

# INTRODUCTION TO ALGEBRAIC GEOMETRY, CLASS 1

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I'm going to start by telling you about this course, and about the field of algebraic geometry.

Goals:

- geometric insight
- concrete examples (geometric and arithmetic)
- hands on calculations (no fear of commutative algebra)
- no cohomology, flatness, differentials

Modern algebraic geometry lies somewhere between differential geometry, number theory, and topology. In a loose sense, it is polynomial equations, and sets defined by polynomial equations. This seems to be extremely narrow and low-tech, but it surprisingly ends up being extremely broad, powerful, and abstract.

Some of the philosophy — get at geometry via algebra, algebra via “pictures”. High school reference. Here's a high-powered example of the link between geometry and arithmetic.  $x^n + y^n = z^n$ . Finite number of solutions for each  $n > 1$ : the Mordell Conjecture, Faltings' Theorem. Vojta's conjecture. Weil conjectures.

*Give out handout of motivating problems.*

This will be a tools course: examples and pictures, but with generality.

- fast-moving, but grounded by intuition
- exercises are important
- concepts really generalize, but become more abstract.

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*Objects:*

smooth varieties over  $\mathbb{C}$  (over  $k$ )

varieties over  $\mathbb{C}$  (over  $k$ )

schemes

(stacks)

We won't be shy about schemes in this course.

**Administrative stuff.** Give out handout. Go through it. Headcount.

Theme: curves. Examples:  $\mathbb{Z}$  and  $\bar{k}[t]$ .

## 1. COMMUTATIVE ALGEBRA

Don't worry about it. See books on handout.

Ideas you should know: ring (commutative, has 1), field, integral domain, has quotient field, prime ideal, maximal ideal.

Sample problem (to appear on problem set):

Let  $A$  be a (commutative) ring. An element  $a \in A$  is nilpotent (that is,  $a^n = 0$  for some  $n > 0$ ) if and only if  $a$  belongs to every prime ideal of  $A$ .

## 2. ALGEBRAIC SETS

Throughout this course:  $k$  is a field.  $\bar{k}$  is an algebraically closed field.

For now we work over  $\bar{k}$ . Feel free to think of this as  $\mathbb{C}$  for now.

$\bar{k}^n$  will be rewritten  $\mathbb{A}^n(\bar{k})$ , affine  $n$ -space; we'll often just write  $\mathbb{A}^n$  when there's no confusion about the field. Coordinates  $x_1$  to  $x_n$ .

Algebraic geometry is about functions on the space, which form a ring. The only functions we will care about will be polynomials, i.e.  $\bar{k}[x_1, \dots, x_n]$ . We'll eventually think of that ring as being the same thing as  $\mathbb{A}^n$ .

We'll next define subsets of  $\mathbb{A}^n$  that we'll be interested in. Because we're being very restrictive, we won't take any subsets, or even analytic subsets; we'll only think of subsets that are in some sense defined in terms of polynomials.

Let  $S$  be a set of polynomials, and define  $V(S)$  to be the locus where these polynomials are zero. (“Vanishing set”.) Definition: Any subset of  $\mathbb{A}^n(\bar{k})$  of the form  $V(S)$  is an *algebraic set*.

*Exercise* (to appear on problem set): prove that the points of the form  $(t, t^2, t^3)$  in  $\mathbb{A}^3$  form an algebraic set. In other words, find a set of functions that vanish on these points, and no others.

Example/definition: *hypersurface*, defined by 1 polynomial.

*Facts.*

- If  $I = (S)$ , then  $V(I) = V(S)$ . So we usually will care only about ideals. Hence: subsets of  $\bar{k}[x_1, \dots, x_n]$  give us subsets of  $\mathbb{A}^n$ ; specifically, *ideals* give us *algebraic sets*
- $V(\cup I_a) = \cap V(I_a)$  (Say it in english.)
- $I \subset J$ , then  $V(I) \supset V(J)$
- $V(FG) = V(F) \cup V(G)$

Note: Points are algebraic. Finite unions of points are algebraic.

*Definition.* A radical of an ideal  $I \subset R$ , denoted  $\sqrt{I}$ , is defined by

$$\sqrt{I} = \{r \in R \mid r^n \in I \text{ for some } n\}.$$

*Exercise.* Show that  $\sqrt{I}$  is an ideal.

*Definition.* An ideal  $I$  is *radical* if  $I = \sqrt{I}$ .

*Claim.*  $V(\sqrt{I}) = V(I)$ . (Explain why.)

Conversely, subsets of  $\mathbb{A}^n$  give us a subset of  $\bar{k}[x_1, \dots, x_n]$  For each subset  $X$ , let  $I(X)$  be those polynomials vanishing on  $X$ .

*Claim.*  $I(X)$  is a radical ideal. (Explain.)

*Facts.* If  $X \subset Y$ , then  $I(X) \supset I(Y)$ .  $I(\emptyset) = \bar{k}[x_1, \dots, x_n]$ .  $I(\mathbb{A}^n) = (0)$ .

*Question.* What’s  $I((a_1, \dots, a_n))$ ?

(Discuss.)

Notice: ideal is maximal. Quotient is field. Quotient map can be interpreted as “value of function at that point”.

*Exercise.* (a) Let  $V$  be an algebraic set in  $\mathbb{A}^n$ ,  $P$  a point not in  $V$ . Show that there is a polynomial  $F$  in  $\bar{k}[x_1, \dots, x_n]$  such that  $F(Q) = 0$  for all  $Q$  in  $V$ , but  $F(P) = 1$ . Hint:  $I(V) \neq I(V \cup P)$ .

(b) Let  $\{P_1, \dots, P_2\}$  be a finite set of points in  $\mathbb{A}^n(\bar{k})$ . Show that there are polynomials  $F_1, \dots, F_r \in \bar{k}[x_1, \dots, x_n]$  such that  $F_i(P_j) = 0$  if  $i \neq j$ , and  $F_i(P_i) = 1$ .

*Exercise.* Show that for any ideal  $I$  in  $\bar{k}[x_1, \dots, x_n]$ ,  $V(I) = V(\sqrt{I})$ , and  $\sqrt{I}$  is contained in  $I(V(I))$ .

### 3. NULLSTELLENSATZ (THEOREM OF ZEROES)

Earlier, we had: algebraic sets  $\rightarrow$  radical ideals and ideals  $\rightarrow$  algebraic sets.

This theorem makes an equivalence. In the literature, the word “nullstellensatz” is used to apply to a large number of results, not all of them equivalent.

**Nullstellensatz Version 1.** Suppose  $F_1, \dots, F_m \in \bar{k}[x_1, \dots, x_n]$ . If the ideal  $(F_1, \dots, F_m) \neq (1) = \bar{k}[x_1, \dots, x_n]$  then the system of equations  $F_1 = \dots = F_m = 0$  has a solution in  $\bar{k}$ .

Proof next day. (There is a better version for fields that are not necessarily algebraically closed, but we’re not worrying about that right now.)

**Nullstellensatz Version 2.** Suppose  $\mathfrak{m}$  is a maximal ideal of  $\bar{k}[x_1, \dots, x_n]$ . Then

$$\mathfrak{m} = (x_1 - a_1, \dots, x_n - a_n)$$

for some  $a_1, \dots, a_n \in \bar{k}$ .

Show that this is equivalent to version 1, modulo fact that ideals are finitely generated.

**Nullstellensatz Version 3 (sometimes called the “Weak Nullstellensatz”).** If  $I$  is a proper ideal in  $\bar{k}[x_1, \dots, x_n]$ , then  $V(I)$  is nonempty. (From Version 2.)

**Nullstellensatz Version 4.** Let  $I$  be an ideal in  $\bar{k}[x_1, \dots, x_n]$ . Then  $I(V(I)) = \sqrt{I}$ . Equivalently: Radical ideals are in 1-1 correspondence with algebraic sets: If  $I$  is a radical ideal in  $\bar{k}[x_1, \dots, x_n]$  then  $I(V(I)) = I$ . So there is a 1-1 correspondence between radical ideals and algebraic sets.

**Nullstellensatz Version 5.** A radical ideal of  $\bar{k}[x_1, \dots, x_n]$  is the intersection of the maximal ideals containing it. This is the geometric rewording of 4. By version 4, a radical ideal is  $I(X)$  for some algebraic set  $X$ . Functions vanishing on  $X$  are precisely those functions vanishing on all the points of  $X$ .

**Nullstellensatz Version 6.** If  $F_1, \dots, F_r, G$  are in  $\bar{k}[x_1, \dots, x_n]$ , and  $G$  vanishes wherever  $F_1, \dots, F_r$  vanish, then there is an equation  $G^N = A_1 F_1 + \dots + A_r F_r$  for some  $N > 0$  and some  $A_i$  in  $\bar{k}[x_1, \dots, x_n]$ .

This has a cute proof, with a useful trick in it.

*Proof.* The case  $G = 0$  is obvious, so assume  $G \neq 0$ . Introduce a new variable  $U$ , and consider the polynomials

$$F_1, \dots, F_m, \text{ and } UG - 1 \in \bar{k}[x_1, \dots, x_n, U].$$

They have no common solutions in  $\bar{k}$ , so by Version 1 they generate the unit ideal, so there are polynomials  $P_1, \dots, P_m, Q \in \bar{k}[x_1, \dots, x_n, U]$  such that

$$P_1F_1 + \dots + P_mF_m + Q(UG - 1) = 1.$$

Now set  $U = 1/G$  in this formula, and multiply by some large power  $G^N$  of  $G$  to clear denominators. Then the right side is  $G^N$ , and the left side is in  $(F_1, \dots, F_m)$ .