rings for the groupoids on  $\mathfrak{AR}_E$ , and the second relates those groupoids to the ones we would naively expect (for some deformation problems we already care about):

**Lemma 2.1.** *If  $D$  is pro-represented by a complete local  $\mathcal{O}$ -algebra  $R$ , then  $D_{(\xi)}$  is pro-represented (on  $\mathfrak{AR}_E$ ) by the complete local  $\mathcal{O}[1/p]$ -algebra  $\hat{R}_\xi$  obtained by completing  $R \otimes_{\mathcal{O}} E$  along the kernel  $I_\xi$  of the map  $R \otimes_{\mathcal{O}} E \rightarrow E$  induced by  $\xi$ .*

**Lemma 2.2.** *Fix a residual representation  $V$  over  $\mathbf{F}$ , and carry out the above program for  $D_V$  and  $D_V^\square$ . Then there are natural isomorphisms of groupoids*

$$D_{V,(\xi)} \xrightarrow{\sim} D_{V_\xi} \quad \text{and} \quad D_{V,(\xi)}^\square \xrightarrow{\sim} D_{V_\xi}^\square$$

### 3 Main result

The main result we will prove is the following:

**Theorem 3.1.** *Let  $V$  be any 2-dimensional representation of  $G_L$  (over  $\mathbf{F}$ ). Fix a continuous unramified character  $\psi : G_L \rightarrow \mathcal{O}^\times$  and consider  $R_V^{\psi, \square}$ , the quotient of  $R_V^\square$  corresponding to deformations of  $V$  with determinant  $\psi\chi$ . Then  $\text{Spec } R_V^{\psi, \square}[1/p]$  is 3-dimensional, and it is the scheme-theoretic union of formally smooth components.*

There are several claims implicit in this theorem, namely the existence, smoothness, connectedness, and dimension of  $R_V^{\text{ur}, \psi, \square}$  and  $R_V^{\chi\gamma, \gamma, \square}$ , as well as the connectedness of  $R_V^{\psi, \square}$ . We assume these for the moment and go on with the proof.

*Proof.* Let  $E'/E$  be a finite extension, let  $x : R_V^{\psi, \square}[1/p] \rightarrow E'$  be a point of  $\text{Spec } R_V^{\psi, \square}[1/p]$  with residue field  $E'$  (so that it is actually an  $E'$ -point), and let  $V_x$  be the induced representation with coefficients in  $E'$ . We know (from Brian's talk on characteristic 0 points of deformation rings) that the completion of  $R_V^{\psi, \square}[1/p]$  at the maximal ideal  $\mathfrak{m}_x = \ker x$  represents deformations of  $V_x$ . The tangent space at  $x$  is  $H^1(G_L, \text{ad}^0 V_x)$ . Obstructions to deforming representations live in  $H^2$  groups, so  $R_V^{\psi, \square}[1/p]$  at  $x$  will be formally smooth at any point  $x$  where  $H^2(G_L, \text{ad}^0 V_x)$  vanishes.

Given any framed deformation problem  $D^\square$  (with coefficients in some unspecified field  $H$ ), there is a natural morphism  $D^\square \rightarrow D$  to the unframed problem given by “forgetting the basis”. This morphism is formally smooth in the sense that artinian points of  $D$  can be lifted.

Furthermore, the fibers of the morphism of tangent spaces  $D^\square(H[\varepsilon]) \rightarrow D(H[\varepsilon])$  are principal homogeneous spaces under  $\text{ad}/\text{ad}^{G_L}$ . Specifically, given a residual representation  $V_H$  and a choice of (unframed) deformation  $V_{H[\varepsilon]}$ ,  $\ker(\text{GL}_2(H[\varepsilon]) \rightarrow \text{GL}_2(H)) = 1 + \varepsilon M_2(H[\varepsilon]) \cong \text{End}_H V_H$  acts (via conjugation) on the fiber over  $V_{H[\varepsilon]}$ . Then it is easy to check that  $1 + \varepsilon M$  acts trivially on the fiber if and only if  $M$  is in  $\text{ad}^0 V_H$ .

Counting dimensions,

$$\dim_F D^\square(\mathbf{F}[\varepsilon]) = \dim_F D(\mathbf{F}[\varepsilon]) + \dim_F \text{ad} - \dim_F H^0(G_L, \text{ad}) \quad (3.1)$$

Using this formula, we see that the tangent space to  $\text{Spec } R_V^{\psi, \square}[1/p]$  at  $x$  has  $E'$ -dimension

$$\begin{aligned} & \dim_{E'} H^1(G_L, \text{ad}^0 V_x) + \dim_{E'} \text{ad } V_x - \dim_{E'} H^0(G_L, \text{ad } V_x) \\ &= \dim_{E'} H^1(G_L, \text{ad}^0 V_x) + \dim_{E'} \text{ad } V_x - (\dim_{E'} H^0(G_L, \text{ad}^0 V_x) - 1) \\ &= -(\dim_{E'} H^2(G_L, \text{ad}^0 V_x) - \dim_{E'} H^1(G_L, \text{ad}^0 V_x) + \dim_{E'} H^0(G_L, \text{ad}^0 V_x)) \\ &\quad + \dim_{E'} H^2(G_L, \text{ad}^0 V_x) + \dim_{E'} \text{ad } V_x - 1 \\ &= \dim_{E'} H^2(G_L, \text{ad}^0 V_x) + 3 \end{aligned}$$

the last step following by the Euler characteristic formula for  $p$ -adic coefficients. Thus, if  $H^2(G_L, \text{ad}^0 V_x) = 0$ ,  $x$  will be a formally smooth point of  $\text{Spec } R_V^{\psi, \square}[1/p]$  with a 3-dimensional tangent space.

Now suppose  $H^2(G_L, \text{ad}^0 V_x) \neq 0$ . By the  $p$ -adic version of Tate local duality,  $\dim_{E'} H^2(G_L, \text{ad}^0 V_x) = \dim_{E'} H^0(G_L, (\text{ad}^0 V_x)^*)$ , which is  $\dim_{E'} H^0(G_L, \text{ad}^0 V_x(1))$

(because  $\text{ad}^0$  is self-dual). Now we have the split exact sequence of  $G_L$ -modules

$$0 \rightarrow \text{ad}^0 V_x(1) \rightarrow \text{ad} V_x(1) \rightarrow E'(1) \rightarrow 0$$

which gives us an exact sequence in cohomology:

$$0 \rightarrow H^0(G_L, \text{ad}^0 V_x(1)) \rightarrow H^0(G_L, \text{ad} V_x(1)) \rightarrow H^0(G_L, E'(1))$$

But  $H^0(G_L, E'(1)) = 0$  so

$$H^0(G_L, \text{ad}^0 V_x(1)) = H^0(G_L, \text{ad} V_x(1)) = H^0(G_L, \text{Hom}(V_x, V_x(1)))$$

In particular, if  $H^2(G_L, \text{ad}^0 V_x) \neq 0$ , there is a non-zero homomorphism (of  $G_L$ -modules)  $V_x \rightarrow V_x(1)$ . It has 1-dimensional ( $G_L$ -stable) image and kernel, so there is some character  $\gamma$  such that  $0 \rightarrow \gamma \rightarrow V_x \rightarrow \gamma(1) \rightarrow 0$  is exact. But such extensions are classified by  $H^1(G_L, E'(-1))$ , which is 0: the Euler characteristic formula says that  $\dim_{E'} H^0(G_L, E'(-1)) - \dim_{E'} H^1(G_L, E'(-1)) + \dim_{E'} H^2(G_L, E'(-1)) = 0$ , but  $H^0(G_L, E'(-1))$  is clearly zero, and  $H^2(G_L, E'(-1))$  is dual to  $H^0(G_L, E'(2))$ , which is zero, so  $H^1(G_L, E'(-1))$  is zero as well. So this extension splits.

We have shown that if  $H^2(G_L, \text{ad}^0 V_x) \neq 0$ , then  $V_x = \gamma \oplus \gamma\chi$  for some character  $\gamma : G_L \rightarrow E'^\times$ . If  $\gamma$  is unramified, then this implies that  $x$  is in the image of both  $R_V^{\text{ur}, \gamma^2, \square}$  and  $R_V^{\chi\gamma, \gamma, \square}$ .

So the only singular points of  $\text{Spec} R_V^{\psi, \square}[1/p]$  lie in the intersection of two formally smooth components. □

The definition of formal smoothness requires us to be able to lift through *any* square-zero thickening, but we only looked at what happens at artinian points of  $\text{Spec} R_V^{\psi, \square}[1/p]$ ; the commutative algebra necessary to justify this is discussed in Brian's notes on  $\ell = p$ .

## 4 Unramified deformations

We've seen previously that for the unframed case, the tangent space at  $x$  for unramified deformations with fixed determinant is  $H^1(G_L/I_L, (\text{ad}^0 V_x)^{I_L})$ ,

and the obstruction space should be  $H^2(G_L/I_L, (\text{ad}^0 V_x)^{I_L}) = 0$ . We have the exact sequence

$$0 \rightarrow (\text{ad}^0 V_x)^{G_L} \rightarrow (\text{ad}^0 V_x)^{I_L} \xrightarrow{\text{Frob} - \text{id}} (\text{ad}^0 V_x)^{I_L} \rightarrow (\text{ad}^0 V_x)^{I_L}/(\text{Frob} - \text{id})(\text{ad}^0 V_x)^{I_L} \rightarrow 0$$

This implies that  $\dim_{E'} H^0(G_L, \text{ad}^0 V_x) = \dim_{E'} H^1(G_L/I_L, (\text{ad}^0 V_x)^{I_L})$ . And since the tangent space for the framed case has dimension  $\dim_{E'} H^1(G_L/I_L, (\text{ad}^0 V_x)^{I_L}) + \dim_{E'} \text{ad}^0 V_x - \dim_{E'} H^0(G_L, \text{ad}^0 V_x)$  by the discussion in the previous section, this implies that the tangent space of  $R_V^{ur, \psi, \square}$  has dimension  $\dim_{E'} \text{ad}^0 V_x = 3$ .

So granting existence,  $R_V^{ur, \psi, \square}$  is formally smooth and 3-dimensional.

## 5 $R^{\chi\gamma, \gamma, \square}$

We begin this section with a general lemma.

**Lemma 5.1.** *Let  $\mathcal{O}$  be a local  $W(k)$ -algebra with residue field  $k$ , with  $K$  the fraction field of  $W(k)$ , and let  $X$  be a proper residually reduced  $\mathcal{O}$ -scheme. Then the components of the fiber of  $X$  over the closed point of  $\mathcal{O}$  are in bijection with the components of  $X[1/p]$ .*

*Proof.* Consider a connected component of  $X[1/p] = X \otimes_{W(k)} K$  and let  $e$  be the idempotent which is 1 on this component and 0 on the others. Then if  $\varpi$  is a uniformizer of  $W(k)$ , there is some  $n$  such that  $\varpi^n e$  extends to a global section of  $X$ . But  $(\varpi^n e)^2 = \varpi^n (\varpi^n e)$ , so if  $n > 0$ , as a function on the special fiber  $X \otimes_{\mathcal{O}} k$ ,  $\varpi^n e$  is nilpotent. This contradicts our reducedness hypothesis, so  $n = 0$  and  $e$  is already a global section of  $X$ .

So we know that the components of  $X \otimes_{W(k)} K$  are in bijection with the components of  $X$  itself. But if  $X^\wedge$  is the completion of  $X$  along its special fiber, the components of the special fiber  $X \otimes_{\mathcal{O}} k$  are in bijection with the components of  $X^\wedge$  (because they have the same underlying topological space), and formal GAGA implies that the components of  $X^\wedge$  are in bijection with the components of  $X$  ( $X$  is proper over  $\mathcal{O}$ , so we can apply formal GAGA to see that the global idempotent functions on  $X$  and  $X^\wedge$  are in bijection).  $\square$

## 5.1 Representability

**Proposition 5.2.** *The morphism  $|L_V^{X,\square}| \rightarrow |D_V^{X,\square}|$  is represented by a projective morphism  $\Theta_V : \mathcal{L}_V^{X,\square} \rightarrow R_V^{X,\square}$ .*

*Proof.* Given an  $A$ -point of  $R_V^{X,\square}$ , the  $A$ -points of  $\mathcal{L}_V^{X,\square}$  should be certain line bundles on  $\text{Spec } A$ , so we will cut  $\mathcal{L}_V^{X,\square}$  out of  $\mathbf{P}_{R_V^{X,\square}}^1$ .

Consider  $\mathbf{P}$ , the projectivization of the universal rank 2  $R_V^{X,\square}$ -module. That is, if  $V_R$  is the universal rank 2  $R_V^{X,\square}$ -module (equipped with a representation of  $G_L$ ), then  $\mathbf{P} := \text{Proj Sym } V_R \cong \text{Proj } R_V^{X,\square}[x_0, x_1]$ .

If  $A$  is an  $R_V^{X,\square}$ -algebra with residue field  $\mathbf{F}$ , a morphism  $\text{Spec } A \rightarrow \mathbf{P}$  (over  $R_V^{X,\square}$ ) is the same as a surjection (of sheaves)  $A^2 \rightarrow \mathcal{L} \rightarrow 0$ .

Given a morphism  $f : \text{Spec } A \rightarrow \mathbf{P}$ , there is a natural  $G_L$ -action on the quotient  $\mathcal{L}$  if and only if  $g^*f = f$  for all  $g \in G_L$ . The  $g^*$ -fixed locus of  $\mathbf{P}$  is  $H_g$  defined by the Cartesian square

$$\begin{array}{ccc} H_g & \longrightarrow & \mathbf{P} \\ \downarrow & & \downarrow (\text{id}, g^*) \\ \mathbf{P} & \xrightarrow{\Delta} & \mathbf{P} \times_{R_V^{X,\square}} \mathbf{P} \end{array}$$

Since  $\mathbf{P}$  is separated,  $H_g$  is a closed subscheme of  $\mathbf{P}$ . Thus, the intersection  $H := \bigcap_{g \in G} H_g$  is a closed subscheme of  $\mathbf{P}$  parametrizing  $G_L$ -equivariant quotients  $A^2 \rightarrow \mathcal{L} \rightarrow 0$ .

Now if  $A$  is a complete local  $W(\mathbf{F})$ -algebra, there is a natural map from  $H$  to the universal deformation of the residually trivial 1-dimensional representation, given (in the language of the functor of points) by sending  $A^2 \rightarrow \mathcal{L} \rightarrow 0$  to  $\mathcal{L}$ . Then we can take the fiber over the (closed) point corresponding to the trivial representation to get a closed subscheme of  $\mathbf{P}$  representing  $L_V^{X,\square}$  on  $\mathfrak{A}\mathfrak{R}_{W(\mathbf{F})}$ .

Now take limits to get representability of  $L_V^{X,\square}$  on  $\mathfrak{A}\mathfrak{u}\mathfrak{g}_{W(\mathbf{F})}$ . □

## 5.2 Smoothness and connectedness

Next we want to study smoothness and connectedness.

**Proposition 5.3.**  $\mathcal{L}_V^{\chi, \square}$  is formally smooth over  $W(\mathbf{F})$ . Furthermore, the  $W(\mathbf{F})[1/p]$ -scheme  $\mathcal{L}_V^{\chi, \square} \otimes_{W(\mathbf{F})} W(\mathbf{F})[1/p]$  is connected.

*Proof.* First, we will show that for any finite group  $M$  of  $p$ -power order, the natural map  $H^1(G_L, \mathbf{Z}_p(1)) \otimes_{\mathbf{Z}_p} M \rightarrow H^1(G_L, \mathbf{Z}_p(1) \otimes_{\mathbf{Z}_p} M)$  is an isomorphism. It suffices to consider the case  $M = \mathbf{Z}/p^n\mathbf{Z}$ . In that case, we have the exact sequence

$$0 \rightarrow \mathbf{Z}_p(1) \xrightarrow{p^n} \mathbf{Z}_p(1) \rightarrow M \rightarrow 0$$

Then the long exact sequence in group cohomology shows that

$$0 \rightarrow H^1(G_L, \mathbf{Z}_p(1))/p^n H^1(G_L, \mathbf{Z}_p(1)) \rightarrow H^1(G_L, M) \rightarrow H^2(G_L, \mathbf{Z}_p(1))[p^n]$$

is exact. The middle arrow is the natural map we started with, so we wish to show that  $H^2(G_L, \mathbf{Z}_p(1))[p^n]$  is 0. But by Tate local duality (as in Simon's talk),  $H^2(G_L, \mathbf{Z}_p(1))$  is Pontryagin dual to  $\mathbf{Q}_p/\mathbf{Z}_p$ , so has no  $p^n$ -torsion.

Thus, for any artinian algebra  $A$ , the composition

$$\mathrm{Ext}_{\mathbf{Z}_p[G_L]}^1(\mathbf{Z}_p, \mathbf{Z}_p(1)) \otimes_{\mathbf{Z}_p} A \rightarrow H^1(G_L, \mathbf{Z}_p(1)) \otimes_{\mathbf{Z}_p} A \rightarrow H^1(G_L, \mathbf{Z}_p(1) \otimes_{\mathbf{Z}_p} A) \rightarrow \mathrm{Ext}_{\mathbf{Z}_p[G_L]}^1(A, A(1))$$

is an isomorphism.

To prove smoothness, it suffices to show that for any surjection of artinian rings  $A \rightarrow A'$ , the map  $|L_V^{\chi, \square}|(A) \rightarrow |L_V^{\chi, \square}|(A')$  is a surjection. Now consider a pair  $(V_{A'}, L_{A'})$  in  $|L_V^{\chi, \square}|(A')$ . It corresponds to an element of  $\mathrm{Ext}_{\mathbf{Z}_p[G_L]}^1(A, A(1))$ , so by the isomorphism we just proved, it corresponds to an element of  $\mathrm{Ext}_{\mathbf{Z}_p[G_L]}^1(\mathbf{Z}_p, \mathbf{Z}_p(1)) \otimes_{\mathbf{Z}_p} A'$ . But such an element clearly lifts to an element of  $\mathrm{Ext}_{\mathbf{Z}_p[G_L]}^1(\mathbf{Z}_p, \mathbf{Z}_p(1)) \otimes_{\mathbf{Z}_p} A$ , which is to say, an element of  $|L_V^{\chi, \square}|(A)$ .

Now we wish to prove connectedness after inverting  $p$ , and for this we use the lemma on connected components. Specifically, since  $\mathcal{L}_V^{\chi, \square}$  is smooth, its special fiber  $\mathcal{L}_V^{\chi, \square} \otimes_{W(\mathbf{F})} \mathbf{F}$  is reduced, so to show  $\mathcal{L}_V^{\chi, \square}[1/p]$  is connected, it suffices to show that the special fiber  $\mathcal{L} \otimes_{R_V^{\chi, \square}} \mathbf{F}$  is connected.

But the special fiber is simply the fiber over the residual representation. If  $\mathbf{F} \cong \mathbf{F}(1)$  and the representation is split (i.e., the residual representation is trivial), any line in  $\mathbf{F}^2$  is  $G_L$ -stable with  $G_L$ -acting by  $\chi = \mathrm{id}$ , so the fiber is a full  $\mathbf{P}_{\mathbf{F}}^1$ . Otherwise, there is at most one  $G_L$ -line with  $G_L$  acting via  $\chi$ , and this is true for any  $A$ -point of the fiber, so it is either empty or it consists of a single reduced point. So the special fiber is connected.  $\square$



The next proposition will show that  $\mathcal{L}_V^{x,\square}[1/p] \rightarrow \text{Spec } R_V^{x,\square}[1/p]$  is a monomorphism. More precisely, it shows that this morphism is injective on artinian points, but, as before, Brian's notes on  $\ell = p$  explain why this is sufficient to let us conclude that it is actually a monomorphism.

**Proposition 5.4.** *Let  $E/\mathbf{Q}_p$  be a finite extension, and let  $\xi$  refer to both an  $\mathcal{O}_E$ -valued point of  $R_V^{x,\square}$  and an  $\mathcal{O}_E$ -valued point in the fiber of  $\mathcal{L}_V^{x,\square}$  above it. Then the morphism of groupoids (functors) on  $\mathfrak{A}\mathfrak{R}_E$   $L_{V_\xi}^{x,\square} \rightarrow D_{V_\xi}^{x,\square}$  is fully faithful. If the representation over  $E$   $V_\xi$  corresponding to  $\xi$  is indecomposable, then this is an equivalence.*

*Proof.* Let  $B$  be an object of  $\mathfrak{A}\mathfrak{R}_E$ , and let  $V_B$  be an object of  $D_{V_\xi}^{x,\square}(B)$ . To prove the first assertion, we need to show that  $V_B$  admits at most one  $G_L$ -stable  $B$ -line  $L_B \subset V_B$  such that  $G_L$  acts trivially on  $V_B/L_B$ . But  $\text{Hom}_{B[G_L]}(B(1), V_B/L_B) = \{0\}$  because the  $G_L$ -action on the target is trivial, so  $\text{Hom}_{B[G_L]}(B(1), V_B) = \text{Hom}_{B[G_L]}(B(1), L_B)$  and  $L_B$  is unique.

Now suppose  $V_\xi$  is indecomposable; we wish to show that  $V_B$  actually does admit a suitable  $B$ -line. We will do this by showing that  $V_B$  is isomorphic to the trivial deformation  $V_\xi \otimes_E B$ . Note that by Tate local duality

$$\dim_E H^1(G_L, \text{ad}^0 V_\xi) = \dim_E H^0(G_L, \text{ad}^0 V_\xi) + \dim_E H^0(G_L, \text{ad}^0 V_\xi(1)) = 0$$

the last equality following from indecomposability of  $V_\xi$ . The result then follows by induction on the length of  $B$ , since this calculation holds for any indecomposable extension of  $A(1)$  by  $A$ .  $\square$

But since we have a proper monomorphism of schemes  $\mathcal{L}_V^{x,\square}[1/p] \rightarrow \text{Spec } R_V^{x,\square}[1/p]$ , it is a closed immersion.

Now we can prove the following proposition and corollary.

**Proposition 5.5.** *Let  $\text{Spec } R_V^{x,1,\square}$  be the scheme-theoretic image of the morphism  $\mathcal{L}_V^{x,\square} \rightarrow \text{Spec } R_V^{x,\square}$ . Then*

1.  $R_V^{x,1,\square}$  is a domain of dimension 4 and  $R_V^{x,1,\square}$  is formally smooth over  $W(\mathbf{F})$ .
2. If  $E/\mathbf{Q}_p$  is a finite extension, then a morphism  $\xi : R_V^{x,\square} \rightarrow E$  factors through  $R_V^{x,1,\square}$  if and only if the corresponding two-dimensional representation  $V_\xi$  is an extension of  $E$  by  $E(1)$ .

*Proof.* Since  $R_V^{X,1,\square}$  is smooth and connected, it is a domain. We will find its dimension via a tangent space calculation. Suppose  $V_\xi$  is indecomposable (which we may assume, since most points on  $R_V^{X,1,\square}$  are indecomposable). Then the dimension of  $R_V^{X,1,\square}[1/p]$  is

$$\begin{aligned} \dim_E |D_{V_\xi}^{X,\square}|(E[\varepsilon]) &= \dim_E |D_{V_\xi}^X|(E[\varepsilon]) + 4 - \dim_E(\mathrm{ad} V_\xi)^{G_L} \\ &= \dim_E H^1(G_L, \mathrm{ad}^0 V_\xi) + 3 = 3 \end{aligned}$$

So  $R_V^{X,1,\square}$  itself is 4-dimensional, and we have proven the first part.

The second part follows from the definition of  $\mathcal{L}_V^{X,\square}$  and  $R_V^{X,1,\square}$ .  $\square$

**Corollary 5.6.** *Let  $\mathcal{O}$  be the ring of integers in a finite extension of  $W(\mathbf{F})[1/p]$ , and  $\gamma : G_L \rightarrow \mathcal{O}^\times$  a continuous unramified character. Write  $R_{V,\mathcal{O}}^\square = R_V^\square \otimes_{W(\mathbf{F})} \mathcal{O}$ . Then there exists a quotient  $R_{V,\mathcal{O}}^{X\gamma,\gamma,\square}$  such that*

- $R_{V,\mathcal{O}}^{X\gamma,\gamma,\square}$  is a domain of dimension 4 and  $R_{V,\mathcal{O}}^{X\gamma,\gamma,\square}[1/p]$  is formally smooth over  $\mathcal{O}$ .
- If  $E/\mathcal{O}[1/p]$  is a finite extension, then a map  $\xi : R_{V,\mathcal{O}}^\square \rightarrow E$  factors through  $R_{V,\mathcal{O}}^{X\gamma,\gamma,\square}$  if and only if  $V_\xi$  is an extension of  $\gamma$  by  $\gamma(1)$ .

*Proof.* This basically follows because universal deformation rings behave reasonably well with respect to twisting by fixed characters, at least once the question makes sense.

More precisely, we may replace  $\mathbf{F}$  by the residue field of  $\mathcal{O}$  (corresponding to tensoring  $R_V^\square$  with  $\mathcal{O}$ ). Then twisting by  $\gamma^{-1}$  induces an isomorphism  $R_{V,\mathcal{O}}^\square \xrightarrow{\sim} R_{V \times \gamma^{-1}, \mathcal{O}}^\square$  (because twisting the residual representation by  $\gamma^{-1}$  doesn't change this deformation problem (except to multiply the determinant by  $\gamma^2$ ), and the quotient  $R_{V,\mathcal{O}}^{X\gamma,\gamma,\square}$  corresponds to  $R_{V \otimes \gamma^{-1}}^{X,1,\square} \otimes_{W(\mathbf{F})} \mathcal{O}$  under this isomorphism.  $\square$